

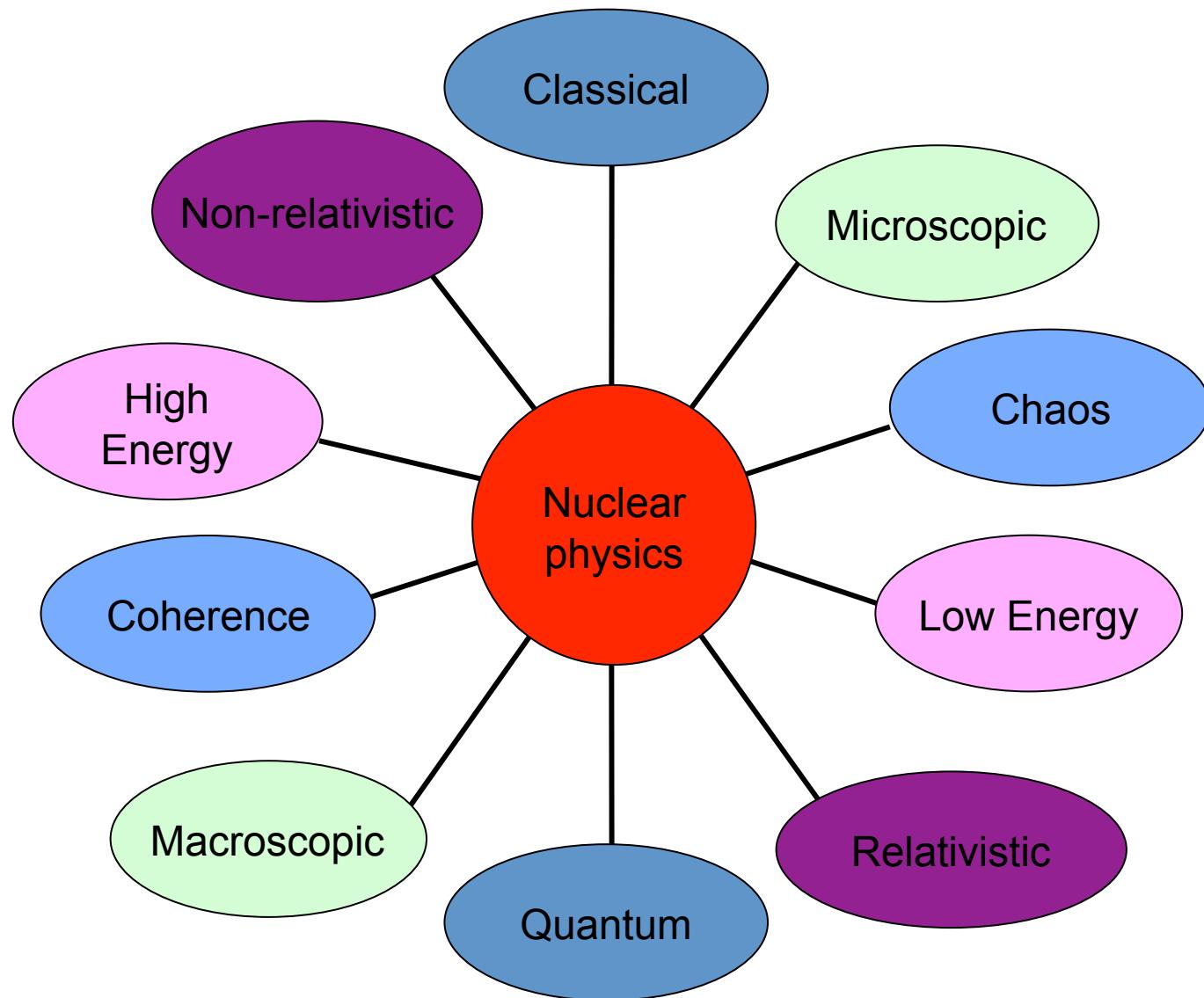
Nuclear Structure Theory I

Nuclear Properties

Alexander Volya

Florida State University

10th Exotic Beam Summer School - EBSS2011
East Lansing, Michigan. 25-30 July, 2011

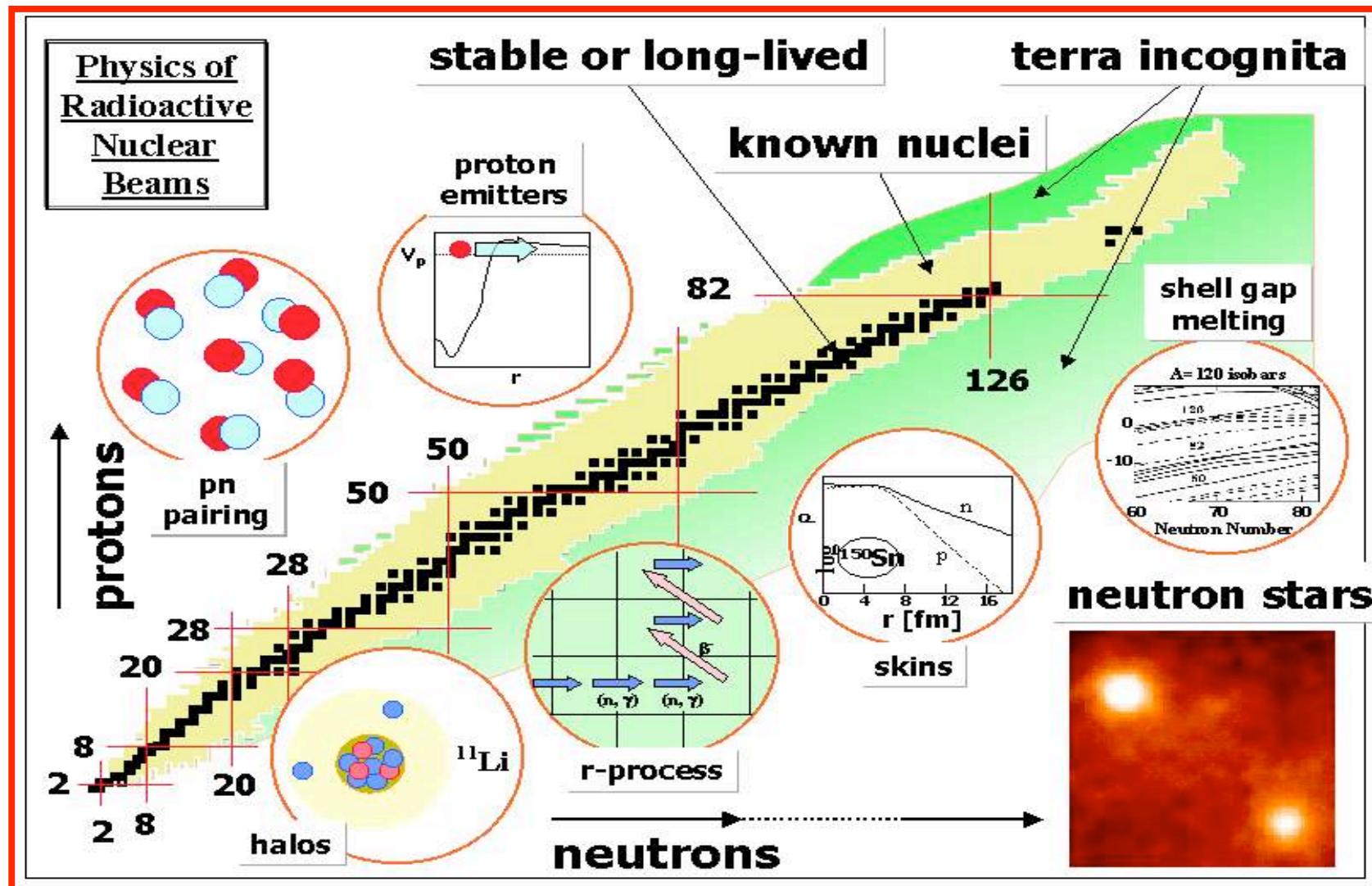


The nuclear world: the rich variety of natural mesoscopic phenomena

- Predicted: 6000 - 7000 particle-stable nuclides
- Observed: 2932
- even-even 737; odd-A 1469; odd-odd 726.
- Lightest ${}^2_1\text{H}_1$ (deuteron), Heaviest ${}^{294}_{118}(\text{?})_{176}$
- No gamma-rays known 785.
- Largest number of levels known (578) ${}^{40}_{20}\text{Ca}_{20}$
- Largest number of transitions known 1319 ${}^{53}_{25}\text{Mn}_{28}$
- Highest multipolarity of electromagnetic transition E6 in ${}^{53}_{26}\text{Fe}_{27}$, $19/2^-$ (3040 keV) \rightarrow $7/2^-$ (g.s.); 2.58 min
- Result of 100 years of research 182000 citations in Brookhaven database, 4500 new entries per year.

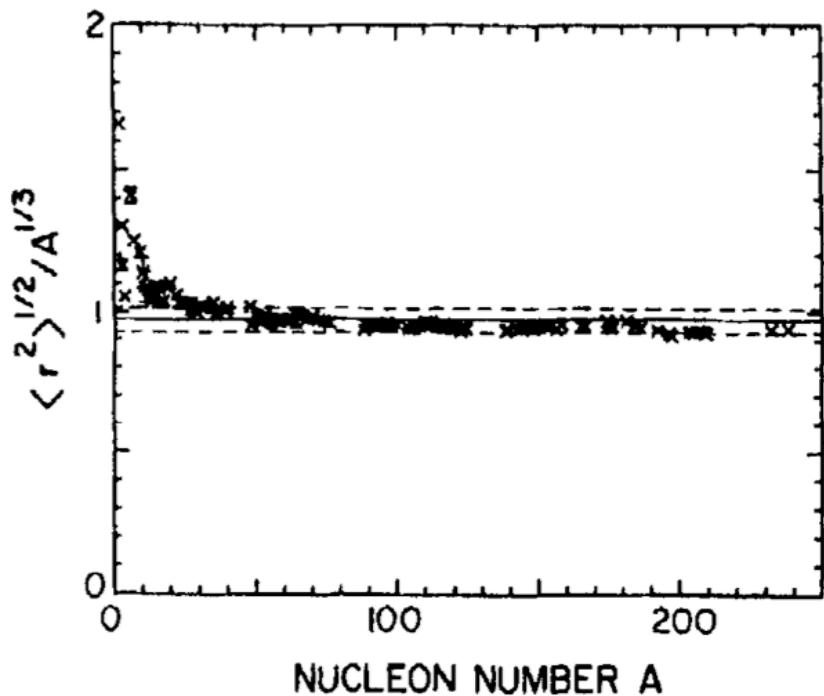
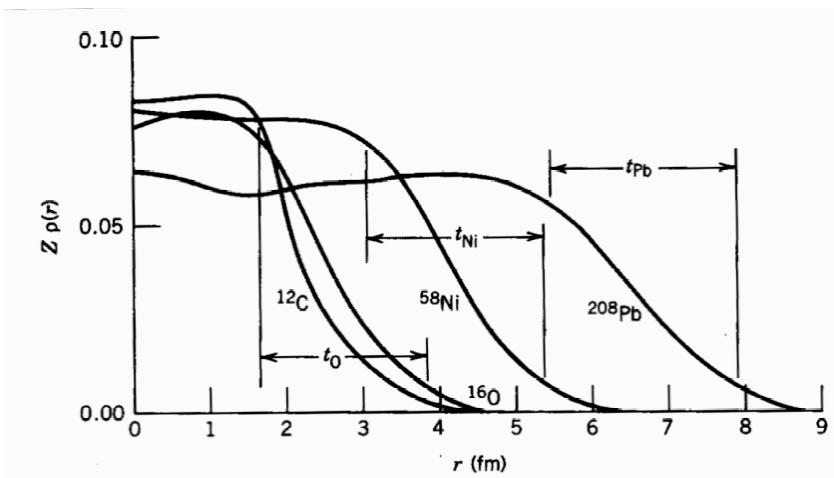
Data collected by V. Zelevinsky

Nuclear Chart



Nuclear Sizes

$$\text{Radius } R = r_0 A^{1/3}$$



Electron scattering data,
H.D. Vries et.al Atom Data,
Nucl Data Tab. 36 (1987) 495

Barrett and Jackson Nuclear sizes and structure

Nuclear Binding

Weizacker mass formula

$$E_B(Z, N) = \alpha_1 A - \alpha_2 A^{2/3} - \alpha_3 \frac{Z(Z-1)}{A^{1/3}} - \alpha_4 \frac{(N-Z)^2}{A} + \Delta$$

Volume term $\alpha_1 = 16 \text{ MeV}$

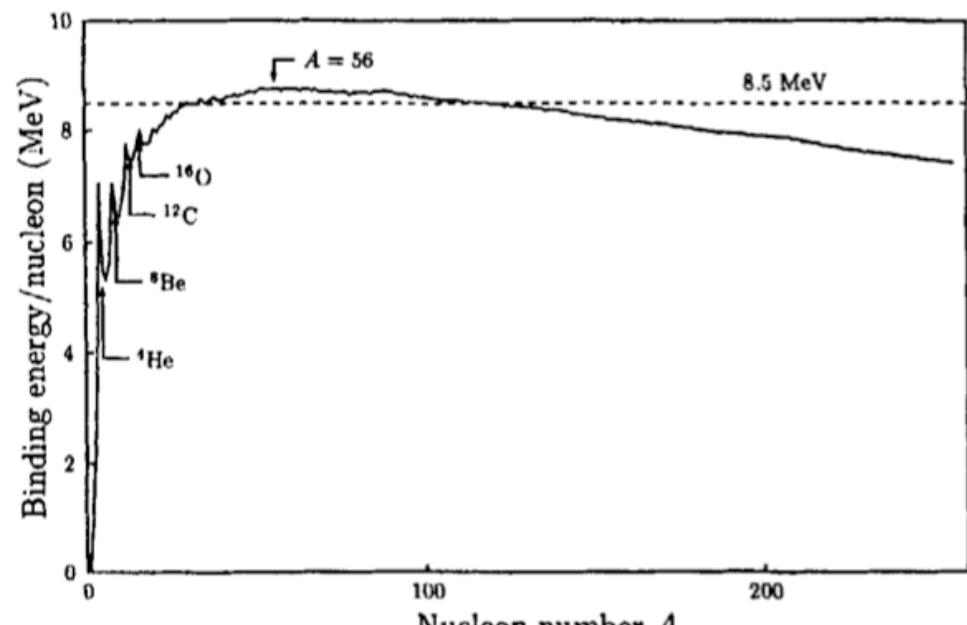
Surface term $\alpha_2 = 17 \text{ MeV}$

Coulomb term $\alpha_3 = 0.6 \text{ MeV}$

Symmetry term $\alpha_4 = 25 \text{ MeV}$

Pairing term

$$\Delta = \begin{cases} \delta & \text{for even-even nuclei} \\ 0 & \text{for odd-mass nuclei} \\ -\delta & \text{for odd-odd nuclei} \end{cases}$$



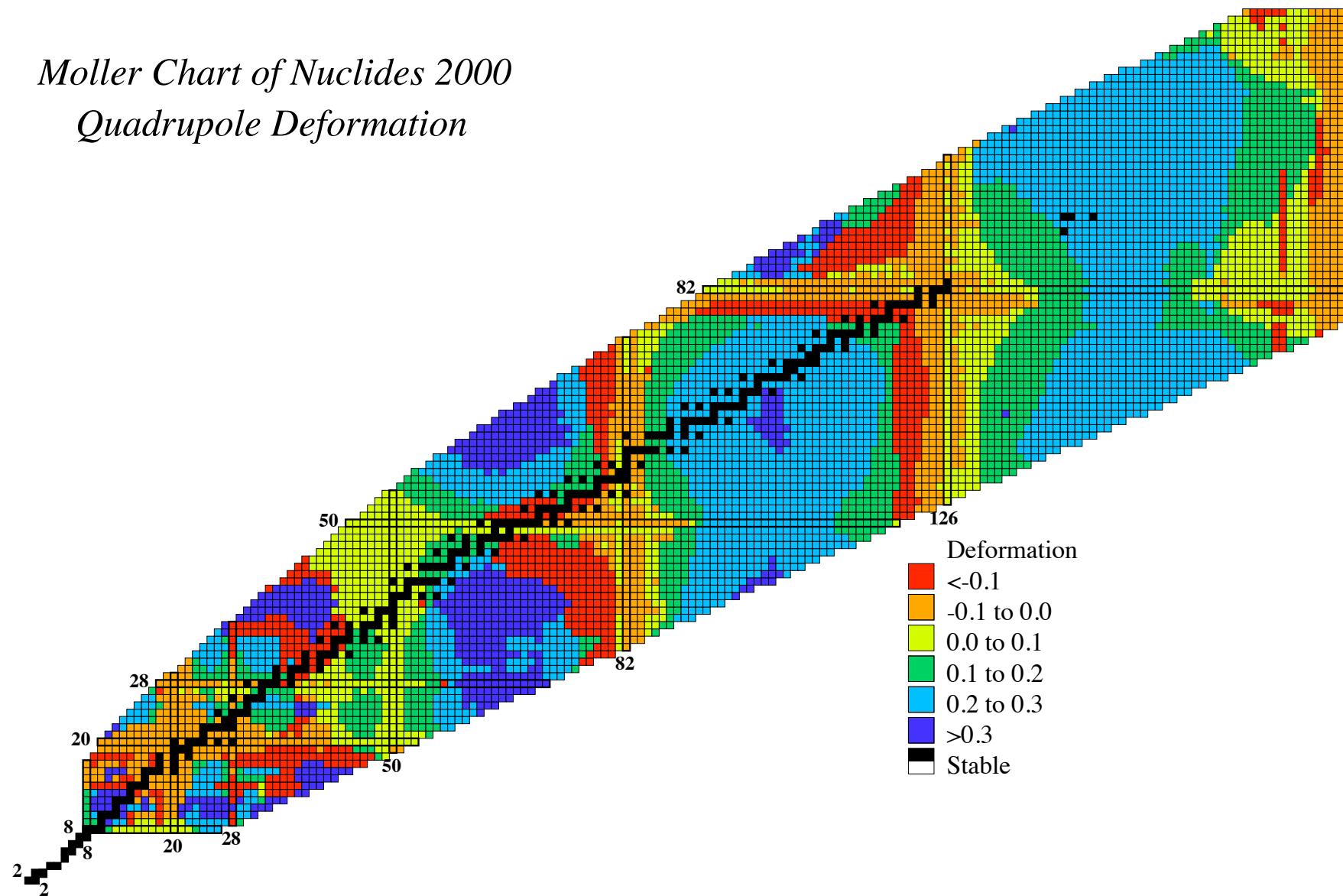
$$\delta = \frac{25}{A} \text{ MeV}$$

Nuclear Shapes

- Origins of nuclear deformation
 - Core polarization
 - Proton-neutron interaction
- Physics of Nuclear rotations
- Nuclear vibrations
- Evidence for nuclear superfluidity

Moller Chart of Nuclides 2000

Quadrupole Deformation



Describing nuclear shapes

Expand nuclear shapes $R(\theta, \phi) = R_0 \left(1 + \sum_{\lambda=0}^{\infty} \sum_{\mu=-\lambda}^{\lambda} \alpha_{\lambda\mu}^* Y_{\lambda\mu}(\theta, \phi) \right)$

$\lambda = 0$ Compression

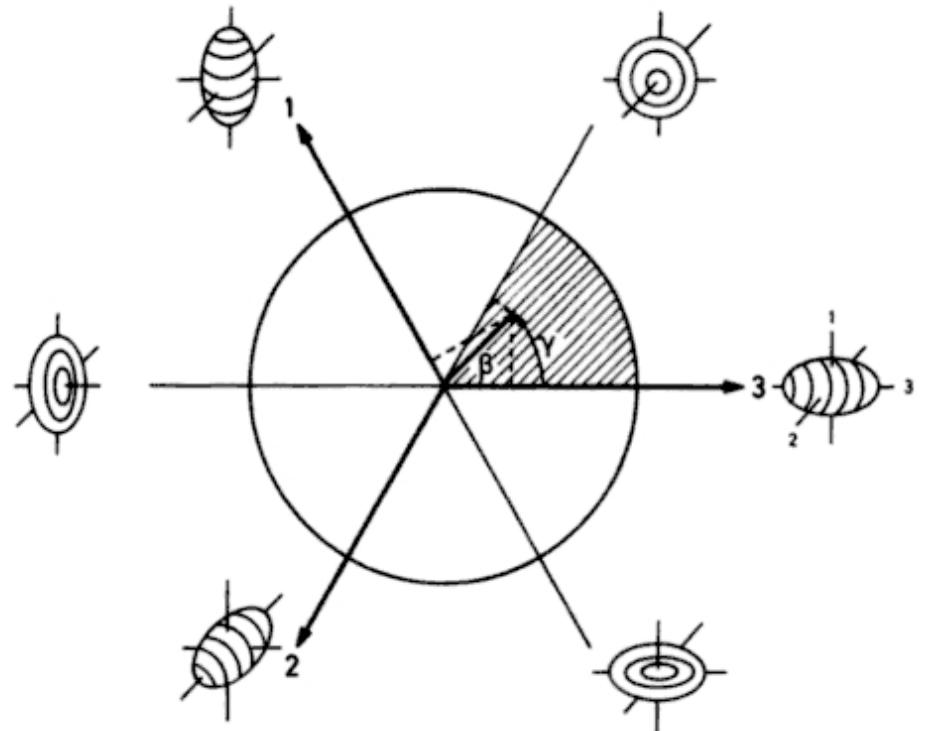
$\lambda = 1$ Center-of-mass translation

$\lambda = 2$ Quadrupole deformation

Hill-Wheeler Parameters

$$\alpha_{22} = \alpha_{2-2} = \beta \sin \gamma / \sqrt{2}$$

$$\alpha_{20} = \beta \cos \gamma$$



From Ring and Schuck,
The nuclear many-body problem

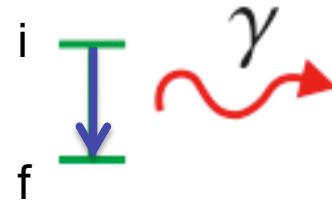
Multipole moments

$$\mathcal{M}_{\lambda\mu} \sim \alpha_{\lambda\mu}$$

$$\mathcal{M}_{\lambda\mu} = \int d^3r \rho(\mathbf{r}) r^\lambda Y_{\lambda\mu}(\hat{\mathbf{r}})$$

Reduced transition probability

$$B(E2, J_i \rightarrow J_f) = \sum_{\mu, M_f} |\langle J_f M_f | \mathcal{M}_{2\mu} | J_i M_i \rangle|^2$$



EM decay rate

$$\tau^{-1} \sim B(E\lambda, i \rightarrow f) (E_i - E_f)^{2\lambda+1}$$

See EM width calculator: <http://www.volya.net/>

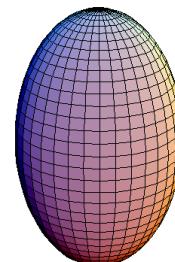
Quadrupole moment

$$\mathcal{Q}(J) = \sqrt{\frac{16\pi}{5}} \langle JJ | \mathcal{M}_{20} | JJ \rangle$$

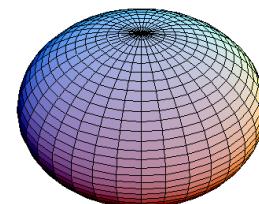
Note that:

$$\sqrt{\frac{16\pi}{5}} r^2 Y_{20} = 3z^2 - r^2$$

Prolate $Q>0$



Oblate $Q<0$



warning: lab frame and body-fixed are different

Quantum Mechanics of Rotations

Laboratory frame

Angular Momentum

$$J_k \ k=x,y,z$$

Shape:



$$\mathcal{Q}(J)$$

Body-fixed frame

$$I_k \ k=1,2,3$$



$$\beta \ \gamma \ Q$$

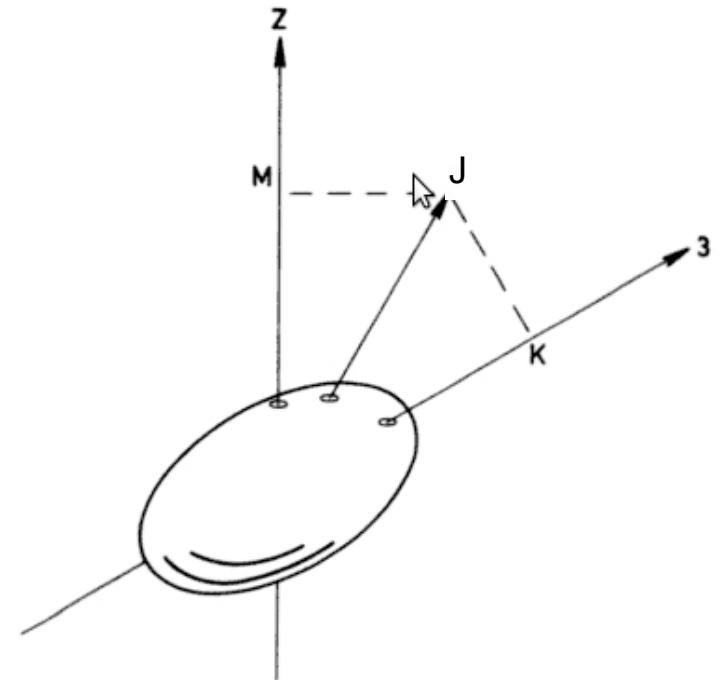
Note that J^2 and all I_k are scalars

Collective Rotor Hamiltonian

$$H_{rot} = \sum_{i=123} A_i I_i^2$$

Three parameters A_1, A_2, A_3

$$A_k = \frac{1}{2\mathcal{L}_k}$$



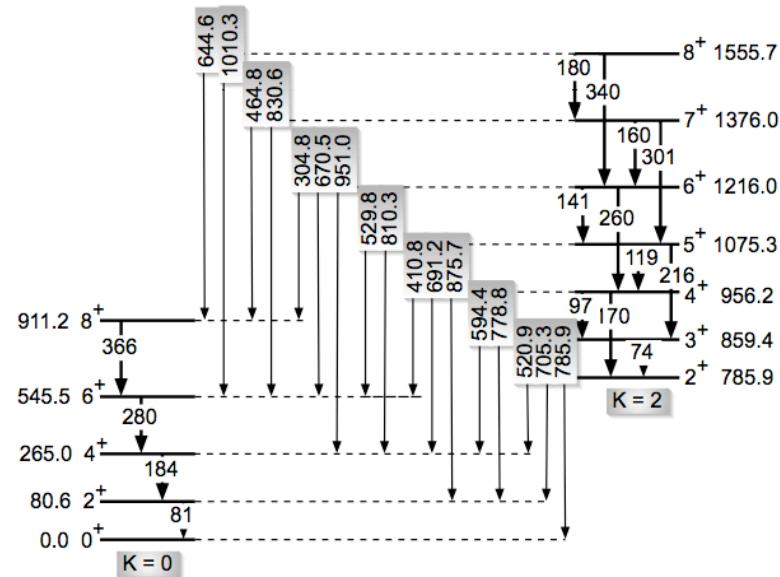
From A. Bohr and B. R. Mottelson.
Nuclear structure, volume 2

Rotational Spectrum

Spherical $A_1 = A_2 = A_3$ Rotations are not possible

Axially symmetric rotor

$$A_1 = A_2 = A \neq A_3 \quad H_{\text{rot}} = AJ^2 + (A_3 - A)K^2$$



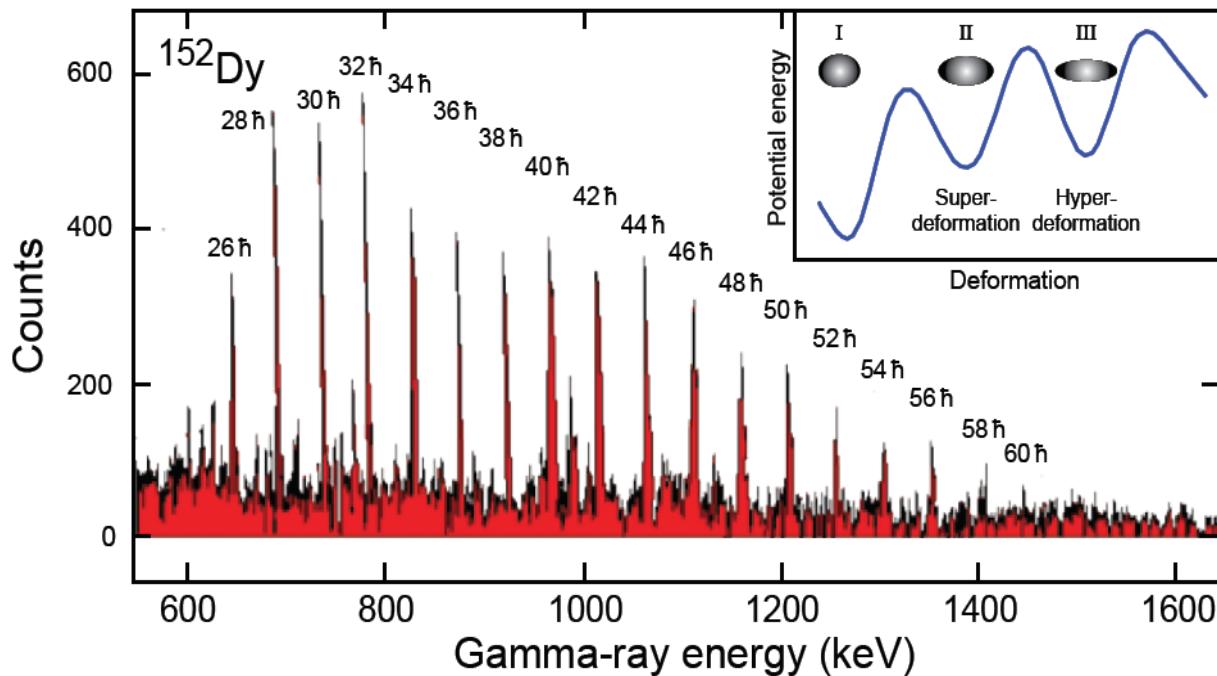
Properties:

- Band structures $E \sim J(J+1)$
 - Band head $J=K$
 - K good quantum number
(transitions etc)

Energy level diagram for ^{166}Er .

From W.D. Kulp et. al, Phys. Rev. C 73, 014308 (2006).

Rotation and gamma rays



Observed reduced rates and moments

$$\mathcal{Q}(J) = Q C_{20,JJ}^{JJ} C_{20,JK}^{JK}$$

$$\mathcal{Q}(0^+) = 0, \quad \mathcal{Q}(2_1^+) = -\frac{2}{7}Q \dots$$

$$B(E2, J_i \rightarrow J_f) = \frac{5}{16\pi} Q^2 \left| C_{20,J_iK}^{J_fK} \right|^2$$

Alaga rules

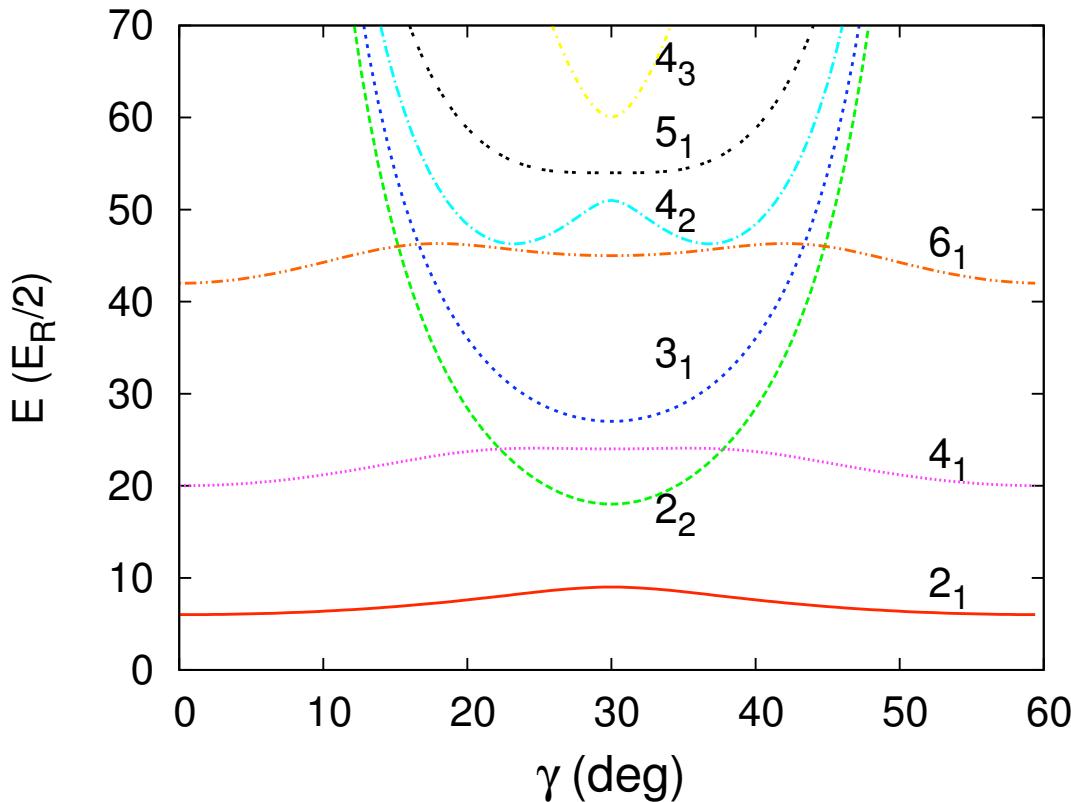
$$\frac{\mathcal{Q}^2(2_1^+)}{B(E2, 0^+ \rightarrow 2^+)} = \frac{16\pi}{5} \left(\frac{2}{7} \right)^2 \approx 0.82$$

Triaxial rotor

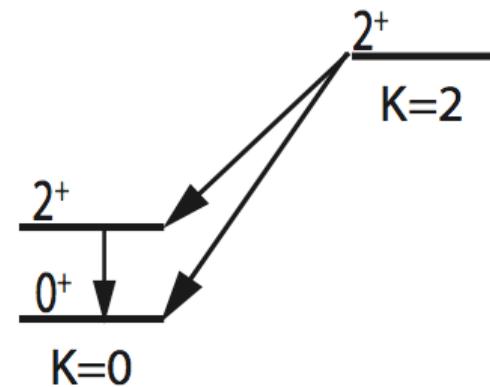
Spectrum and states

Three different parameters A_1, A_2, A_3
K is mixed (diagonalize H)

Spectral relations $E(2_1) + E(2_2) = E(3_1)$
 $4E(2_1) + E(2_2) = E(5_1)$



Mixed Transitions



See also: J. M. Allmond, et.al.
Phys. Rev. C 78, 014302 (2008).

Examining Triaxiality Parameters

H_{rot} parameters A_1, A_2, A_3 instead we use:

1.) Overall energy scale

2.) K mixing angle for 2_1 and 2_2 states Γ $\tan 2\Gamma = \frac{\sqrt{3}(A_1 - A_2)}{A_1 + A_2 - 2A_3}$

3.) Energy ratio of $E(2_1)$ and $E(2_2)$ $\gamma_{DF}^2 \approx \frac{E(2_1)}{2E(2_2)}$

Shape parameters: β, γ define $\mathcal{M}_{\lambda\mu}$

how to measure?

$$\tan^2(\gamma - \Gamma) = \frac{B(E2, 0 \rightarrow 2_2)}{B(E2, 0 \rightarrow 2_1)} \quad \tan^2(\gamma + 2\Gamma) = \frac{2B(E2, 2_1 \rightarrow 2_2)}{7Q^2(2_1)}$$

See also: J. M. Allmond, et.al. Phys. Rev. C 78, 014302 (2008).

Models for moments of inertia

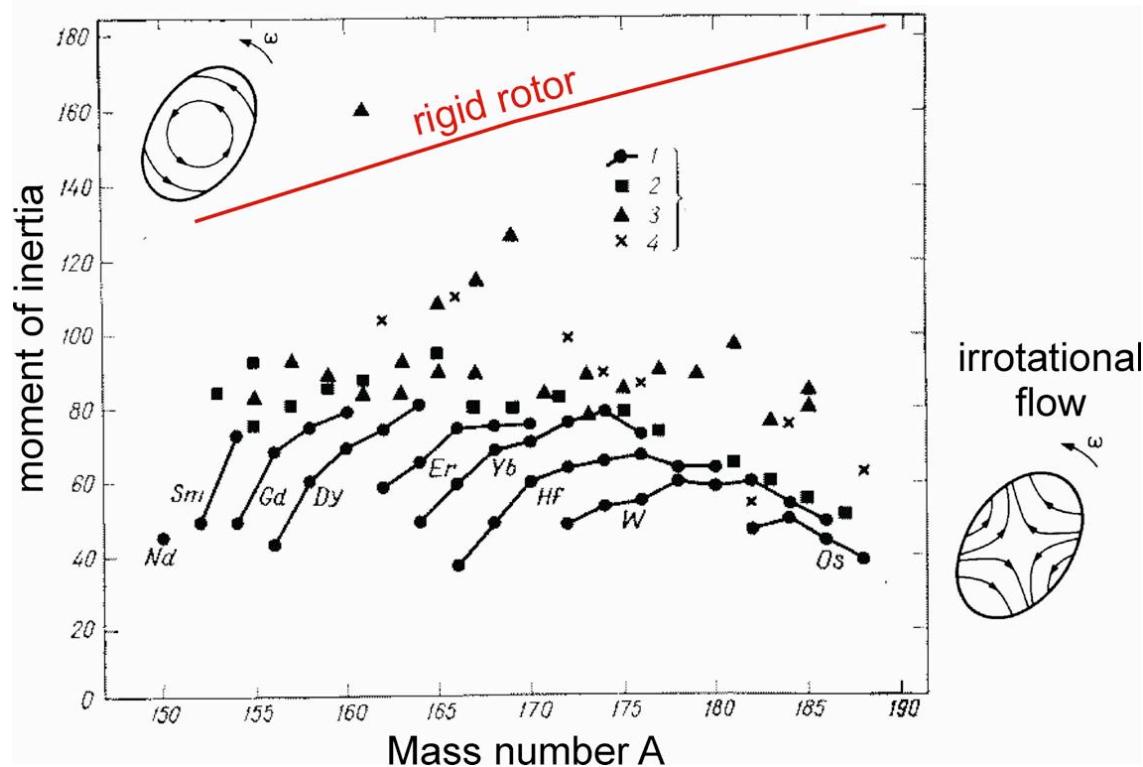
Relationship between H_{rot} and $\beta \gamma$
is model-dependent.

$$\mathcal{L}^{\text{irr}} < \mathcal{L}^{\text{exp}} < \mathcal{L}^{\text{rid}}$$

Nucleus	A_1^{expt}	A_2^{expt}	A_3^{expt}	$\frac{A_2^{\text{expt}}}{A_2^{\text{irrot}}}$	1	$\frac{A_3^{\text{expt}}}{A_3^{\text{irrot}}}$	1
^{186}Os	17.5	28.1	181	0.918	0.614		
^{188}Os	20.2	31.4	145	0.768	0.633		
^{166}Er	11.4	15.4	189	0.885	0.610		
^{172}Yb	11.9	14.3	360	0.841	0.798		
^{184}W	16.4	20.6	217	0.821	0.485		

¹ For $A_1^{\text{irrot}} \equiv A_1^{\text{expt}}$

From: J. M. Allmond, Ph.D thesis.,
Georgia Institute of Technology, 2007



Evidence for nuclear superfluidity

Surface vibrations

$$R(\theta, \phi) = R_0 \left(1 + \sum_{\lambda=0}^{\infty} \sum_{\mu=-\lambda}^{\lambda} \alpha_{\lambda\mu}^* Y_{\lambda\mu}(\theta, \phi) \right)$$

Collective Hamiltonian

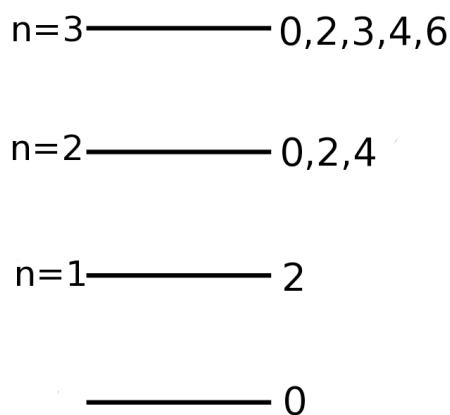
$$H_{\text{vib}} = \frac{1}{2} \sum_{\lambda\mu} (B_\lambda |\dot{\alpha}_{\lambda\mu}|^2 + C_\lambda |\alpha_{\lambda\mu}|^2)$$

Quantized Hamiltonian

$$H_{\text{vib}} = \sum_{\lambda\mu} \hbar\omega_\lambda \left(b_{\lambda\mu}^\dagger b_{\lambda\mu} + \frac{1}{2} \right)$$

$$\alpha_{\lambda\mu} = \sqrt{\frac{\hbar}{2B_\lambda\omega_\lambda}} \left(b_{\lambda\mu}^\dagger + (-1)^\mu b_{\lambda-\mu} \right)$$

spectrum



Transitions

$$\mathcal{M}_{\lambda\mu} \sim \alpha_{\lambda\mu} \quad B(E\lambda) \sim \frac{1}{\omega_\lambda}$$

systematics

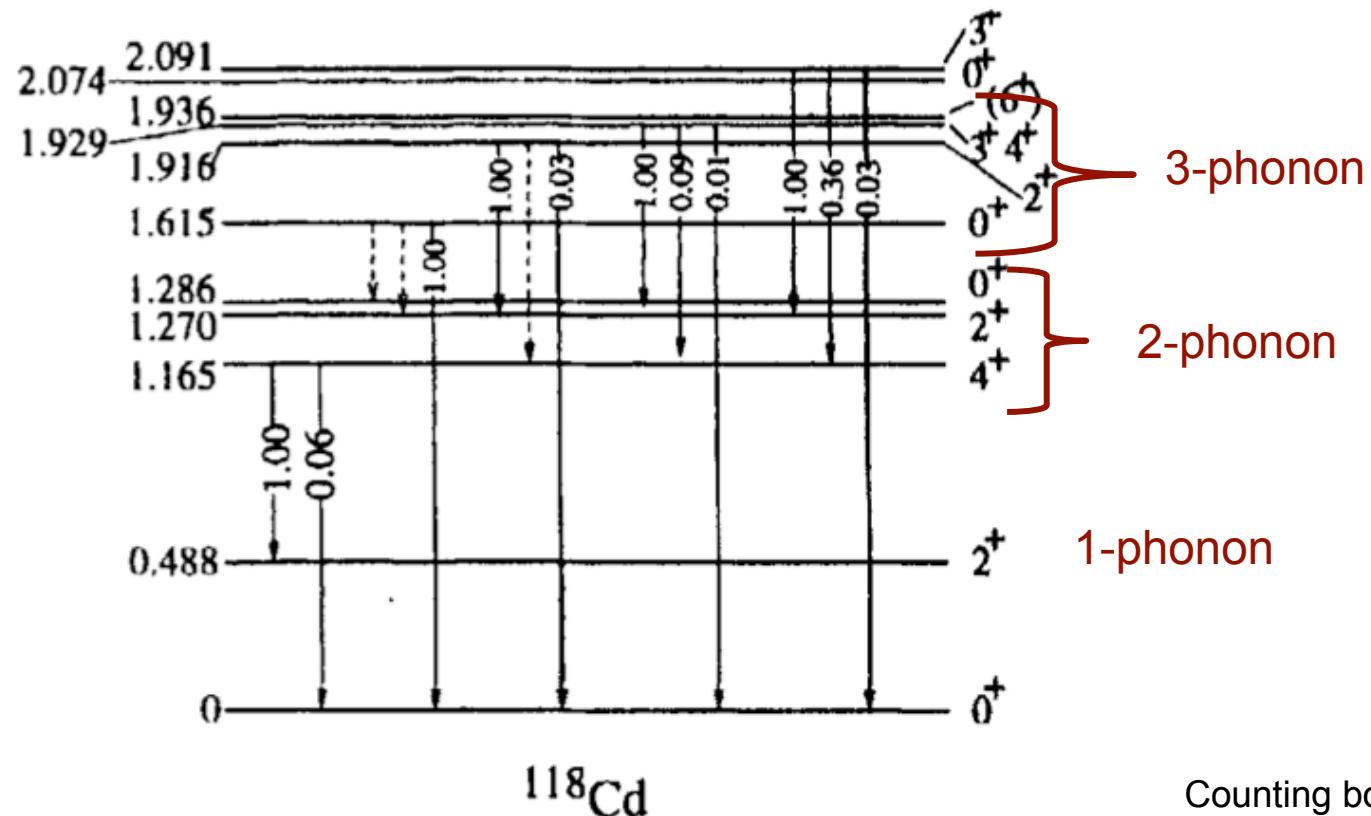
$$E_{2_1^+} B(E2, 2_1^+ \rightarrow 0^+) \approx 25 \frac{Z^2}{A} (\text{MeV} e^2 \text{fm}^4)$$

Bosonic enhancement

$$\sum_{J_f} B(E2, J_i \rightarrow J_f) = n B(E2, 2_1 \rightarrow 0_{gs})$$

Note: Giant resonances

Quadrupole Vibrations ^{118}Cd



Counting boson configurations:
<http://www.volya.net/spins>

From S. Wong,
Introductory nuclear physics

Transition to deformation, soft mode

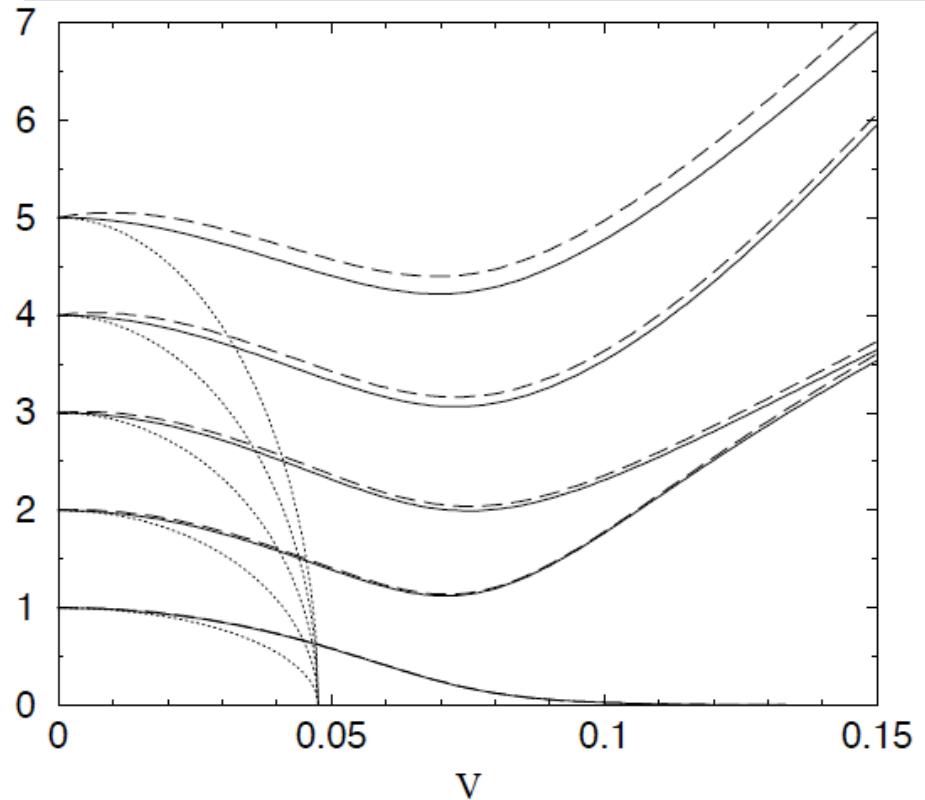
$$H = \frac{\Lambda^{(0\ 2)}\pi^2}{2} + \frac{\Lambda^{(2\ 0)}}{2}\alpha^2 + \frac{\Lambda^{(3\ 0)}}{3}\alpha^3 + \frac{\Lambda^{(1\ 2)}}{4}[\alpha, \pi^2]_+ +$$

$$\frac{\Lambda^{(4\ 0)}}{4}\alpha^4 + \frac{\Lambda^{(0\ 4)}}{4}\pi^4 + \frac{\Lambda^{(2\ 2)}}{8}[\alpha^2, \pi^2]_+ +$$

Two-level model with 20 particles
RPA, anharmonic solution, exact solution

Lowering

Excitation energy



Interaction strength

Low-lying Collective modes

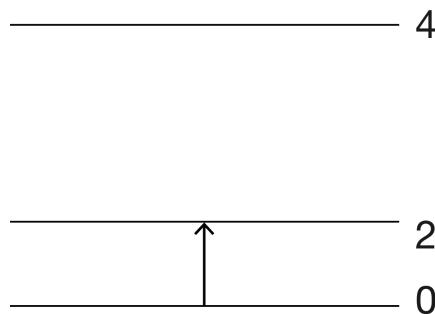
$$b = \frac{B(E2, 0 \rightarrow 2_1)}{\sum_i B(E2, 0 \rightarrow 2_i)}$$

$$q = \frac{Q(2_1)}{Q_{\text{rot}}(2_1)}$$

$$R_{42} = \frac{E(4_1)}{E(2_1)}$$

$$B_{42} = \frac{B(E2, 4_1 \rightarrow 2_1)}{B(E2, 2_1 \rightarrow 0_{gs})}$$

Rotations



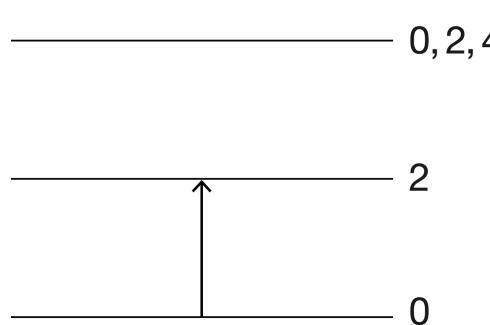
$$b = 1$$

$q = +1$ (prolate)
 $q = -1$ (oblate)

$$R_{42} = 10/3 \approx 3.33$$

$$B_{42} = 10/7 \approx 1.41$$

Vibrations

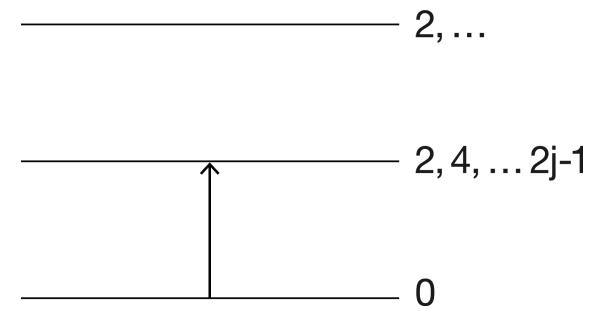


$$b = 1$$

$$q = 0$$

$$B_{42} = 2$$

Pairing



$$b = 1$$

$$q \approx 0$$

$$R_{42} = 1$$

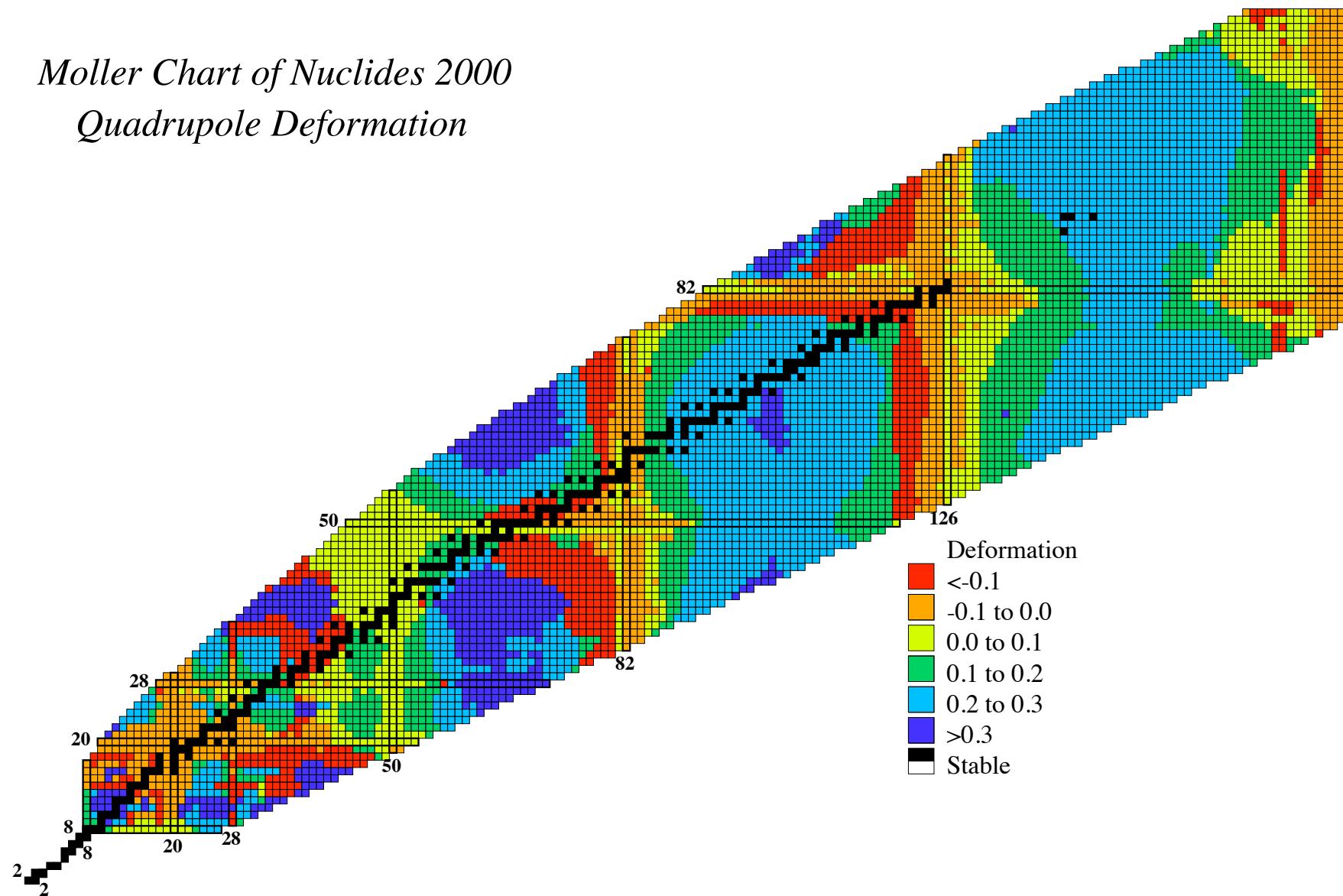
$$B_{42} \approx 0$$

Single-Particle Motion

- Evidence of shell structure
- Single-particle modes and magic numbers
- Shells and supershells
- Classical periodic orbits
- Shells, nuclear surface and deformation

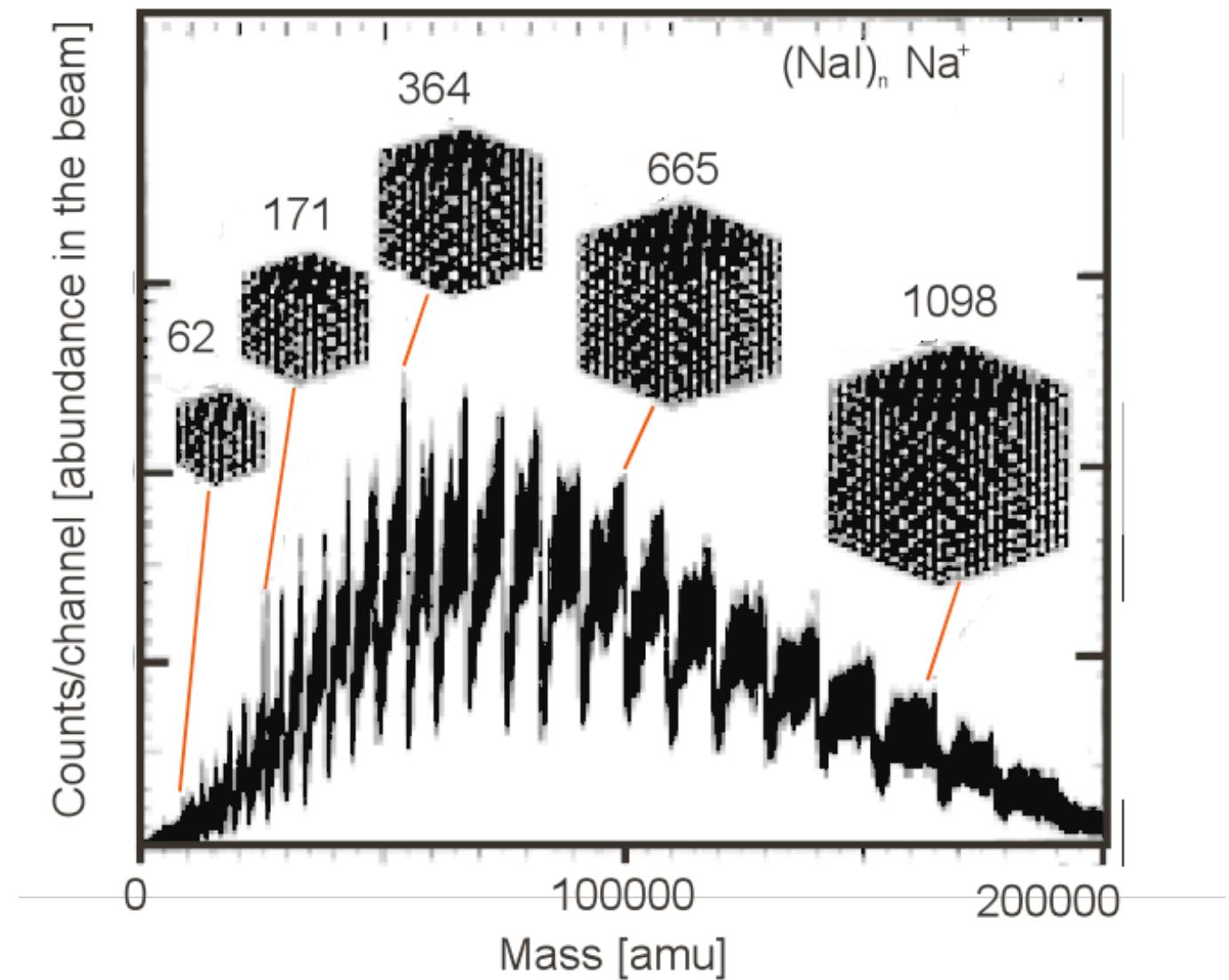
Moller Chart of Nuclides 2000

Quadrupole Deformation

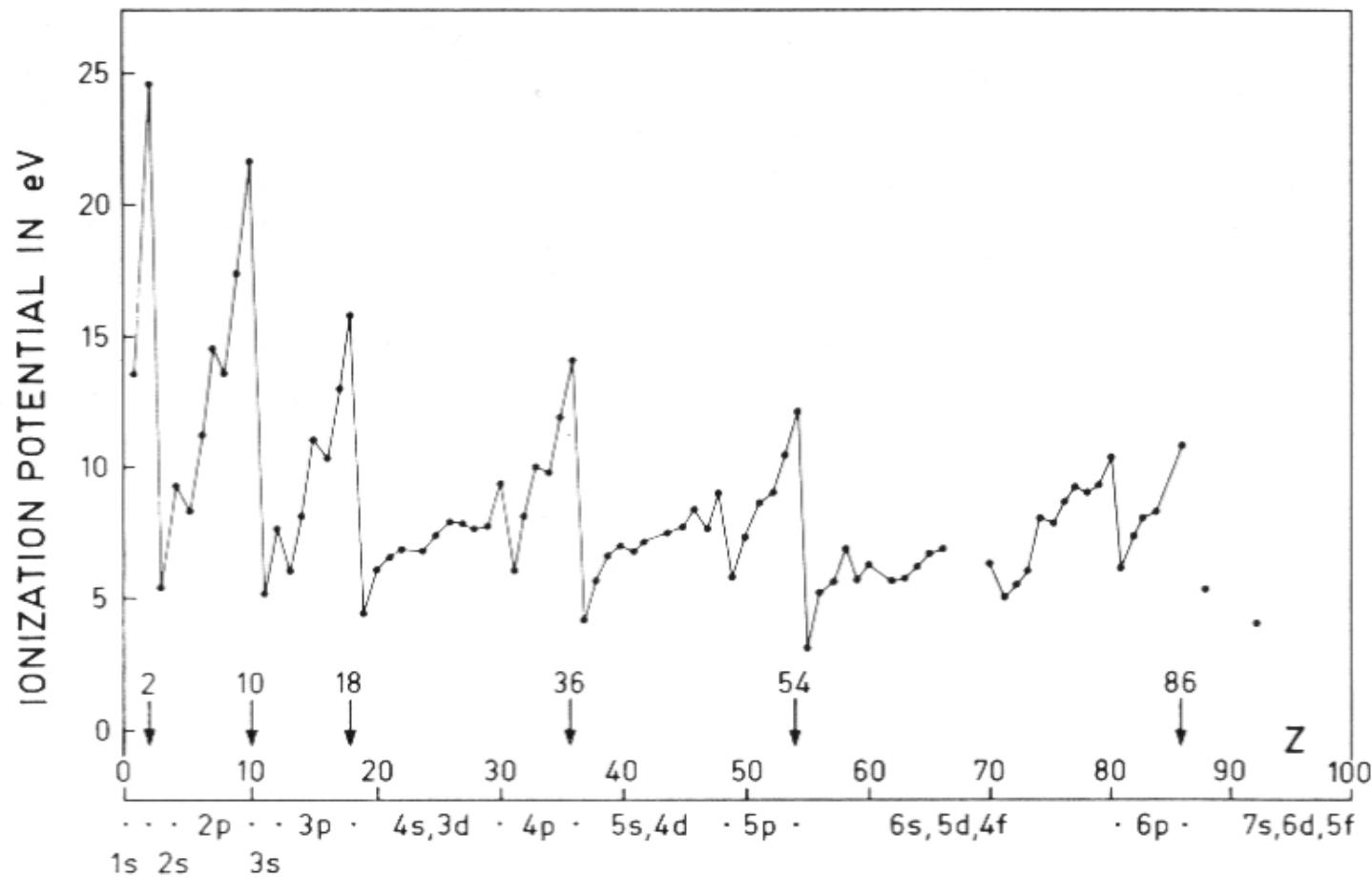


Salt Clusters, transition from small to bulk

- Symmetry
- Surface
- “Shells”

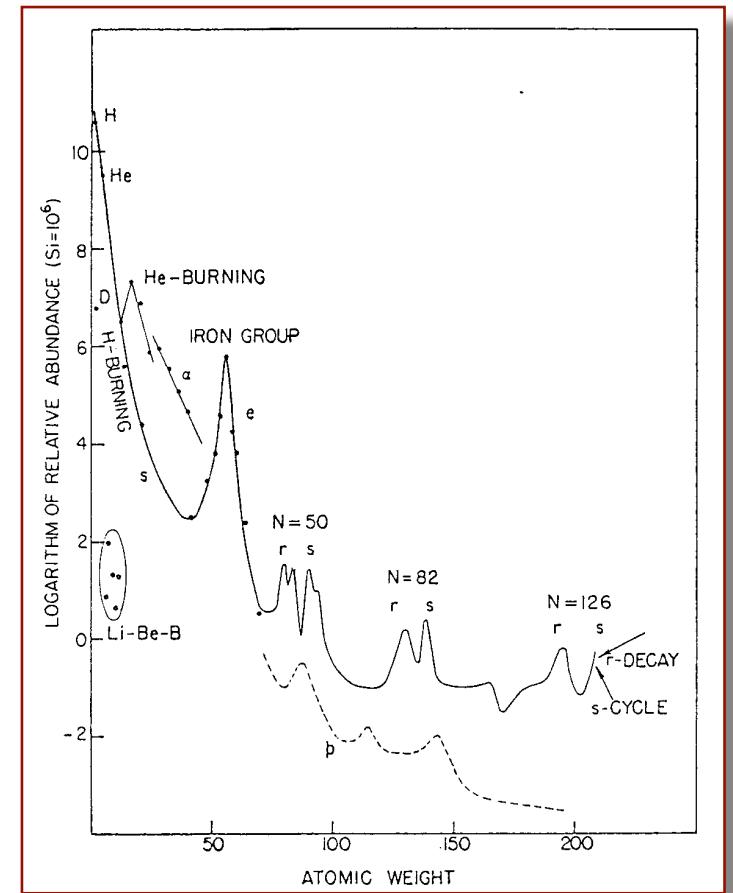
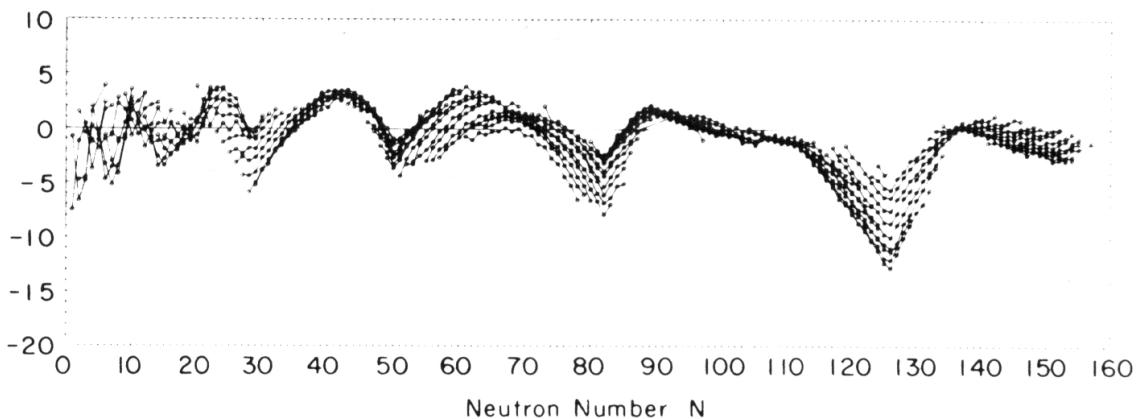
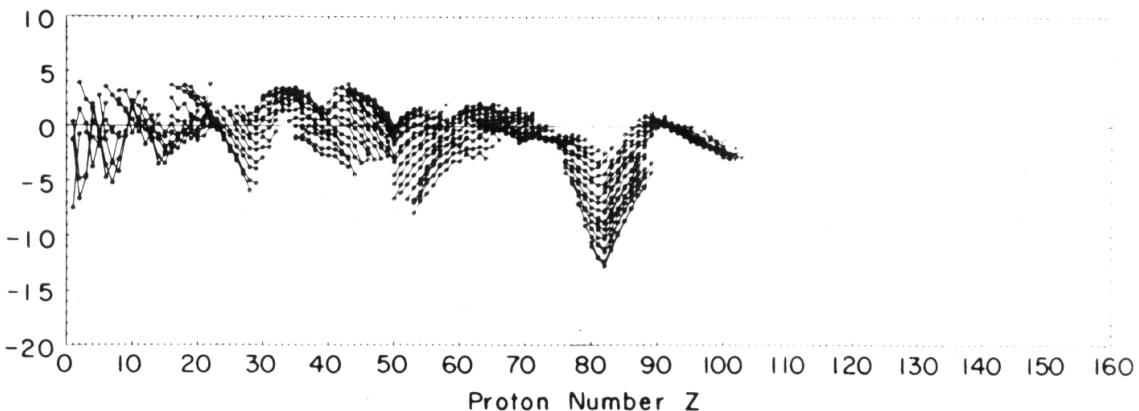


Shell Structure in atoms



From A. Bohr and B.R.Mottleson, *Nuclear Structure*, vol. 1, p. 191 Benjamin, 1969, New York

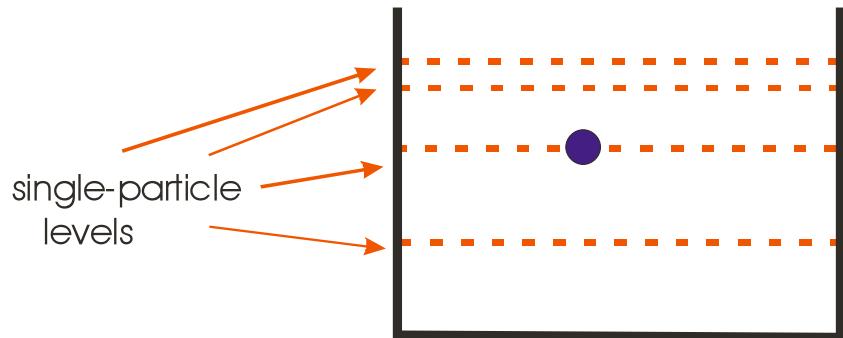
Nuclear Magic Numbers, nucleon packaging, stability, abundance of elements



From W.D. Myers and W.J. Swiatecki, Nucl. Phys. **81**, 1 (1966)

Mean field

Nucleon in a box

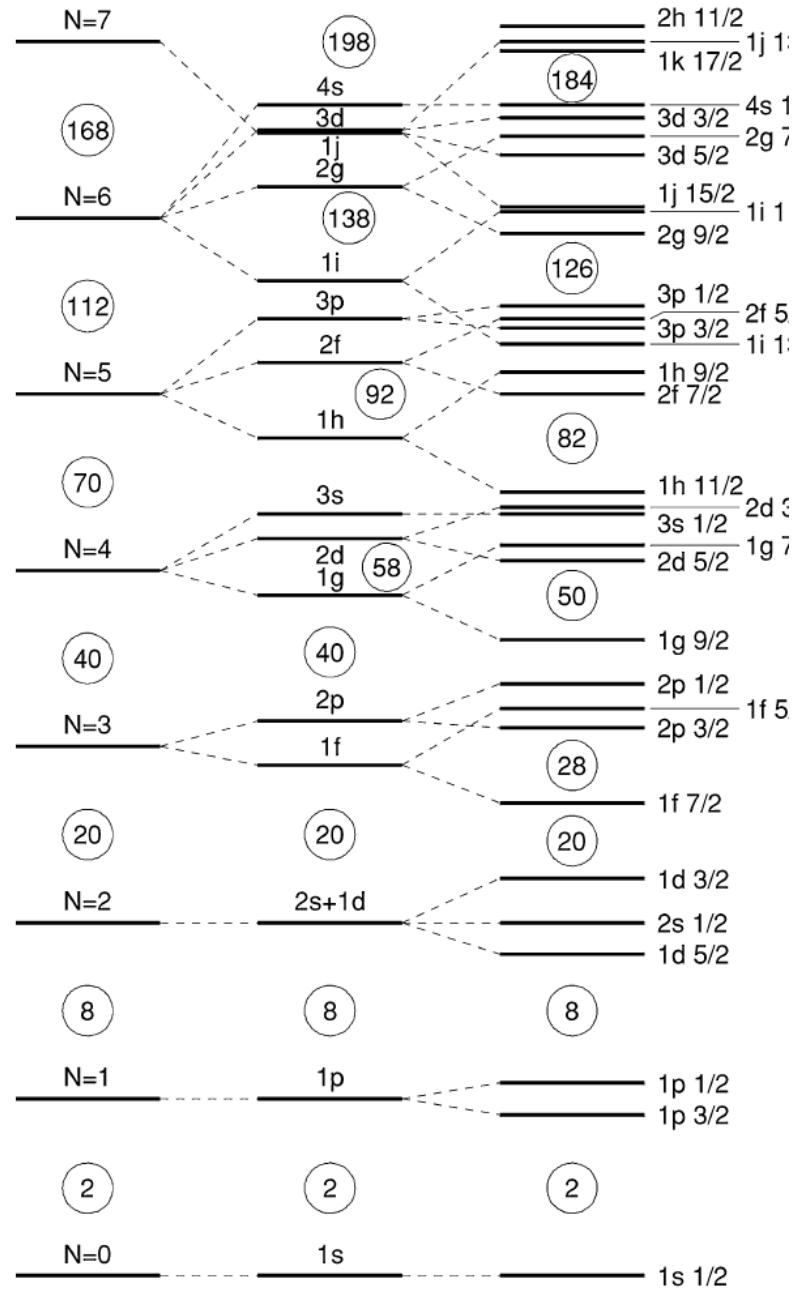


Shell gaps N=2,8,20,

Radial equation to solve

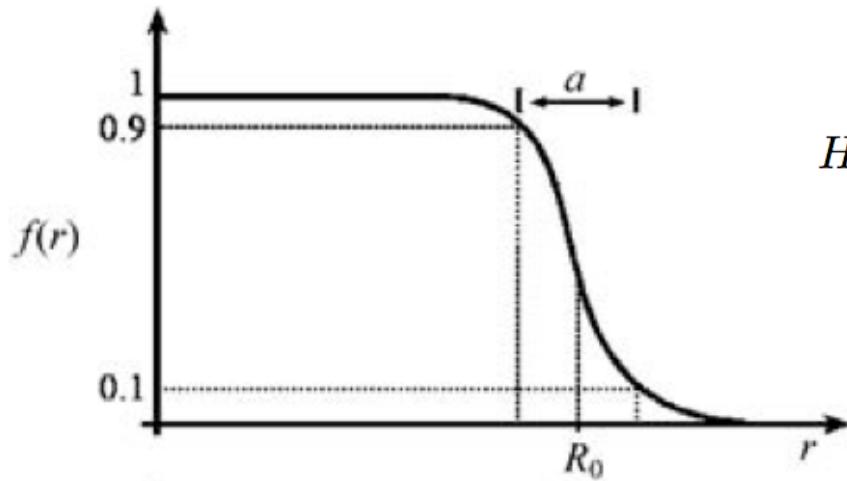
$$\left\{ -\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + 2\mu[v(r) + \alpha \frac{Zz}{r}] \right\} u_l(r) = k^2 u_l(r)$$

$$Y_{lm} \frac{u_l(r)}{r}$$



oscillator square well Woods-saxon

Woods-Saxon potential



$$H = \frac{\mathbf{p}^2}{2\mu} + V(r) + V_c(r) + \frac{1}{2\mu^2 r} \left(\frac{\partial}{\partial r} \tilde{V}(r) \right) \text{ 1} \cdot \text{s}$$

Central potential

$$V(r) = -V f(r, R, a)$$

Coulomb potential

(uniform charged sphere)

$$f(r, R, a) = \left[1 + \exp \left(\frac{r - R}{a} \right) \right]^{-1}$$

$$V_c(r) = Z' e^2 \begin{cases} (3R^2 - r^2)/(2R^3), & r \leq R, \\ 1/r, & r > R, \end{cases}$$

Spin-orbit potential $\tilde{V}(r) = \tilde{V} f(r, R_{SO}, a_{SO})$

Origin of spin-orbit term is non-relativistic reduction of Dirac equation

$$H = \frac{\mathbf{p}^2}{2\mu} - \frac{\mathbf{p}^4}{8\mu^3} + V_c(\mathbf{r}) + \frac{1}{4\mu^2} \sigma \cdot [\nabla V_c(\mathbf{r}) \times \mathbf{p}] + \frac{1}{8\mu^2} \nabla^2 V_c(\mathbf{r})$$

Parameterization:

$$R = R_C = R_0 A^{1/3} \quad R_{SO} = R_{0,SO} A^{1/3}$$

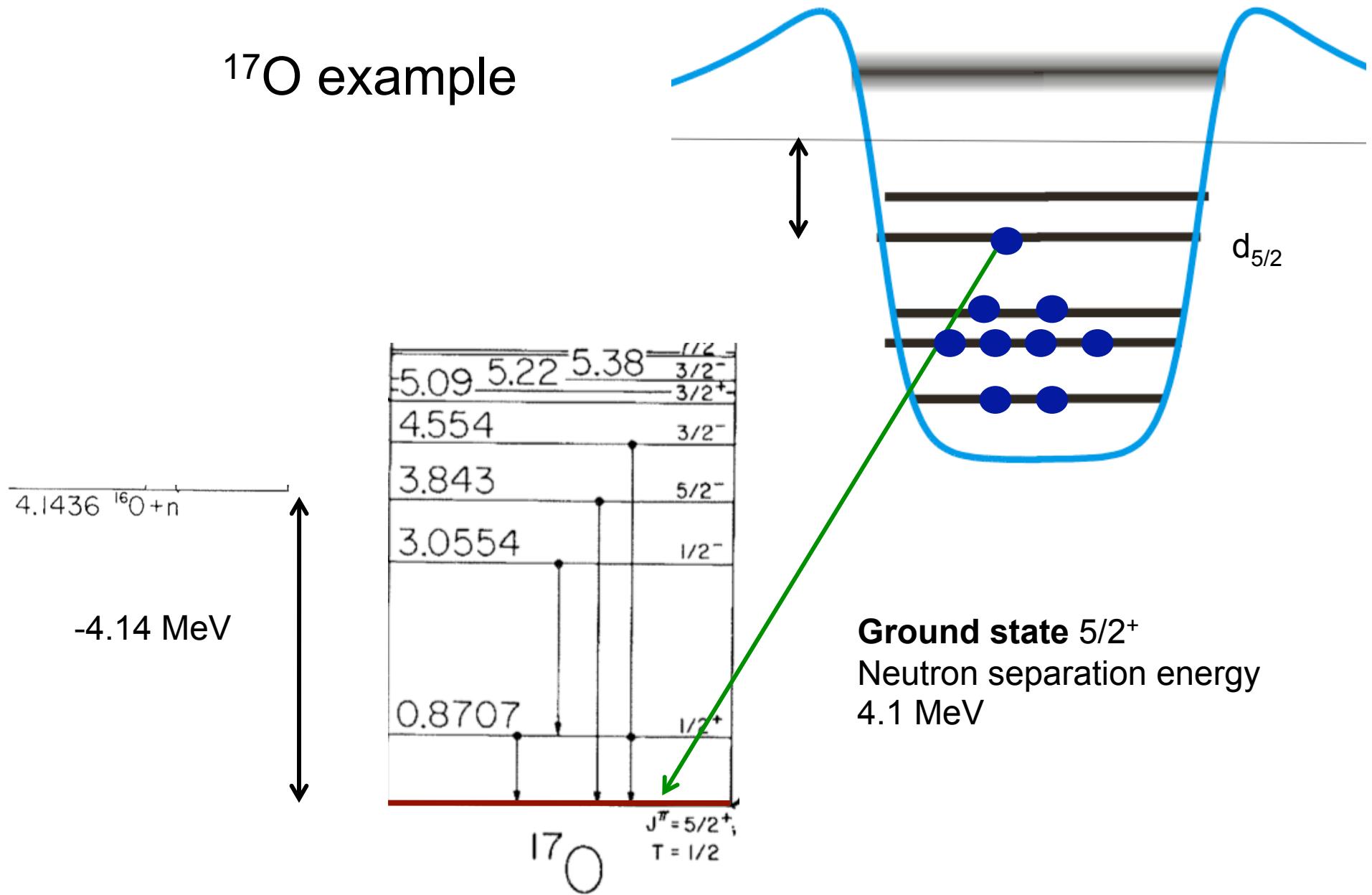
$$V = V_0 \left(1 \pm \kappa \frac{(N - Z)}{A} \right)$$

$$a = a_{SO} = \text{const}$$

$$\tilde{V} = \lambda V_0$$

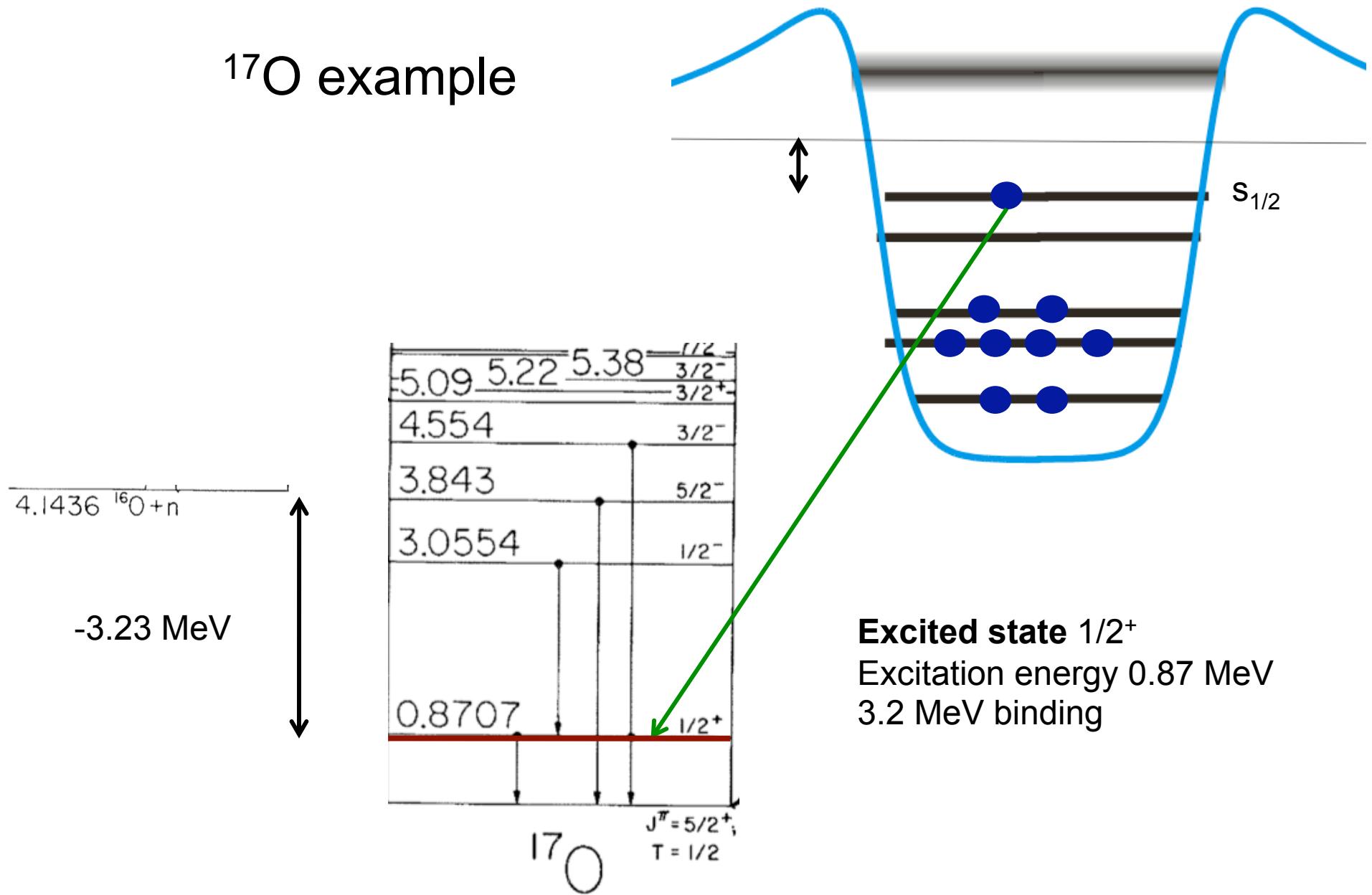
Single-particle states in potential model

^{17}O example



Single-particle states in potential model

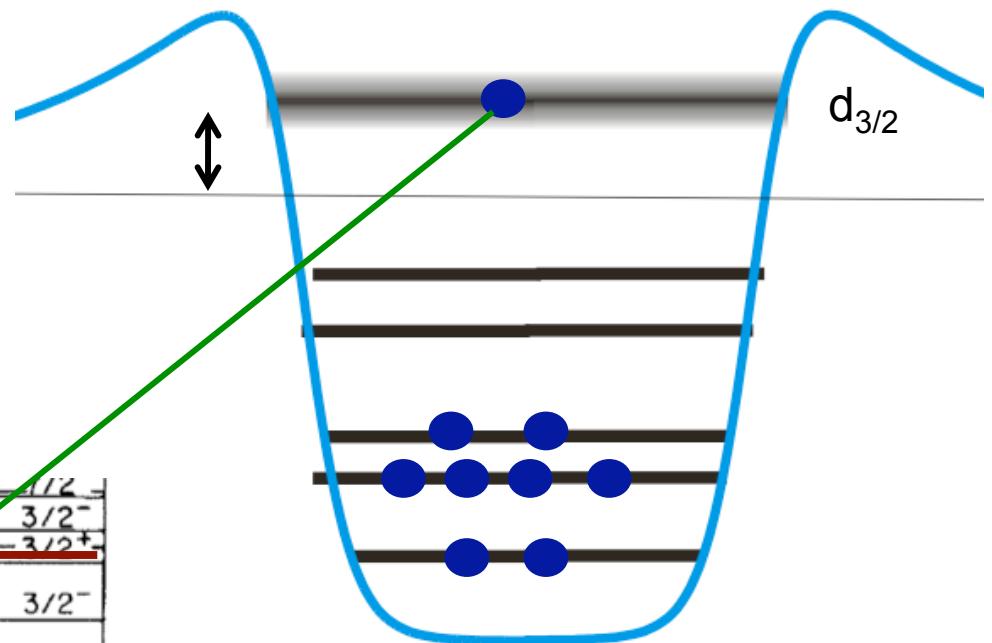
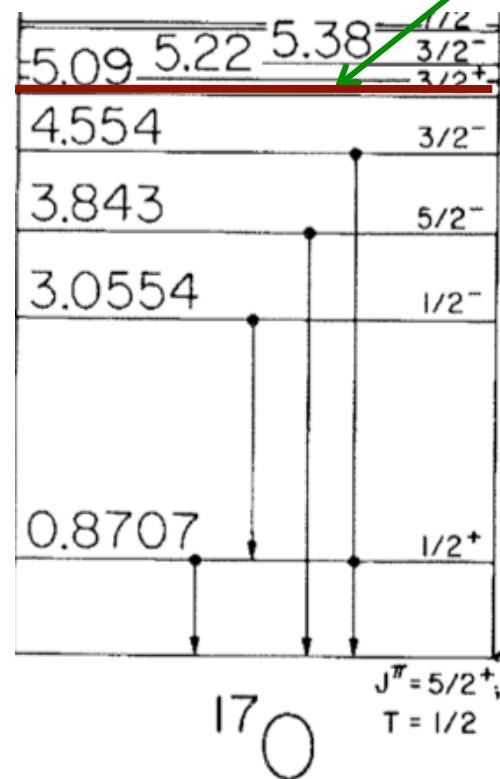
^{17}O example



Single-particle states in potential model

^{17}O example

$$4.1436 \text{ } ^{16}\text{O} + n \quad \begin{array}{c} \uparrow \\ 0.95 \text{ MeV} \\ \downarrow \end{array}$$



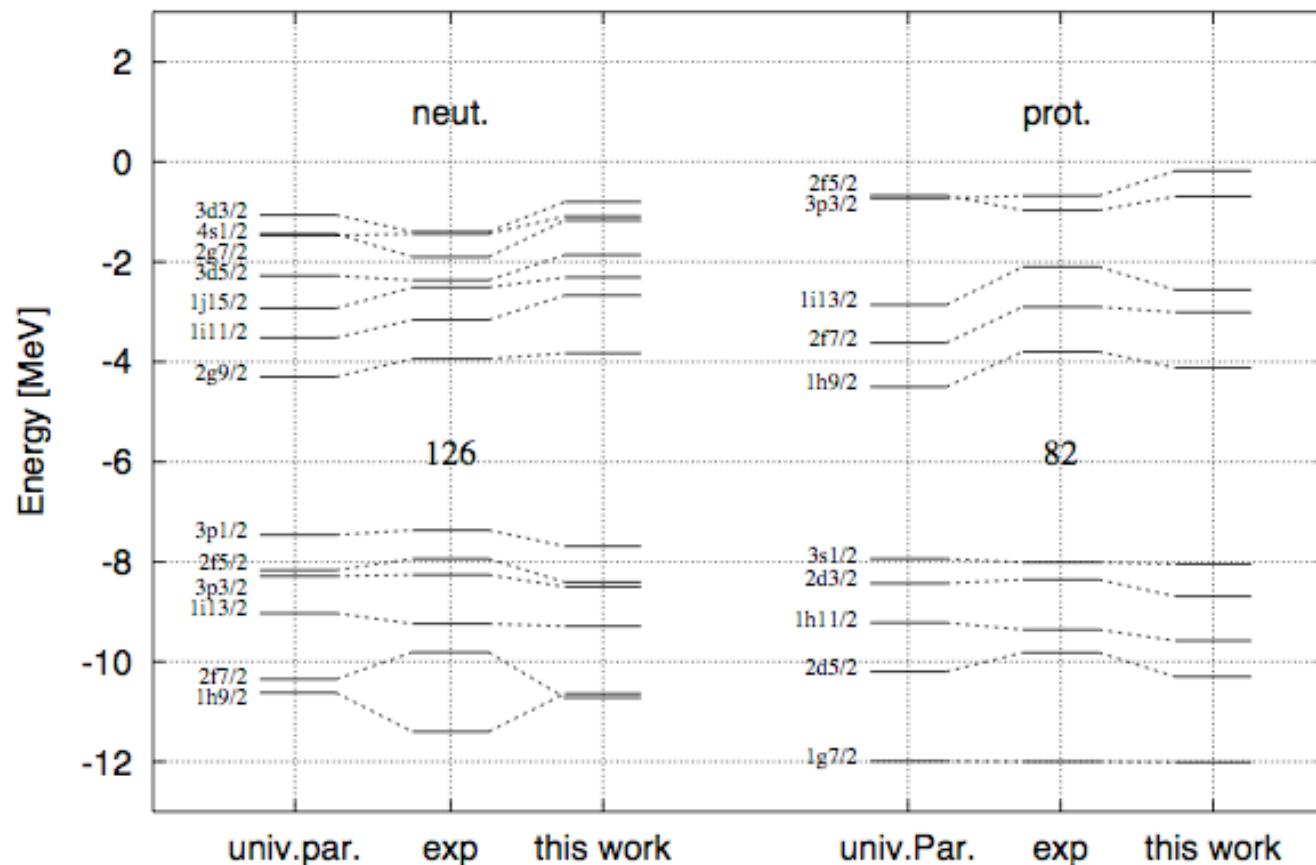
Unbound resonance state $3/2^+$
Excitation energy 5.09 MeV
unbound by 0.95 MeV

Woods-Saxon parameterization potential

V_0 [MeV]	κ	R_0 [fm]	$a = a_{SO}$ [fm]	λ	$R_{0,SO}$ [fm]
52.06	0.639	1.260	0.662	24.1	1.16

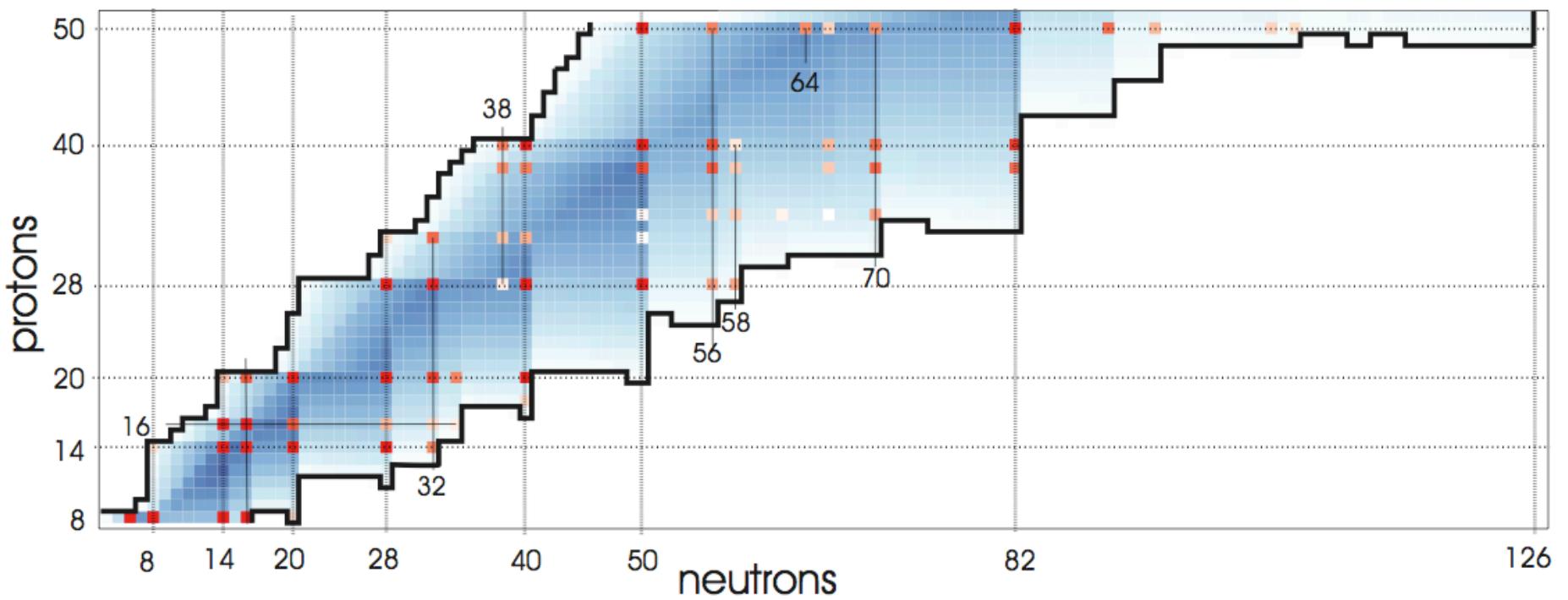
^{208}Pb

Nuclear Woods-Saxon solver
<http://www.volya.net/ws/>



Woods-Saxon potential

V_0 [MeV]	κ	R_0 [fm]	$a = a_{SO}$ [fm]	λ	$R_{0,SO}$ [fm]
52.06	0.639	1.260	0.662	24.1	1.16



N. Schwierz, I. Wiedenhofer, A. Volya, *Parameterization of the Woods-Saxon Potential for Shell-Model Calculations*,
[arXiv:0709.3525 \[nucl-th\]](https://arxiv.org/abs/0709.3525)

Investigation of Supershells

Nishioka et. al. Phys. Rev. B **42**, (1990) 9377
R.B. Balian, C. Block Ann. Phys. **69** (1971) 76

Consider WS potential

$$U(R) = \frac{V_0}{1 + \exp[(R - R_0)/a_0]} ,$$

with parameter values

$$V_0 = -6.0 \text{ eV} ,$$

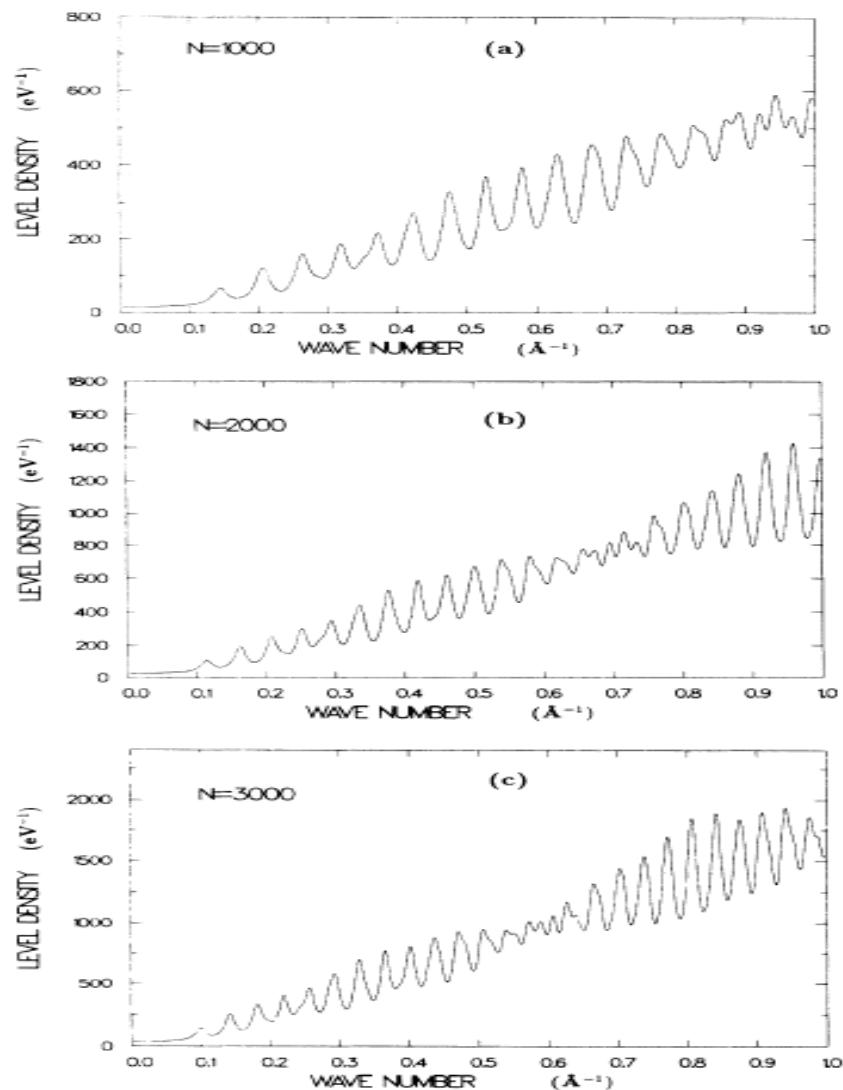
$$R_0 = r_0 N^{1/3}, \quad r_0 = 2.25 \text{ \AA} ,$$

$$a_0 = 0.74 \text{ \AA} .$$

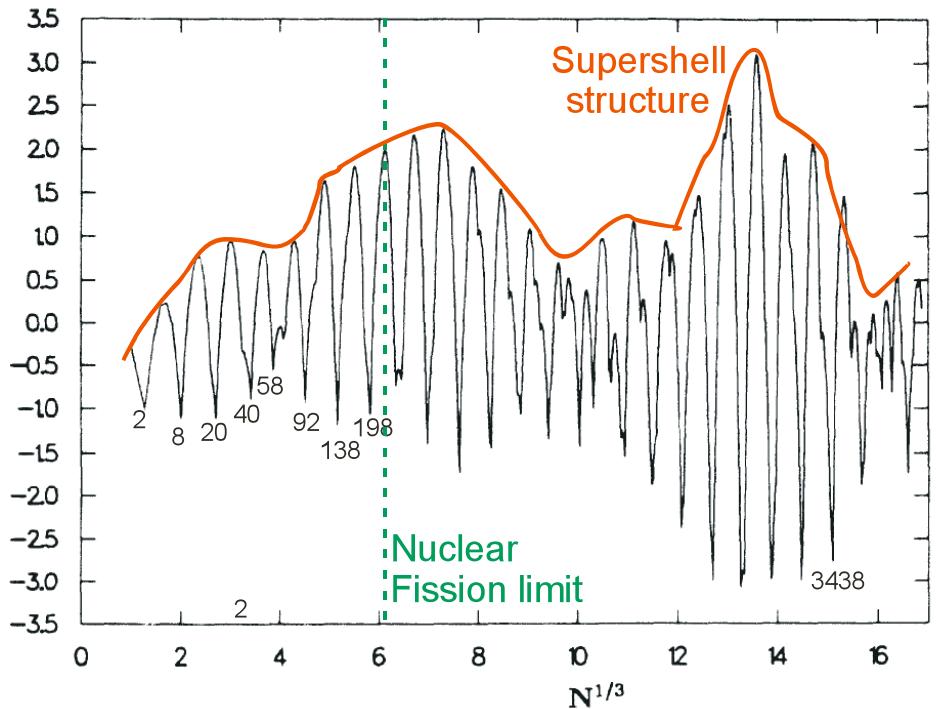
Assuming flat bottom use momentum

$$k = \frac{1}{\hbar} [2m(E - V_0)]^{1/2}$$

Supershells



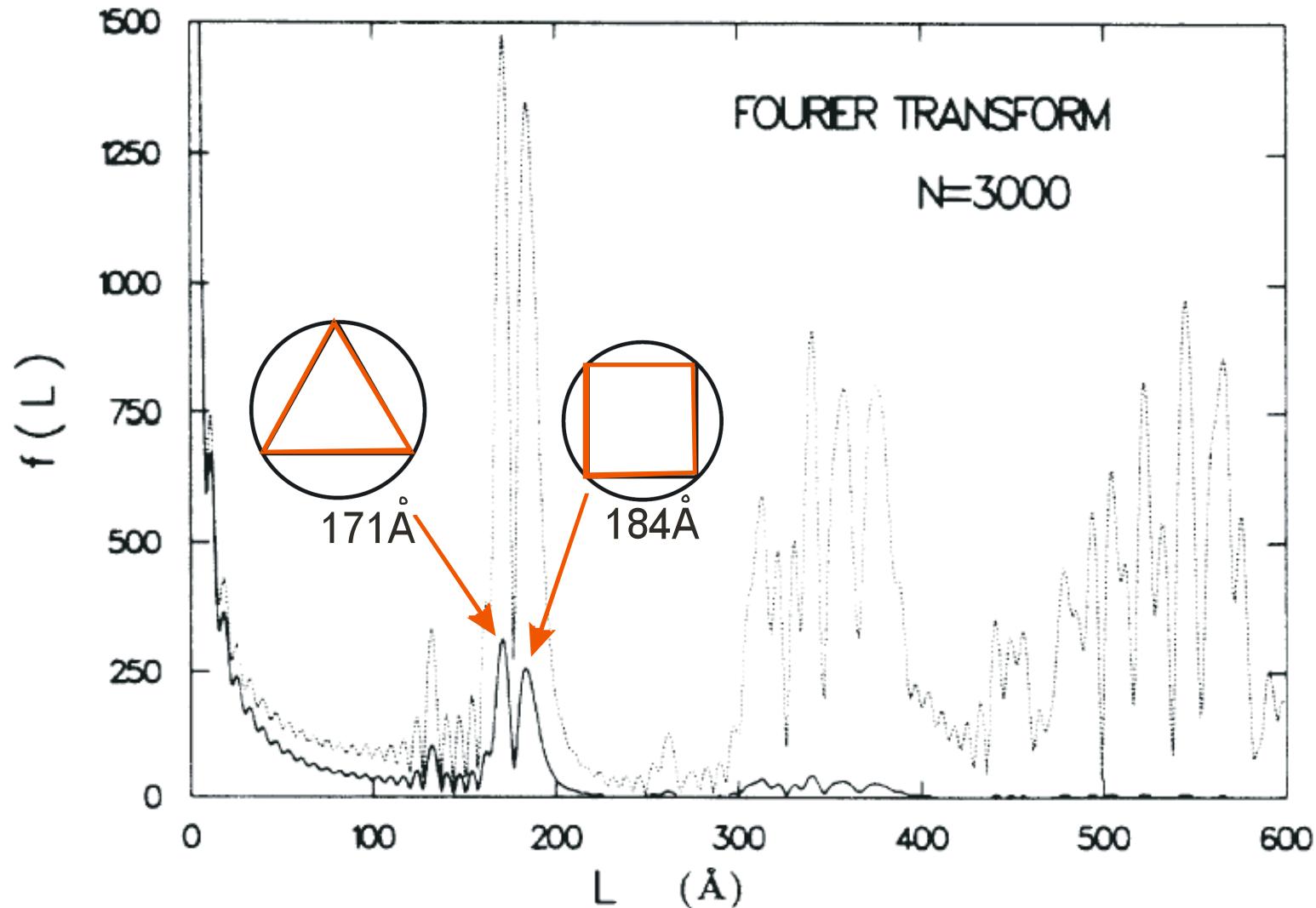
Level density in the Woods-Saxon Potential: N=1000, 2000, and 3000



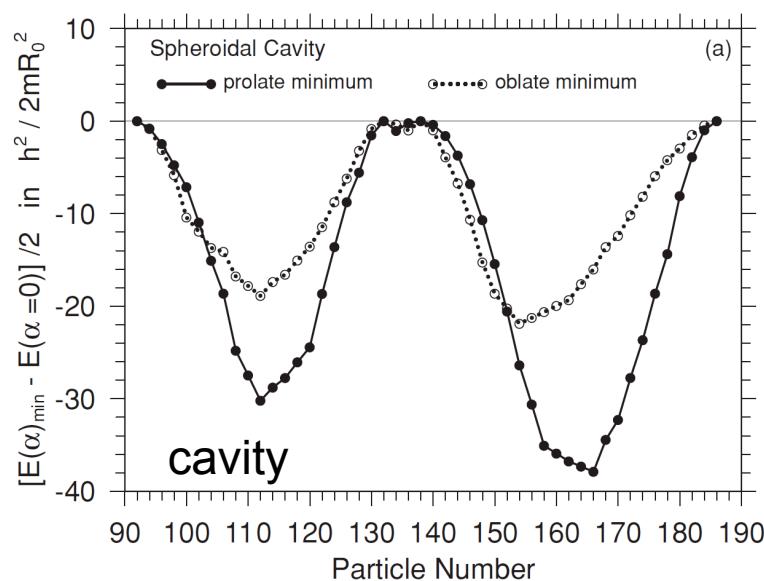
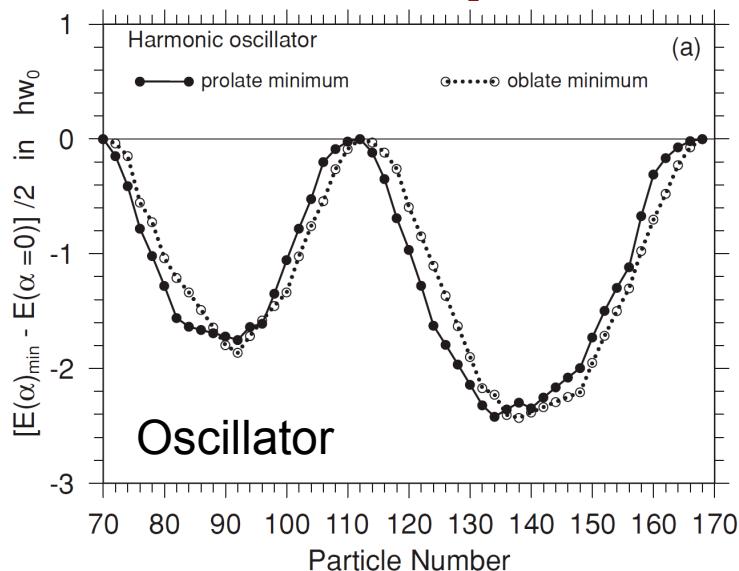
Binding energy, deviation from average

Nishioka et. al. Phys. Rev. B **42**, (1990) 9377
R.B. Balian, C. Block Ann. Phys. **69** (1971) 76

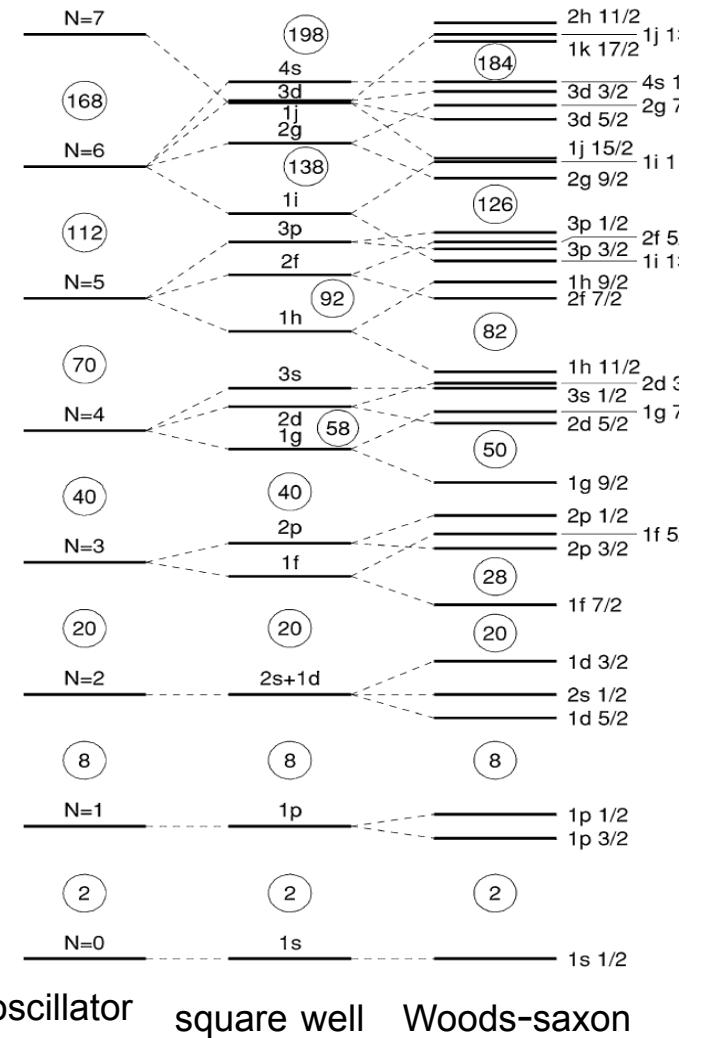
Supershells and classical periodic orbits



Prolate-shape dominance in nuclear deformation



The sharpness of the nuclear surface

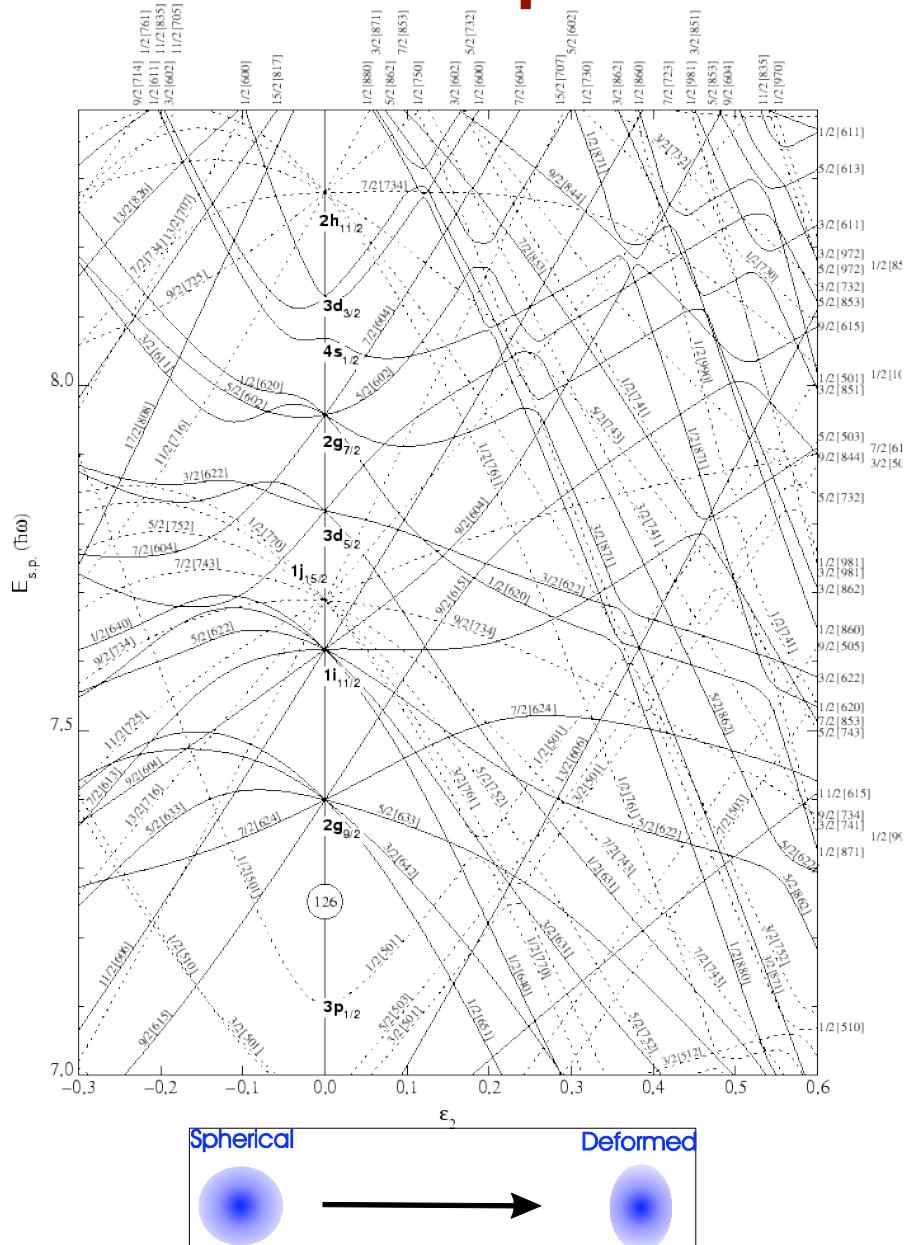


Evolution of shells

- Melting of shell structure
- Shells in deformed nuclei
- Shells in weakly bound nuclei
- Is the mean field concept valid?

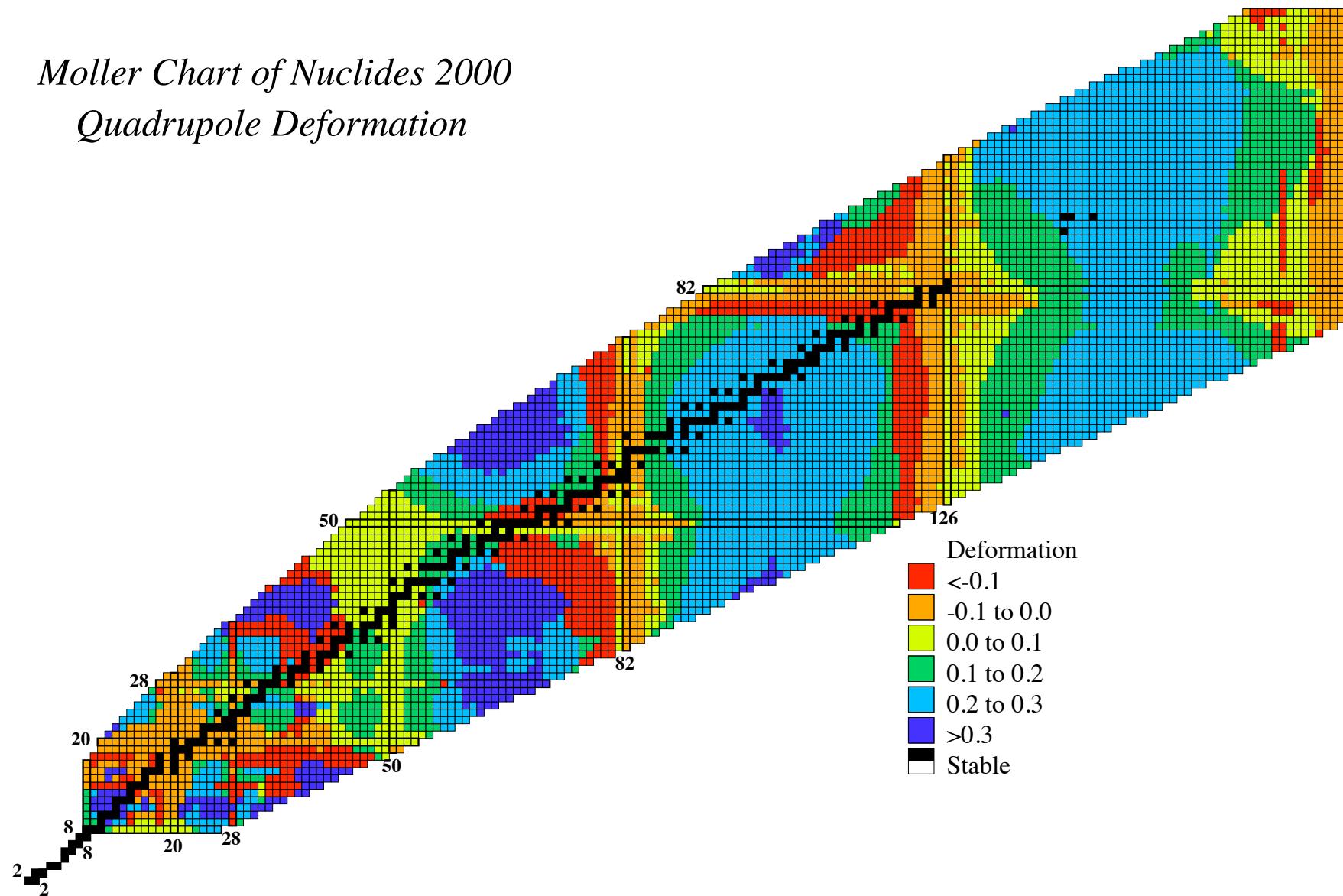
Single-nucleon motion in deformed potential

Nilsson Hamiltonian: Anisotropic Harmonic oscillator Hamiltonian

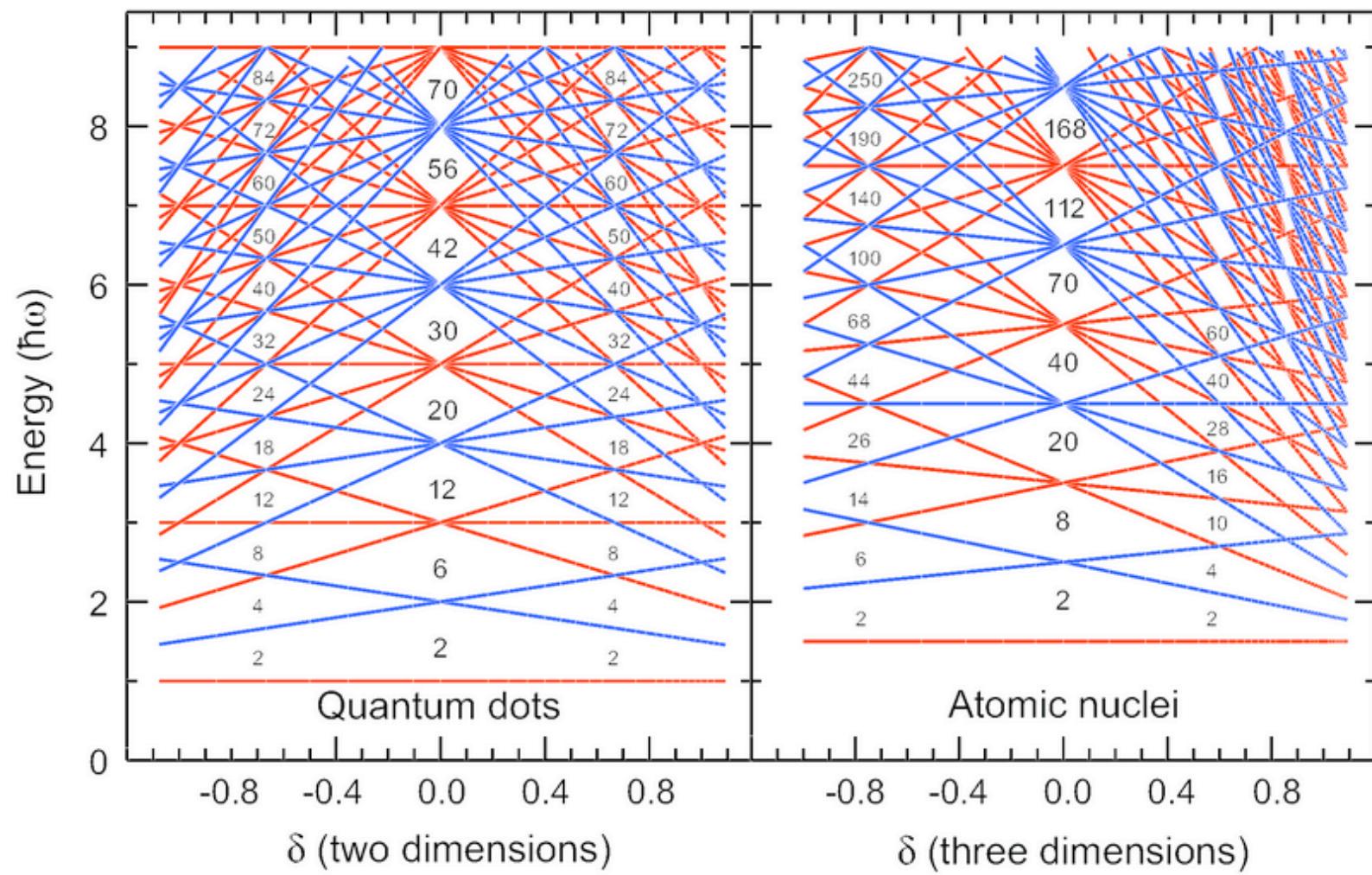


Moller Chart of Nuclides 2000

Quadrupole Deformation



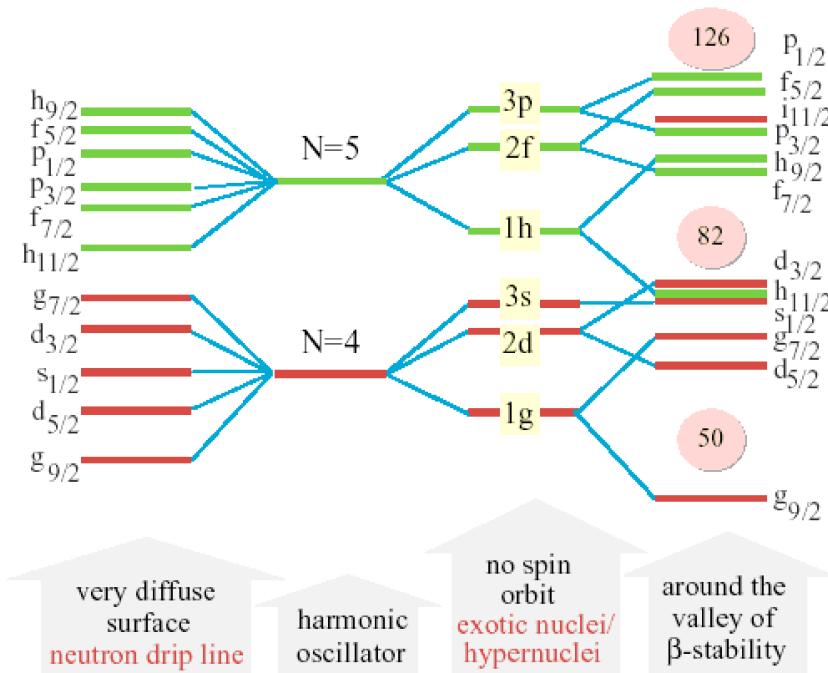
Deformation and shell gaps



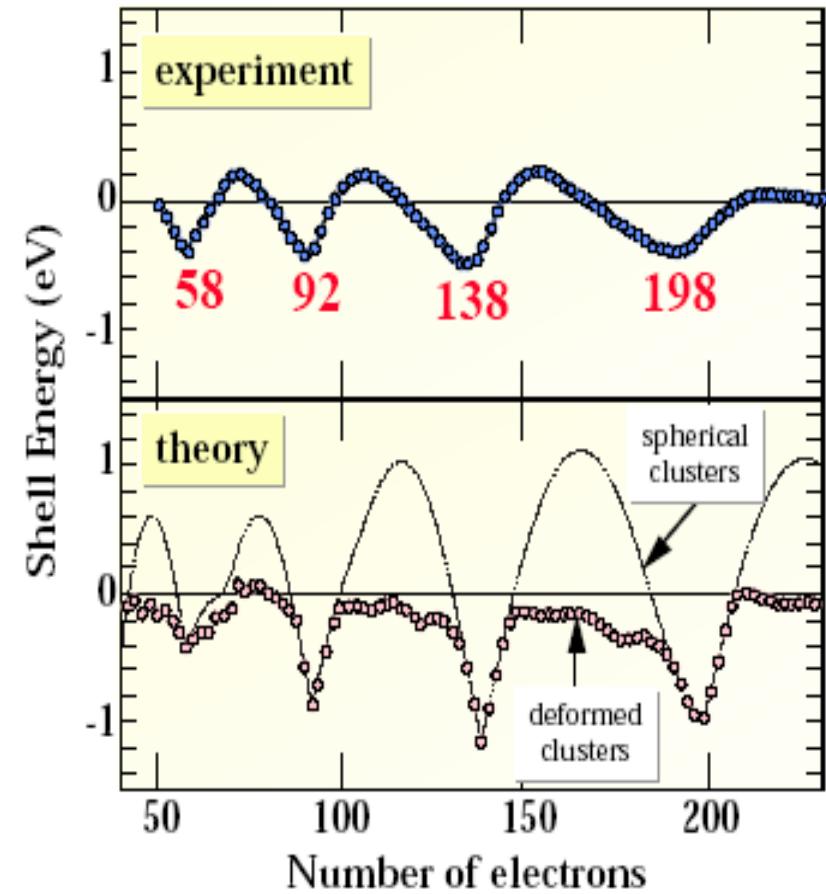
Shell structure in extreme limits

Melting of shell structure

Shells in nuclei far from stability



J. Dobaczewski *et al.*, PRC53, 2809 (1996)



T=0 and T=0.4 ev,
Frauendorf S, Pahskevich VV. *NATO ASI Ser. E: Appl. Sci.*, ed. TP Martin, 313:201. Kluwer (1996)

Single-particle decay

- Decay rate and width
- Potential size
- Single-particle structure and decay from deformed nucleus
- Decay and recoil.

Single-particle decay

Coordinate wave function $Y_{lm} \frac{u_l(r)}{r}$

Radial equation to solve $\left\{ -\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + 2\mu[v(r) + \alpha \frac{Zz}{r}] \right\} u_l(r) = k^2 u_l(r)$

$$u_l(r) = \cos(\delta_l) F_l(r) + \sin(\delta_l) G_l(r) \quad O_l^\pm(r) = G_l(r) \pm i F_l(r)$$

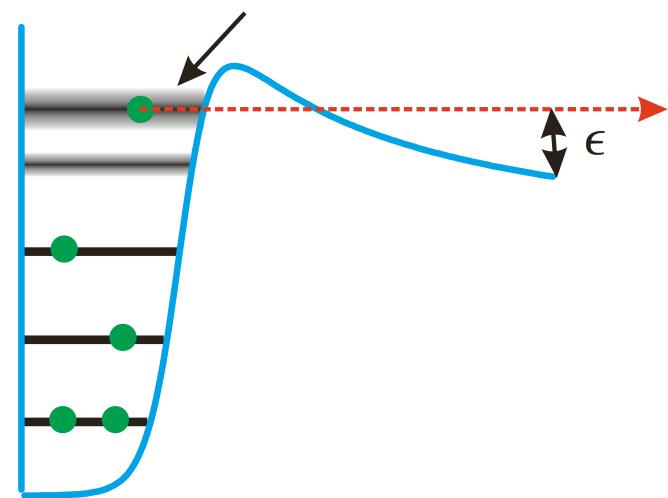
Consider an “almost” stationary state

$$\gamma = \frac{k}{\mu} |\mathcal{N}|^2$$

Square well of size R gives

$$\gamma = \frac{2\hbar^2}{\mu R^2} kR \left| \frac{2l-1}{2l+1} \right| T_l$$

Barrier transmission probability $T_l \approx \left[\frac{kR^l}{(2l-1)!!} \right]^2$ coulomb $T = \exp(-2\pi\eta)$



One-body decay

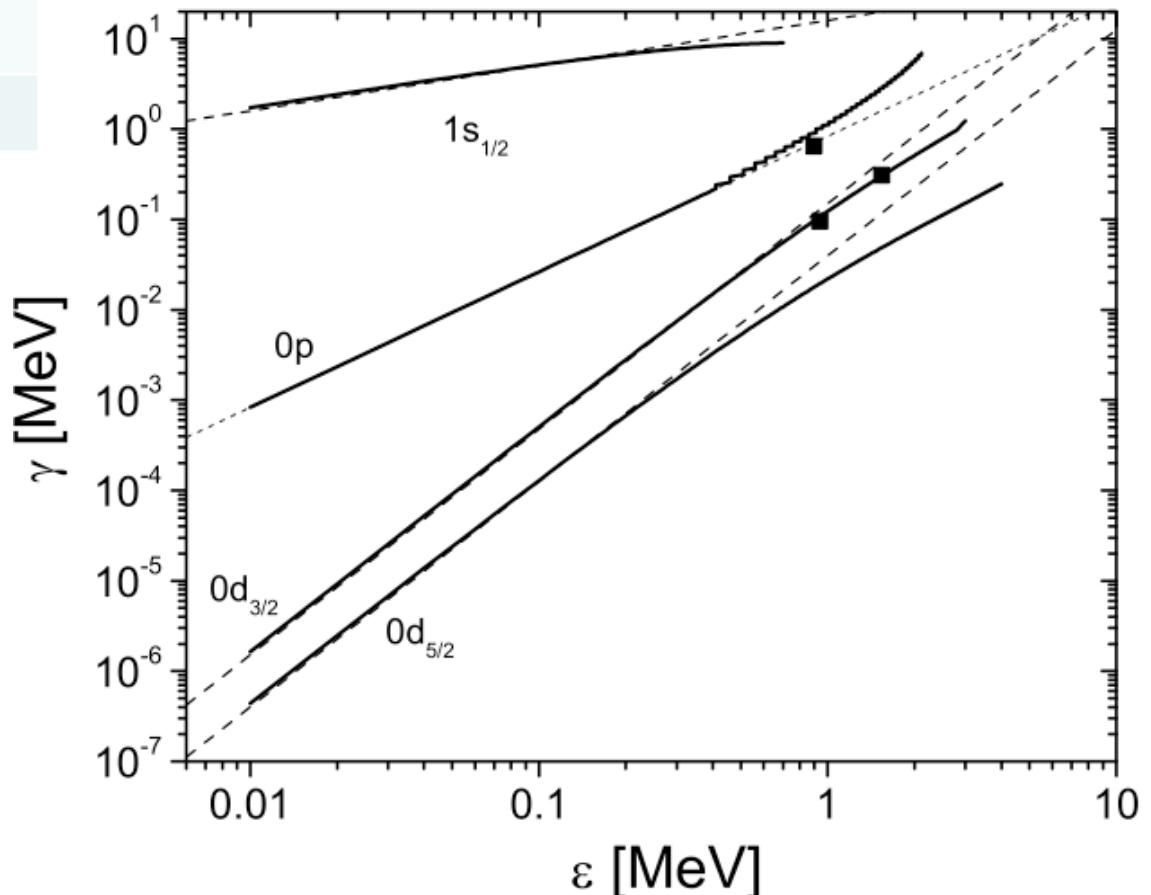
realistic one-body potential

$$\gamma(\epsilon) \sim \epsilon^{l+1/2}$$

	e(MeV)	γ (keV)	R(fm)
${}^5\text{He}$	0.895	648	4.5*
${}^{17}\text{O}$	0.941	98	3.8
${}^{19}\text{O}$	1.540	310	3.9

* ${}^5\text{He}$ is too broad $R=4.5$ fm
gives max width of 0.6 MeV

Decay width calculation
using Woods-Saxon



Single-particle decay and rotating mean field

Odd-nucleon systems with deformation: particle-rotor model:

Total angular momentum $\mathbf{I} = \mathbf{R} + \mathbf{j}$

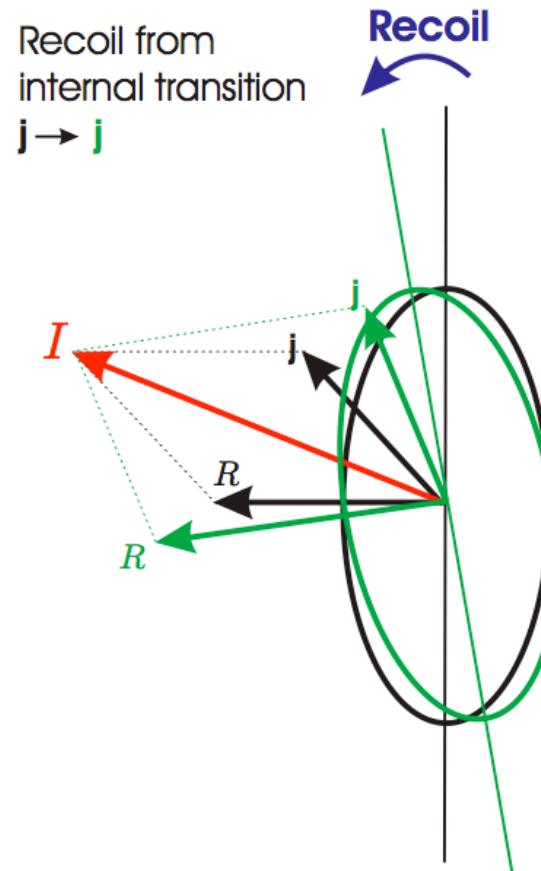
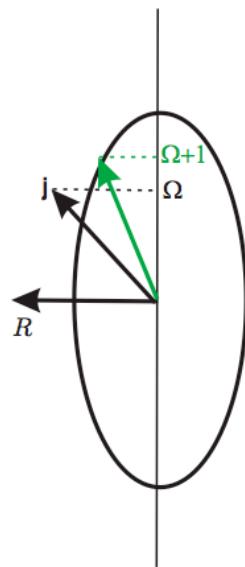
$$\frac{\mathbf{R}^2}{2\mathcal{L}} = \frac{(\mathbf{I} - \mathbf{j})^2}{2\mathcal{L}}$$

Recoil term “Coriolis”

Rotor part $H = \mathbf{I}^2/(2\mathcal{L}) + H'$

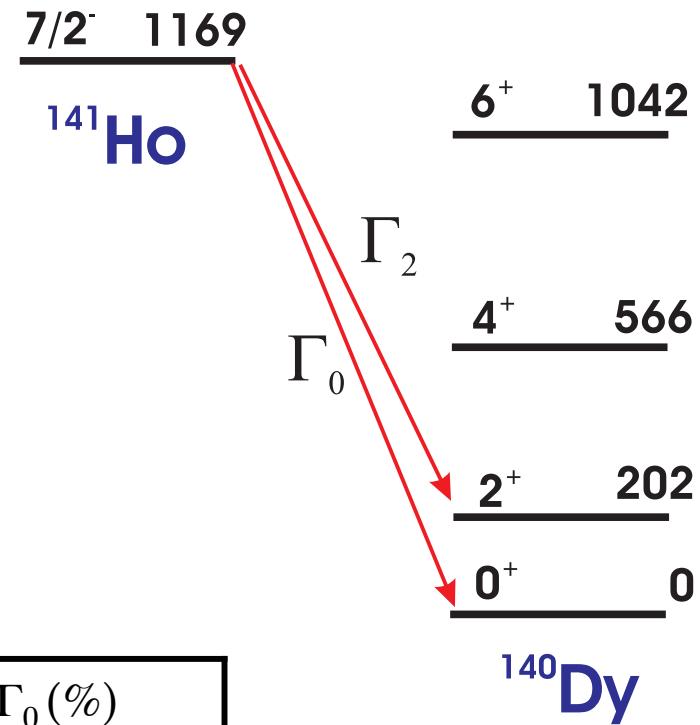
$$H' = \frac{1}{2\mathcal{L}}(\mathbf{j}^2 - 2j_3^2) - \frac{1}{2\mathcal{L}}(j_+ I_- + j_- I_+) + H_{\text{intr}}$$

Adiabatic treatment

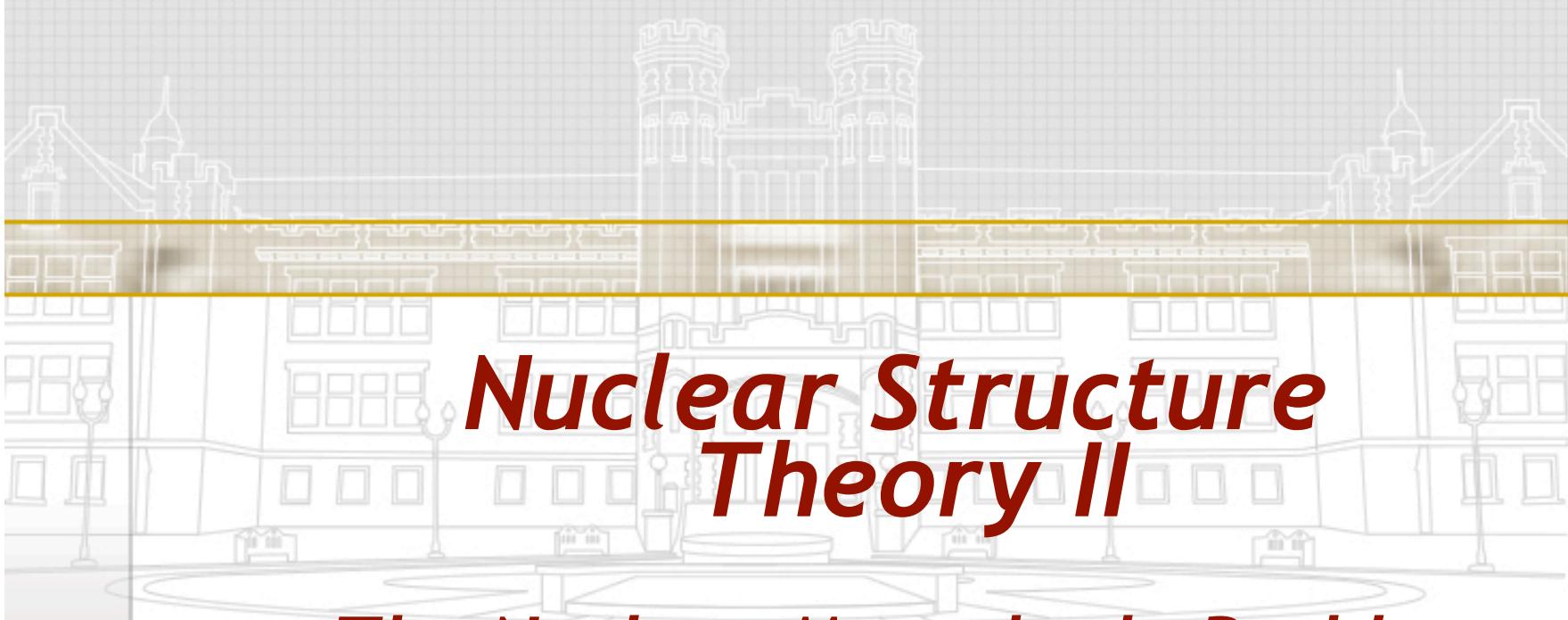


Particle decay from deformed proton emitters

- single particle motion
- deformed mean field
- recoil of mean field
- Coriolis attenuation



	Γ_0 (10^{-20} MeV)		Γ_2/Γ_0 (%)	
	Rotor	RHFB	P+Rotor	RHFB
Experiment	10.9		0.71	
Adiabatic	15.0		0.73	
Coriolis	1.4	5.9	1.8	1.2
Coriolis+pairing	1.7	7.0	1.7	0.3



Nuclear Structure Theory II

The Nuclear Many-body Problem

Alexander Volya

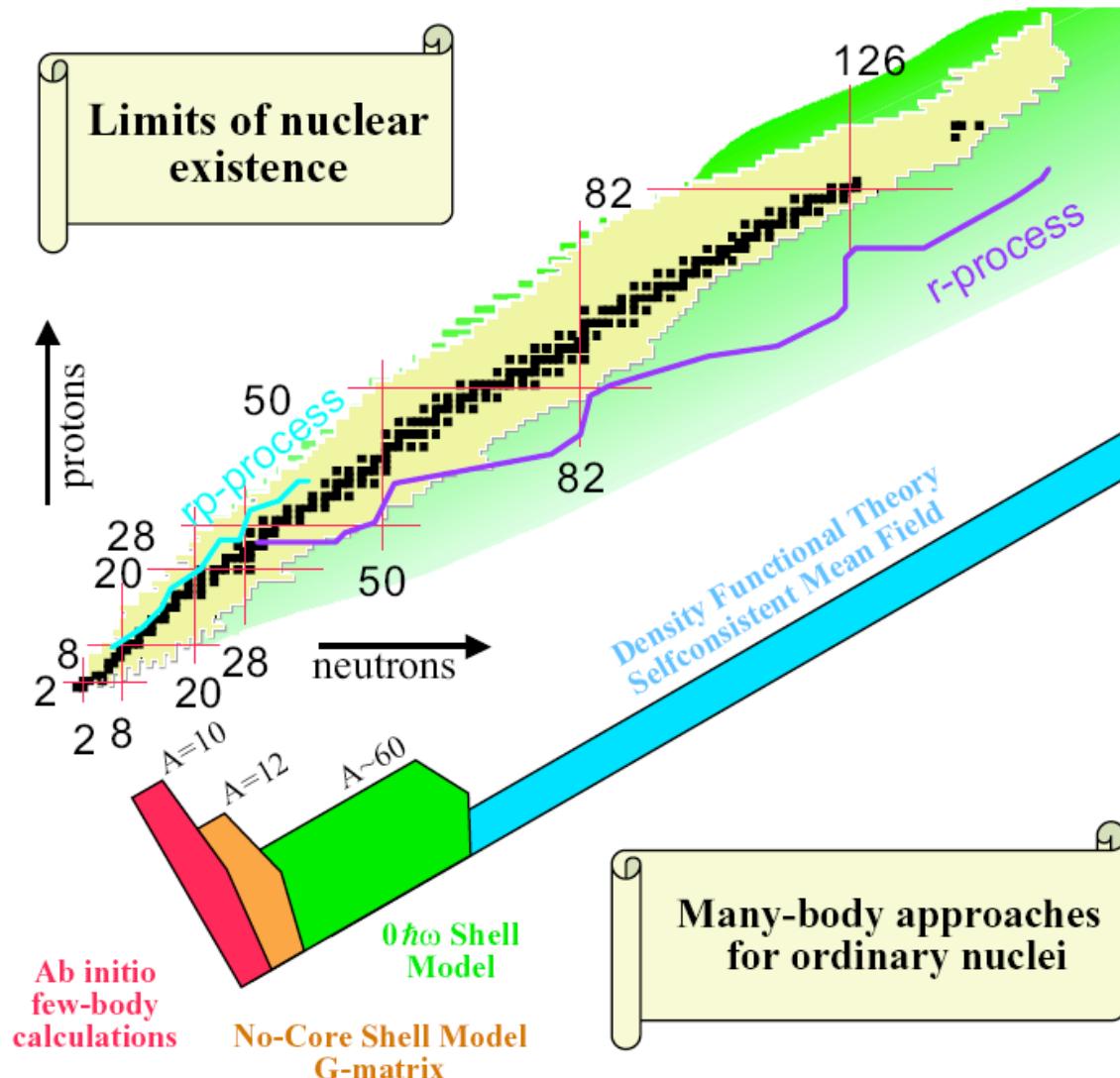
Florida State University

10th Exotic Beam Summer School - EBSS2011
East Lansing, Michigan. 25-30 July, 2011

Nuclear many-body problem configuration interaction, the shell model

- Applicability and limitations
- Many-body configurations and Hamiltonian
- Example study
- Binding energy, shell evolution and monopole
- Pairing interaction
- Multipole-multipole interaction, emergence of deformation and rotations
- Statistical approach and random matrix theory

Chart of Isotopes



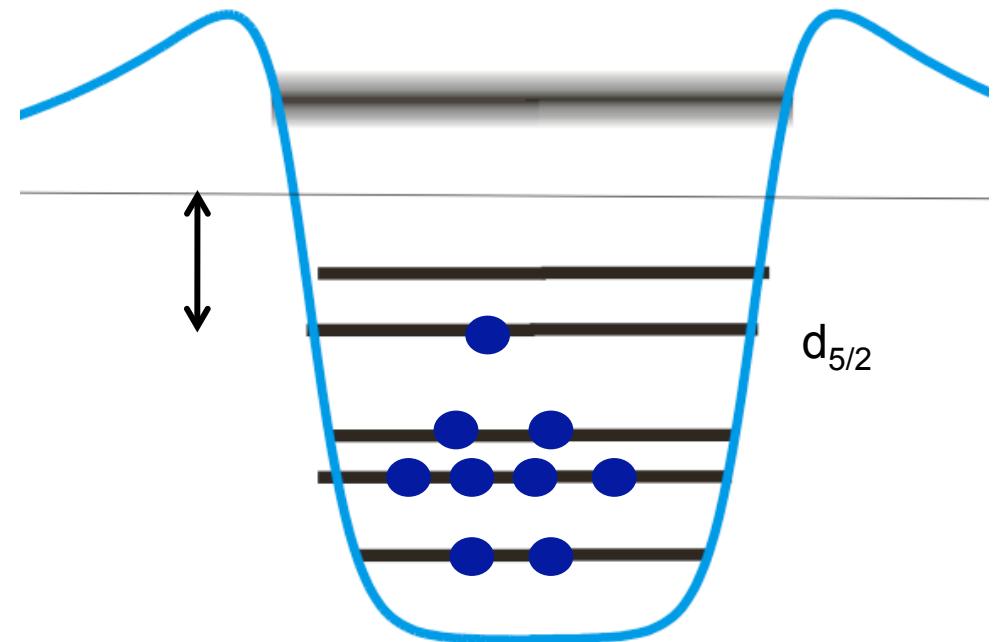
The Nuclear Shell Model

Many-body Hamiltonian

$$H = \sum_a \frac{\vec{p}_a^2}{2m} + \sum_{a>b} V_{NN}(r_a - r_b)$$

Mean field and residual interactions

$$H = \sum_a \left[\frac{\vec{p}_a^2}{2m} + U(r_a) \right] + \underbrace{\sum_{a>b} V_{NN}(r_a - r_b) - \sum_a U(r_a)}_{\text{Residual Interaction}}$$



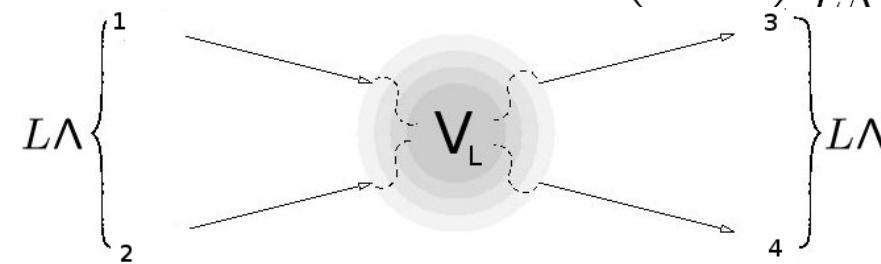
Residual interactions

- Residual, depends on mean-field
- Depends on truncation of space and basis
- Not exactly two-body

Shell model interactions

Two-body Hamiltonian in the particle-particle channel:

$$H = \sum_L V_L \sum_{\Lambda} P_{L\Lambda}^\dagger P_{L\Lambda} \quad \text{where} \quad P_{L\Lambda}^\dagger \propto (a_1^\dagger a_2^\dagger)_{L,\Lambda}$$



No-core shell model

- bare NN interaction
- Renormalized interactions improve convergence

Traditional shell model

- Simple potential interactions
- Renormalized bare interactions to include core and core excitations
- Phenomenological interactions determined from fits.

Typical shell model study

Shell model codes:

NuShell: <http://knollhouse.eu/NuShellX.aspx>

Antoine: <http://sbgat194.in2p3.fr/~theorie/antoine/menu.html>

Redstick: <http://www.phys.lsu.edu/faculty/cjohnson/redstick.html>

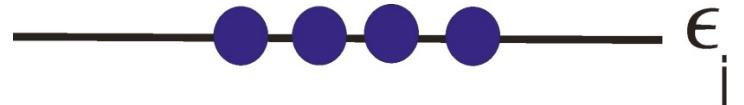
CoSMo: <http://www.volya.net> (click CoSMo)

For demonstration we use CoSMo code.

Anatomy of a shell model study

1. Identify system, valence space, limitations on many-body states to study (cosmoxml)
2. Create a list of many-body states, typically fixed J_z projection T_z , and parity (Xsysmbs)
3. Create many-body Hamiltonian (XHH+JJ)
4. Diagonalize many-body Hamiltonian using exact, lanczos, davidson or other method (texactev, davidson_file).
5. Database eigenstates states and determine their spins (XSHLJT)
6. Define other operators and compute various properties
 - Overlaps and spectroscopic factors (SHLSF)
 - Electromagnetic transition rates (SHLEMB)

The simple model



- Single-j level
- $\Omega=2j+1$ single-particle orbitals: $m=-j, -j+1, \dots, j$
- Number of nucleons N : $0 \leq N \leq \Omega$
- Number of many-body states: $\Omega! / ((N!(\Omega-N)!)$
- Many-body states classified by rotational symmetry: (J,M)

Dynamics

- Rotational invariance and two-body interactions

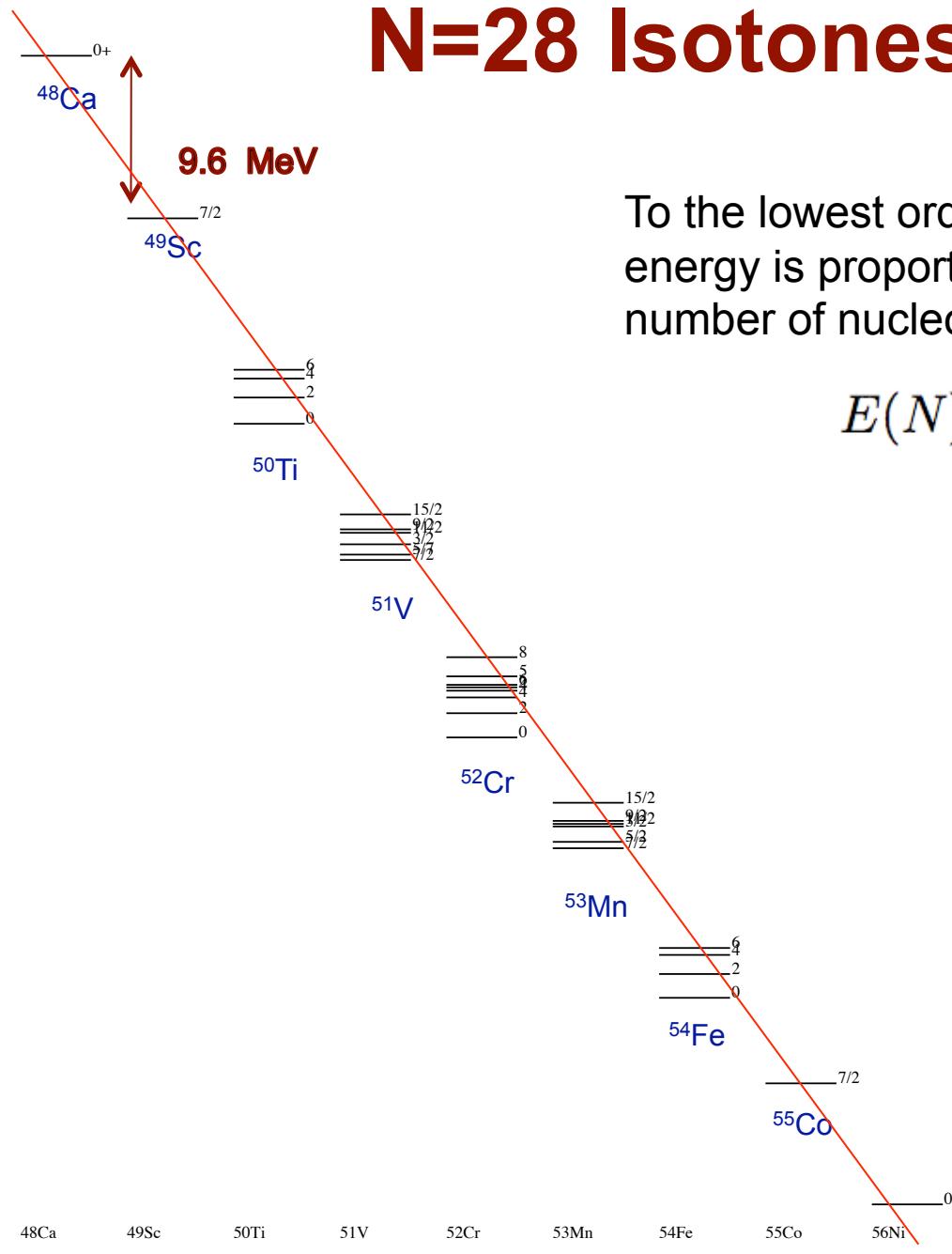
particle-particle pair operator $P_{LM} = (a^\dagger a)_{LM}$

particle-hole pair operator $M_{K\kappa} = (a^\dagger a)_{K\kappa}$

- Hamiltonian $H = \sum_L V_L \sum_M P_{LM}^\dagger P_{LM}$

• Dynamics is fully determined by $j+1/2$ parameters V_L

N=28 Isotones, data



To the lowest order binding energy is proportional to the number of nucleons.

$$E(N) \sim \epsilon N$$

Spin	ν	Name	Binding
0	0	^{48}Ca	0
7/2	1	^{49}Sc	9.626
0	0	^{50}Ti	21.787
2	2	1.554	20.233
4	2	2.675	19.112
6	2	3.199	18.588
7/2	1	^{51}V	29.851
5/2	3	0.320	29.531
3/2	3	0.929	28.922
11/2	3	1.609	28.241
9/2	3	1.813	28.037
15/2	3	2.700	27.151
0	0	^{52}Cr	40.355
2 ₁	2*	1.434	38.921
4 ₁	4*	2.370	37.986
4 ₂	2*	2.768	37.587
2 ₂	4*	2.965	37.390
6	2	3.114	37.241
5	4	3.616	36.739
8	4	4.750	35.605
7/2	1	^{53}Mn	46.915
5/2	3	0.378	46.537
3/2	3	1.290	45.625
11/2	3	1.441	45.474
9/2	3	1.620	45.295
15/2	3	2.693	44.222
0	0	^{54}Fe	55.769
2	2	1.408	54.360
4	2	2.538	53.230
6	2	2.949	52.819
7/2	2	^{55}Co	60.833
0	0	^{56}Ni	67.998

N=28 Isotones, data

1-particle $J=j=7/2$

2 particles $J=0,1,2,\dots,7$

but Pauli principle $J=0,2,4,6$

3 particles

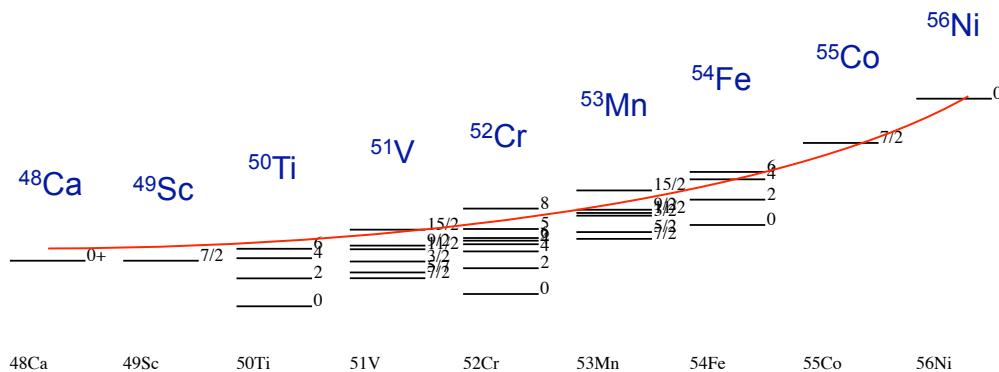
Total states $56=8!/(5!3!)$

$J=15/2, 11/2, 9/2, 7/2, 5/2, 3/2$

3-particles on $j=7/2, 28 m>0$ states

m_1	m_2	m_3	M	
7/2	5/2	3/2	15/2	1
7/2	5/2	1/2	13/2	1
7/2	5/2	-1/2	11/2	
7/2	3/2	1/2	11/2	2
7/2	5/2	-3/2	9/2	
7/2	3/2	-1/2	9/2	
5/2	3/2	1/2	9/2	3
7/2	5/2	-5/2	7/2	
7/2	3/2	-3/2	7/2	
7/2	1/2	-1/2	7/2	
5/2	3/2	-1/2	7/2	4
7/2	5/2	-7/2	5/2	
7/2	3/2	-5/2	5/2	
7/2	1/2	-3/2	5/2	
5/2	3/2	-3/2	5/2	
5/2	1/2	-1/2	5/2	5
7/2	3/2	-7/2	3/2	
7/2	1/2	-5/2	3/2	
7/2	-1/2	-3/2	3/2	
5/2	3/2	-5/2	3/2	
5/2	1/2	-3/2	3/2	
3/2	1/2	-1/2	3/2	6
7/2	1/2	-7/2	1/2	
5/2	3/2	-7/2	1/2	
5/2	1/2	-5/2	1/2	
5/2	-1/2	-3/2	1/2	
5/2	-3/2	-1/2	1/2	
3/2	1/2	-3/2	1/2	6

Monopole term



$$H = \epsilon N + \sum_{L=0,2,4,6} V_L \sum_{M=-L}^L P_{LM}^\dagger P_{LM}$$

Consider a constant component “shift” $V_L \rightarrow V_L - \tilde{V}_0$

“Shift term” counts number of pairs $H \rightarrow H - \frac{N(N-1)}{2} \tilde{V}_0$

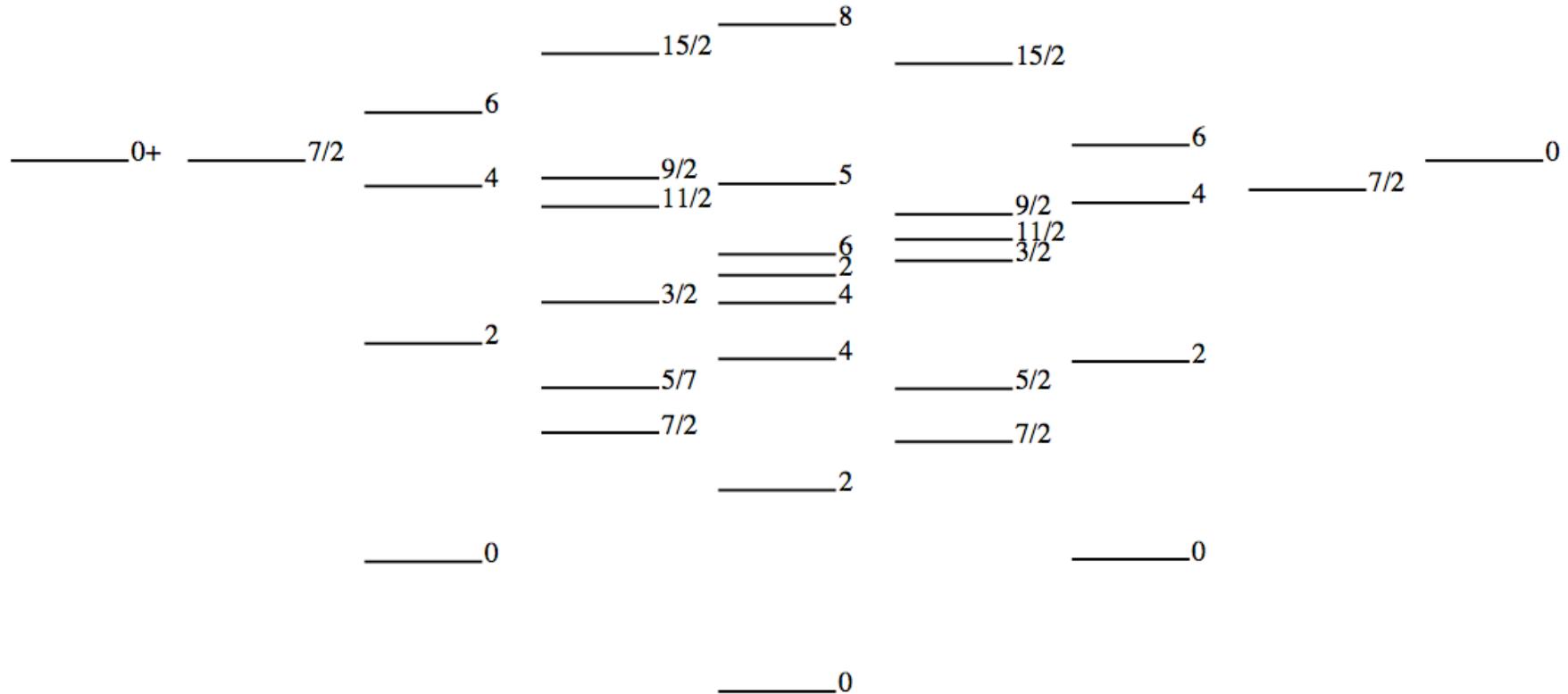
What is needed to fix closed shell ^{56}Ni ? $N = \Omega = 2j + 1$

Fully occupied shell ^{56}Ni $\langle \Omega | P_{LM}^\dagger P_{LM} | \Omega \rangle = 1$ $E_{^{56}\text{Ni}} = 8\epsilon + \sum_L V_L (2L + 1)$

Monopole term $\tilde{V}_0 = \frac{\sum_L (2L + 1) V_L}{\sum_L (2L + 1)}$ $\sum_L (2L + 1) = \frac{\Omega(\Omega - 1)}{2}$

Matching binding across the shell gives $\tilde{V}_0 = 0.3217 \text{ MeV}$

N=28 isotones Monopole term



$$E - \epsilon N - N(N-1)\tilde{V}_0/2$$

$$\epsilon = -9.626 \text{ MeV} \quad \tilde{V}_0 = 0.3217 \text{ MeV}$$

Prediction $S_p = 7.374 \text{ MeV}$ in ^{56}Ni

The single-particle energy changes from 9.6 MeV to 7.3 MeV

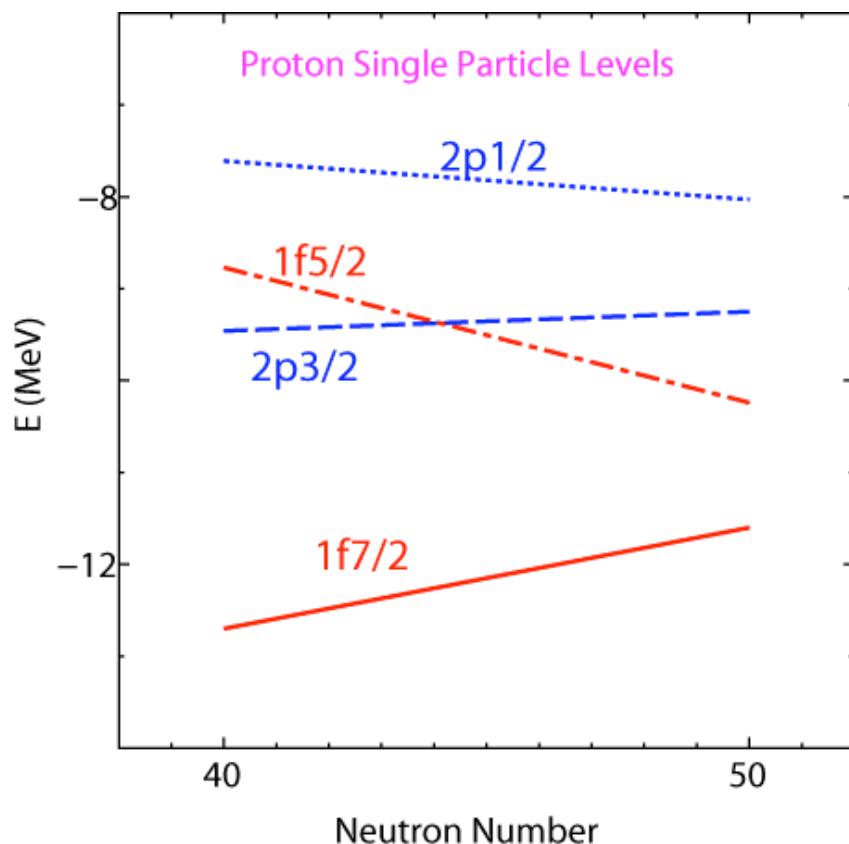
Woods-Saxon prediction 9.9 MeV to 7.2 MeV

Shell evolutions and monopole term

Energy: $E(N) \sim \epsilon N + N(N - 1)\tilde{V}_0/2$

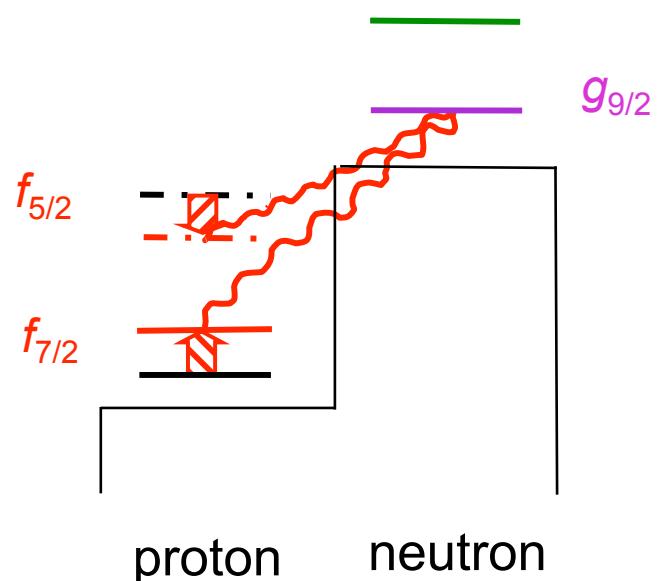
$$\varepsilon = \partial E / \partial N \sim \epsilon + \tilde{V}_0 N$$

Effective single-particle energies



Tensor nucleon-nucleon interaction off-diagonal monopole term

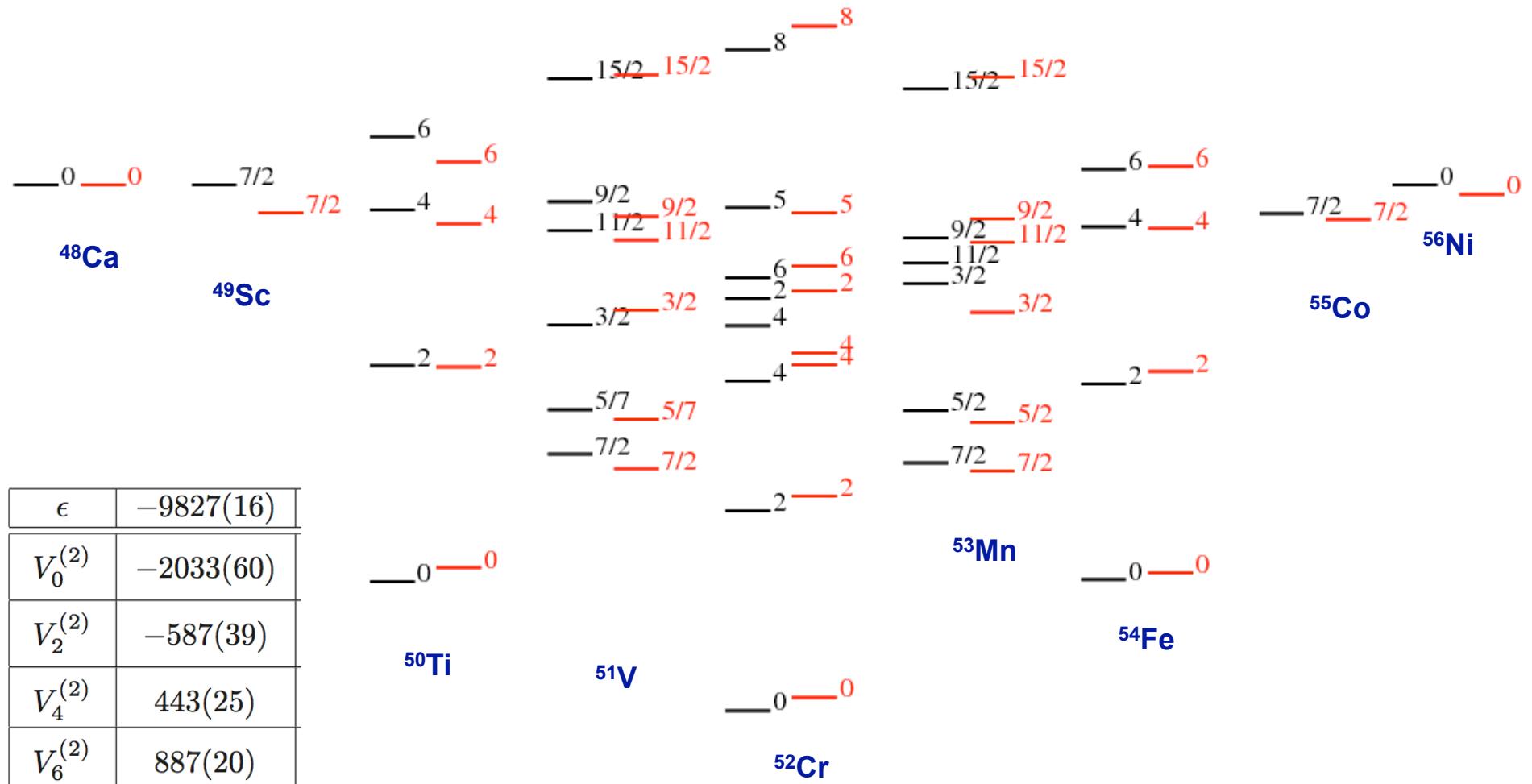
$$\varepsilon_i = \epsilon_i + \sum \tilde{V}_0^{(i,j)} N_j$$



Example from From Otsuka, GXPF1 interaction

N=28 Best fit

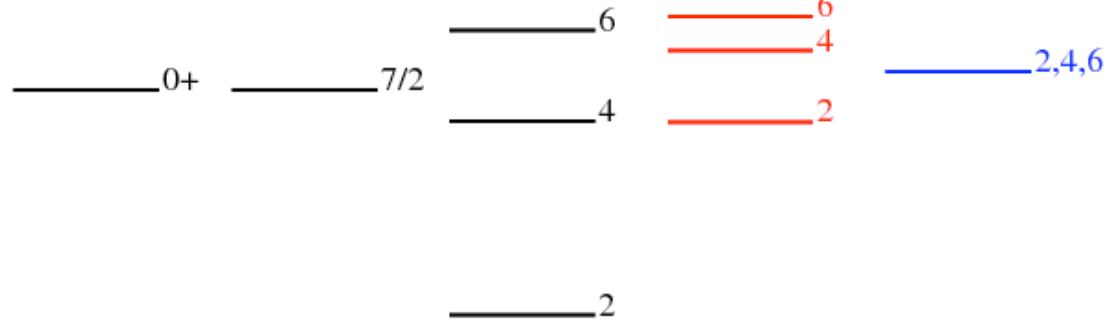
Overall spectrum, ordering is well reproduced 31 state only 5 parameters
 There are discrepancies, p-h symmetry, seniority



Two-body interaction pairing and potential model

Phenomenological residual interaction

$$V(\mathbf{r}_1 - \mathbf{r}_2) \sim \delta^3(\mathbf{r}_1 - \mathbf{r}_2)$$



$$V_L \sim \frac{1}{2L + 1} |C_{j1/2,j-1/2}^{L0}|^2$$

Short range interaction
contributes most to pairing
matrix element V_0



^{48}Ca

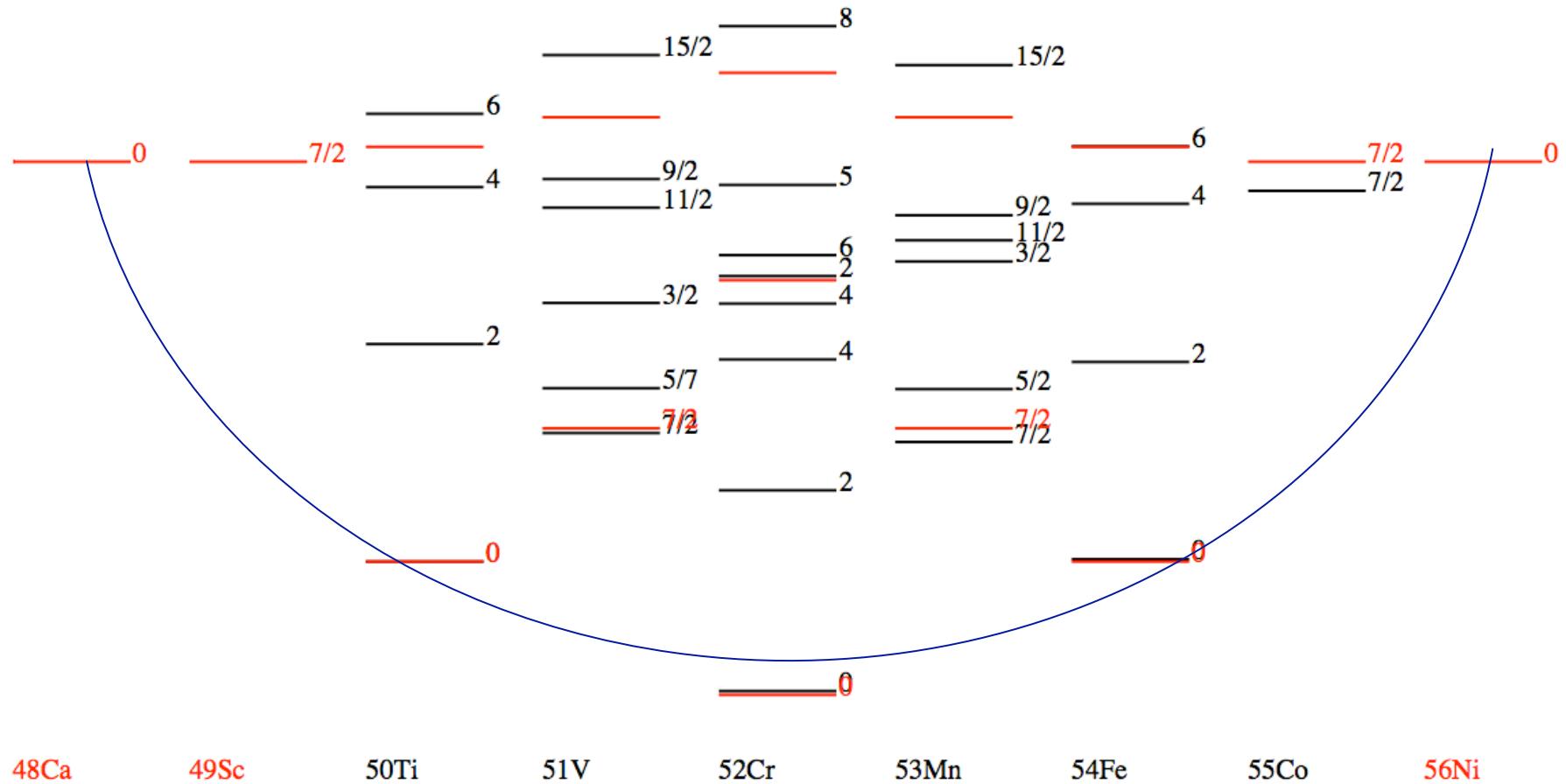
^{49}Sc

^{50}Ti

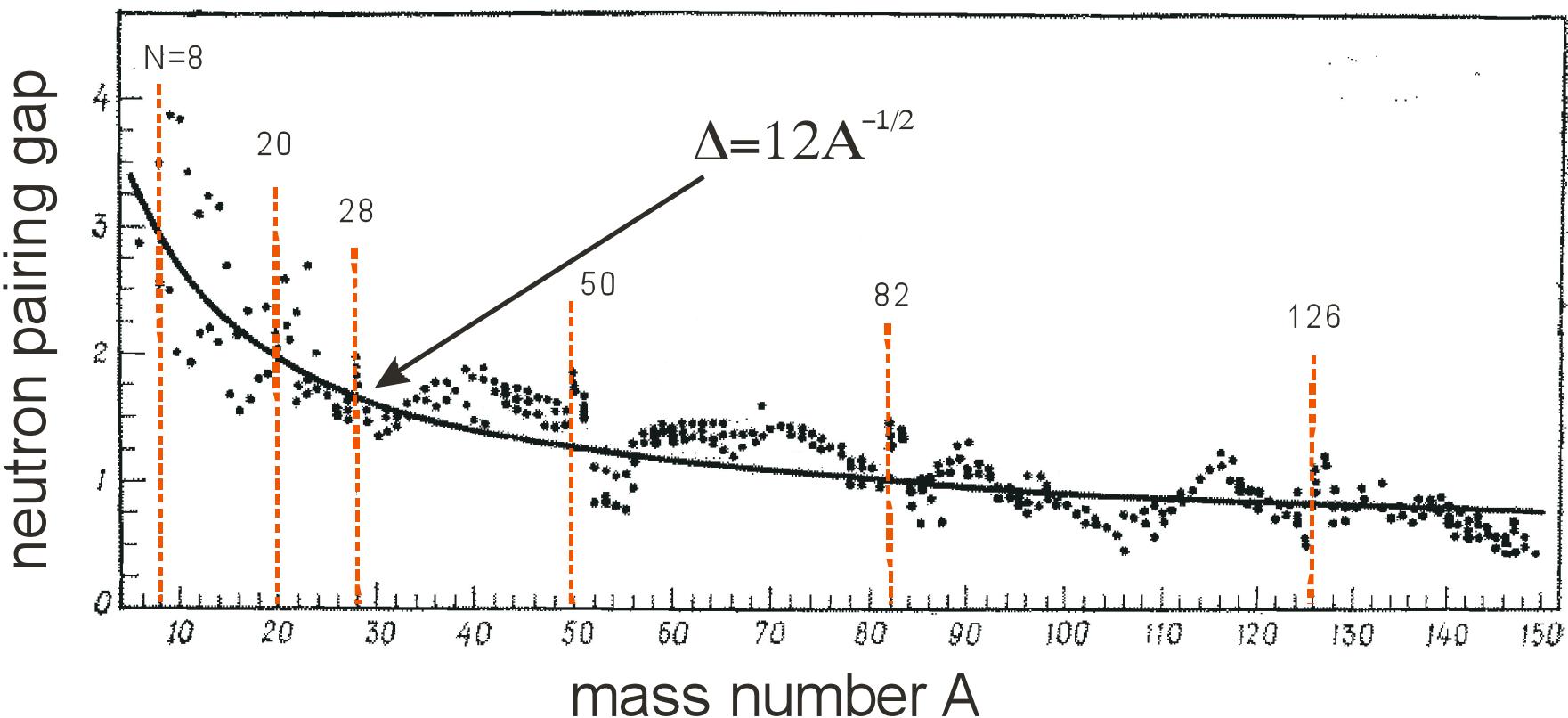
delta

pairing

Pairing interaction in f7/2 shell nuclei



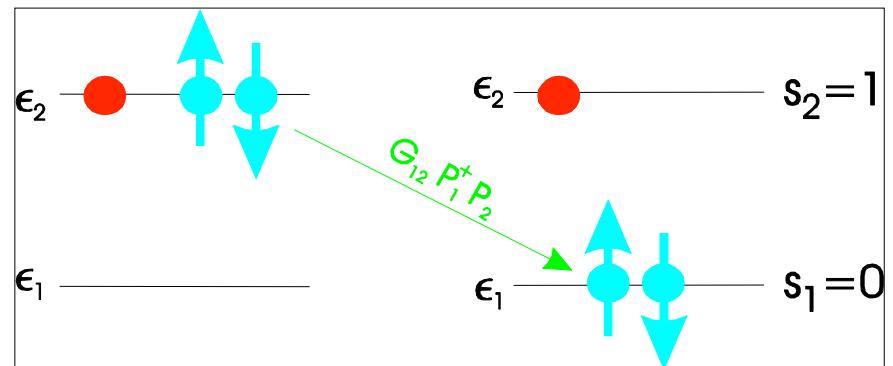
Pairing interaction in nuclei



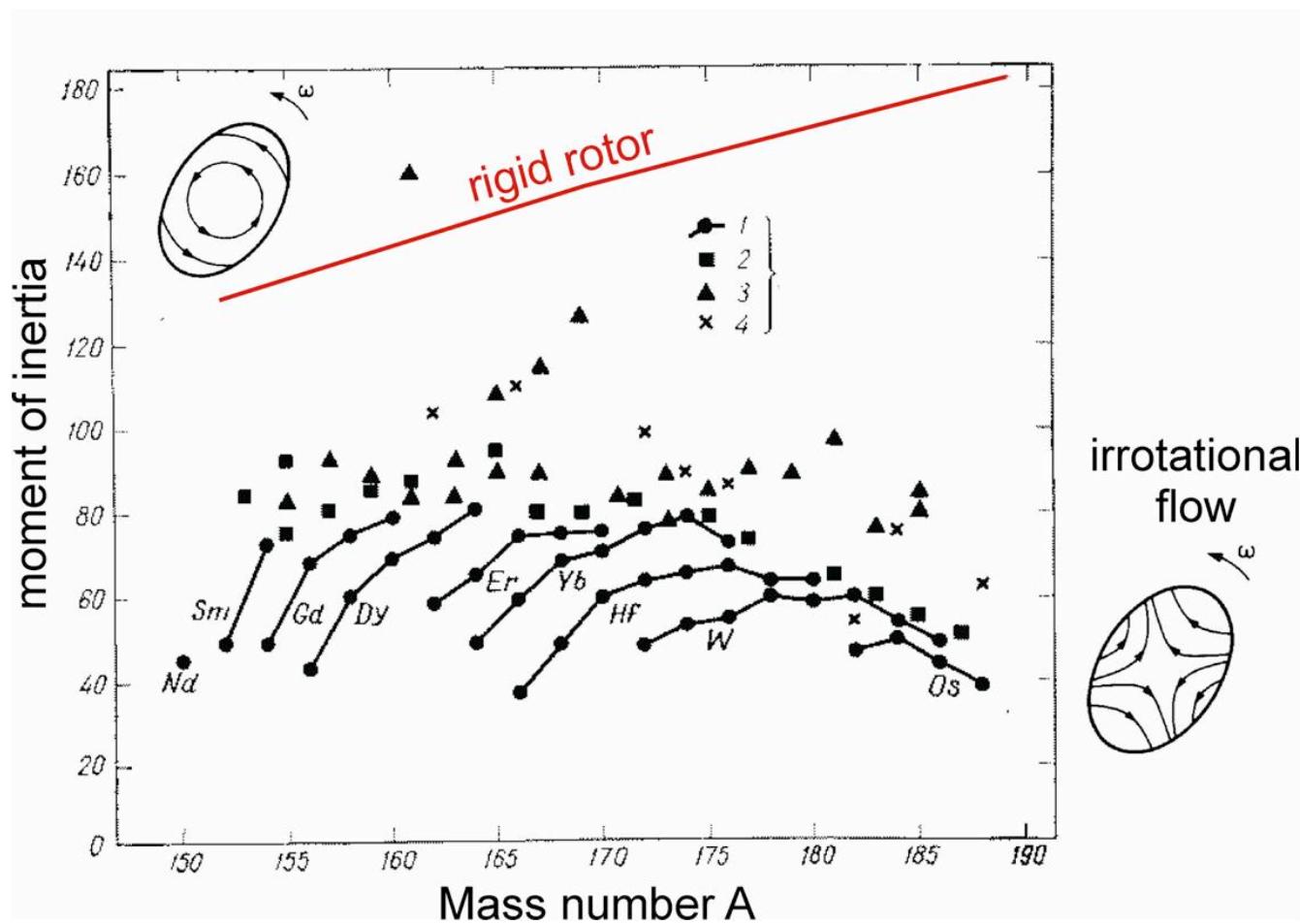
Pairing Hamiltonian

- Pairing on degenerate time-conjugate orbitals
 $|1\rangle \leftrightarrow |\tilde{1}\rangle \quad |j\tilde{m}\rangle = (-1)^{j-m}|j - m\rangle$
- Pair operators $P = (a_1 a_1^\dagger)_{J=0}$ ($J=0, T=1$)
- Number of unpaired fermions is **seniority** ν
- Unpaired fermions are untouched by H

$$H = \sum_1 \epsilon_1 N_1 - \sum_{12} G_{12} P_1^\dagger P_2$$



Evidence of nuclear superfluidity



Approaching the solution of pairing problem

- Approximate
 - BCS theory
 - HFB+correlations+RPA
 - Iterative techniques
- Exact solution
 - Richardson solution
 - Algebraic methods
 - Direct diagonalization + quasispin symmetry¹

¹A. Volya, B. A. Brown, and V. Zelevinsky, Phys. Lett. B 509, 37 (2001).

Quasispin and exact solution of pairing problem

Algebra of pair operators

$$[P, P^\dagger] = 1 - \frac{2N}{\Omega}$$

$$[N, P^\dagger] = 2P^\dagger$$

Algebra of spin operators

$$[\mathcal{L}^+, \mathcal{L}^-] = -2\mathcal{L}_z$$

$$[\mathcal{L}_z, \mathcal{L}^+] = \mathcal{L}_z$$

The pair operators from SU(2) algebra “quasispin”

$$\mathcal{L}^+ = \sqrt{\frac{\Omega}{2}} P^\dagger \quad \mathcal{L}^- = \sqrt{\frac{\Omega}{2}} P$$

$$\mathcal{L}_z = \frac{N}{2} - \frac{\Omega}{4}$$

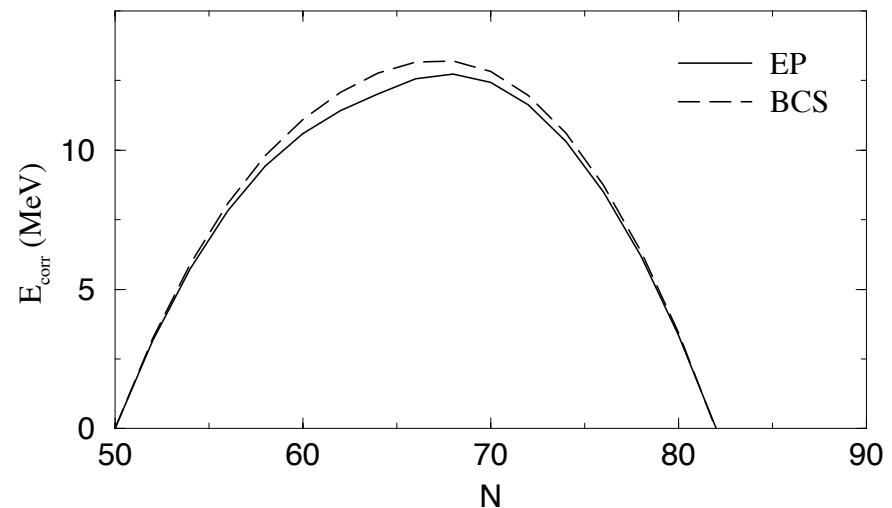
Magnitude of the quasispin, define seniority

$$\mathcal{L} = \frac{\Omega}{4} - \frac{\nu}{2}$$

Hamiltonian

$$H = \epsilon N + V_0 P^\dagger P = \epsilon N + V_0 \frac{2}{\Omega} \mathcal{L}^+ \mathcal{L}^-$$

$$E(N, \nu) = \epsilon N + V_0 \frac{N - \nu}{2\Omega} (\Omega - N - \nu + 2)$$



Quasispin and single-particle operators

Single-particle operators are quasispin 1/2

$$a^\dagger, a \quad \mathcal{L} = 1/2, \mathcal{L}_z = +1/2, -1/2$$

Example: Decay and spectroscopic factors

Initial state with N even

$$\nu = 0, N \rightarrow \mathcal{L} = \frac{\Omega}{4} \quad \mathcal{L}_z = \frac{N}{2} - \frac{\Omega}{4}$$

Final odd-N state

$$\nu = 1, N-1 \rightarrow \mathcal{L}' = \frac{\Omega}{4} - \frac{1}{2} \quad \mathcal{L}'_z = \frac{N}{2} - \frac{1}{2} - \frac{\Omega}{4}$$

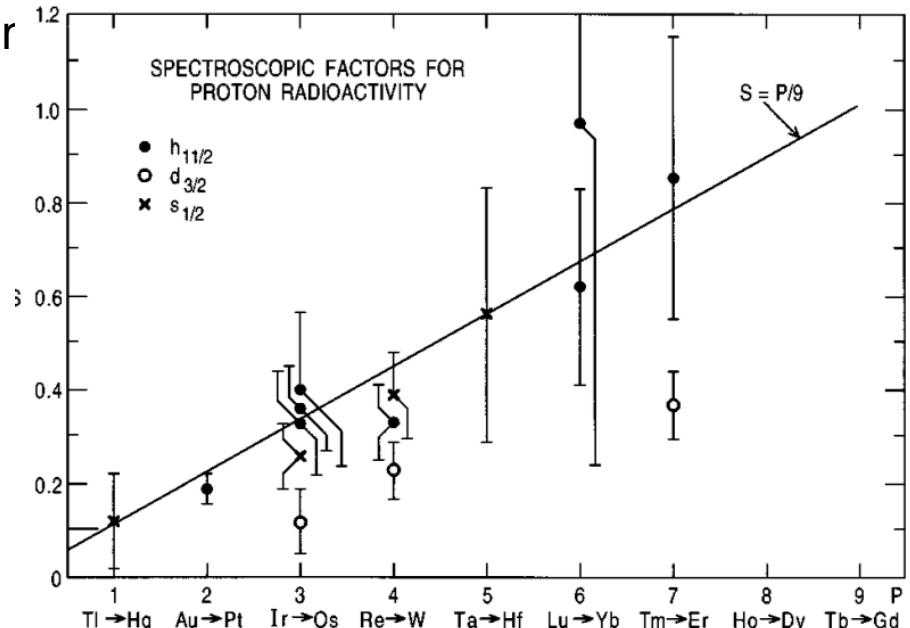
Decay width of a many-particle state $\Gamma = S\gamma$

N-dependence and Wigner-Eckard theorem

Spectroscopic factor

$$S \sim |\langle I' | a | I \rangle|^2 \sim \left| C_{1/2-1/2, \mathcal{L}\mathcal{L}_z}^{\mathcal{L}-1/2, \mathcal{L}_z-1/2} \right|^2 \sim N$$

Chances to decay are proportional to the number of particles



From. Phys. Rev. C 55, 2255–2266 (1997)

Quasispin and two-particle operators

Even multipoles (quadrupole moment $L=2$) are quasivectors

$$P_{LM}^\dagger \sim \{a^\dagger a^\dagger\}_{LM}, \mathcal{M}_{LM} \sim (a^\dagger a)_{LM}, P_{LM} \sim (aa)_{LM}$$

$$\mathcal{L} = 1, \mathcal{L}_z = -1, 0, 1, \quad L = 0, 2, \dots$$

Odd-multipoles (magnetic moment $L=1$)

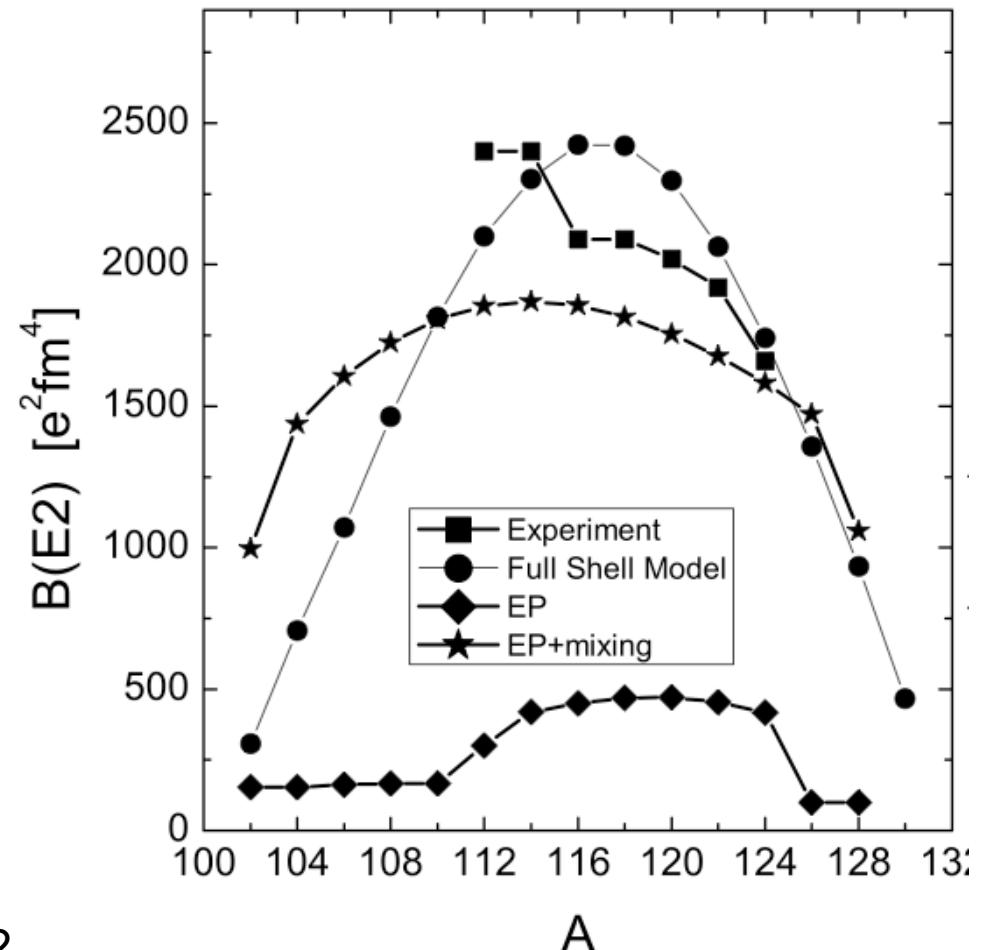
$$\mathcal{M}_{LM} \sim (a^\dagger a)_{LM}$$

$$\mathcal{L} = 0, \mathcal{L}_z = 0, \quad L = 1, 3, \dots$$

Example:
Reduced electromagnetic decay rates

$$B(E2) \sim \left| C_{10, \mathcal{L}-1 \mathcal{L}_z}^{\mathcal{L}, \mathcal{L}_z} \right|^2 \sim N(\Omega - N)$$

Figure. Models and data for
 $B(E2)$ rates across shell $A=100-132$



Quasispin and exact solution of pairing problem

For many levels each level is associated with a spin

- Operators P_j^\dagger , P_j and N_j form a SU(2) group
 $P_j^\dagger \sim L_j^+$, $P_j \sim L_j$, and $N_j \sim L_j^z$
- Quasispin L_j^2 is a constant of motion,
seniority $s_j = (2j+1) - 2L_j$
- States can be classified with set
 (L_j, L_j^z) , (s_j, N_j)
- Each s_j is conserved but N_j is not
- Extra conserved quantity simplifies solution.

Example: ^{116}Sn : 601,080,390 m-scheme states

272,828 $J=0$ states

110 $s=0$ states

Linear algebra with sparse matrices is fast. Deformed basis $N_{\max} \sim 50-60$

- Generalization to isovector pairing, R_5 group

BCS theory

Trial wave-function

$$|0\rangle = \prod_{\nu} \left(u_{\nu} - v_{\nu} a_{\nu}^{\dagger} \tilde{a}_{\nu}^{\dagger} \right) |0\rangle, \text{ where } \underbrace{|u_{\nu}|^2}_{\text{empty}} + \underbrace{|v_{\nu}|^2}_{\text{occupied}} = 1$$

Minimization of energy determines

$$|v_{\nu}|^2 = \frac{1}{2} \left(1 - \frac{\epsilon_{\nu} - \mu}{e_{\nu}} \right), \quad |u_{\nu}|^2 = \frac{1}{2} \left(1 + \frac{\epsilon_{\nu} - \mu}{e_{\nu}} \right)$$

Gap equation

$$\Delta_{\nu} = \frac{1}{4} \sum_{\nu'} G_{\nu \nu'} \frac{\Delta_{\nu'}}{e_{\nu'}}, \text{ where } e_{\nu} = \sqrt{(\epsilon_{\nu} - \mu)^2 + \Delta_{\nu}^2}$$

Shortcomings of BCS

- Particle number non-conservation

$$|\text{BCS}\rangle = \prod_{\nu(\text{doublets})} \left\{ u_\nu - v_\nu P_\nu^\dagger \right\} |0\rangle$$

- Phase transition and weak pairing problem

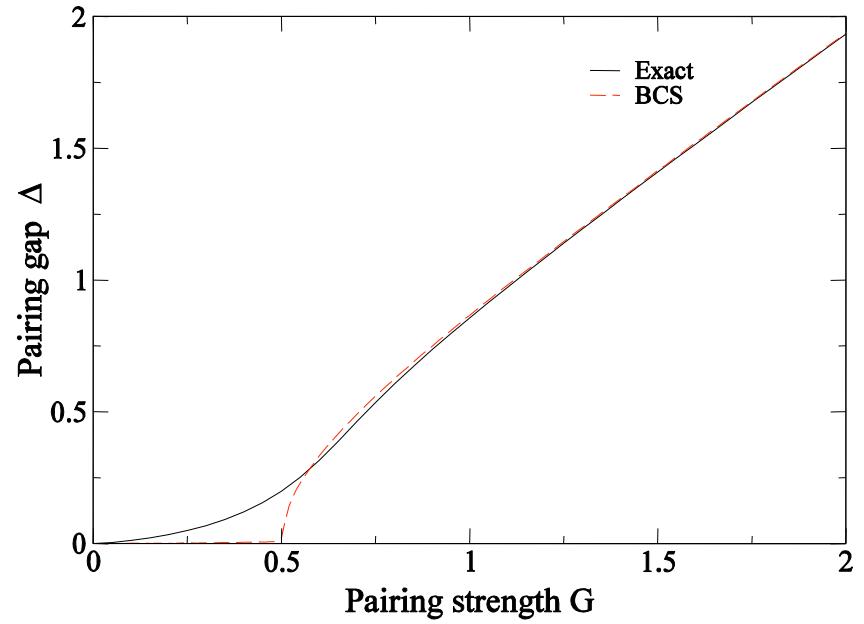
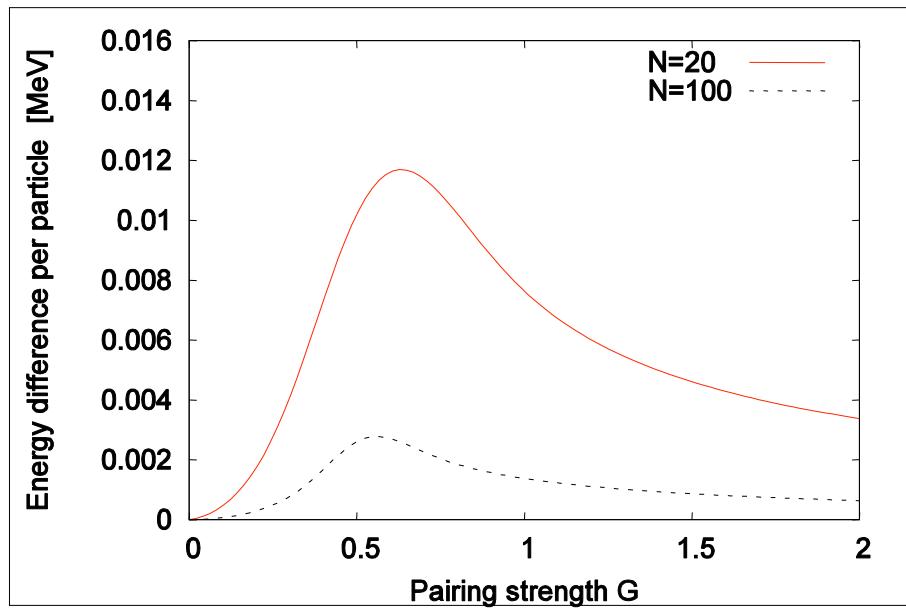
Example $G = G_{\nu\nu'}, \quad \text{gap eq. } 1 = G \sum_{\nu} \frac{1}{2E_{\nu}}$

$$G < G_c \quad \Delta = 0, \quad \text{where } 1 = G_c \sum_{\nu} \frac{1}{2\epsilon'_{\nu}}$$

- Excited states, pair vibrations

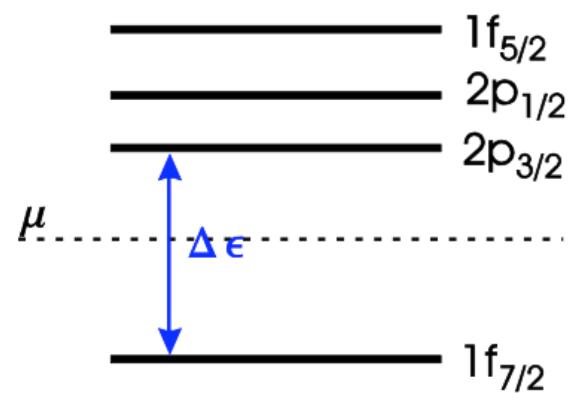
Cooper Instability in mesoscopic system

BCS versus Exact solution

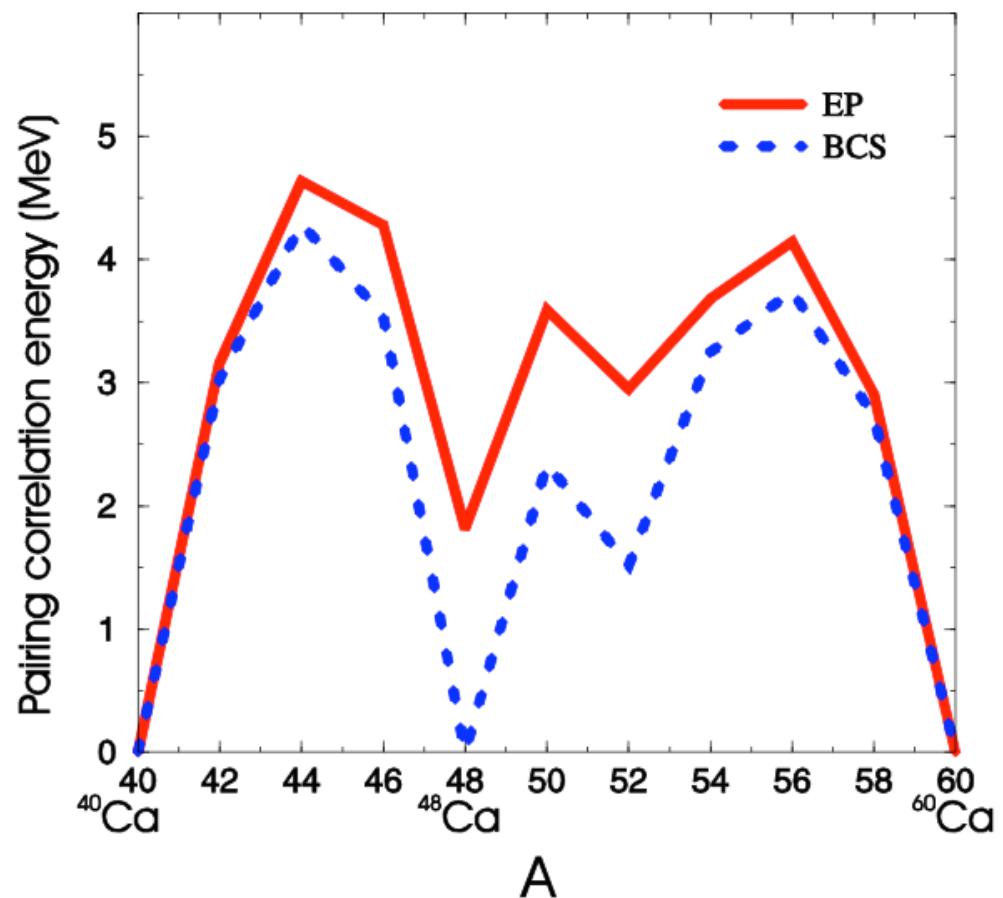


Pairing in Ca isotopes

BCS fails to describe pairing correlations in ^{48}Ca



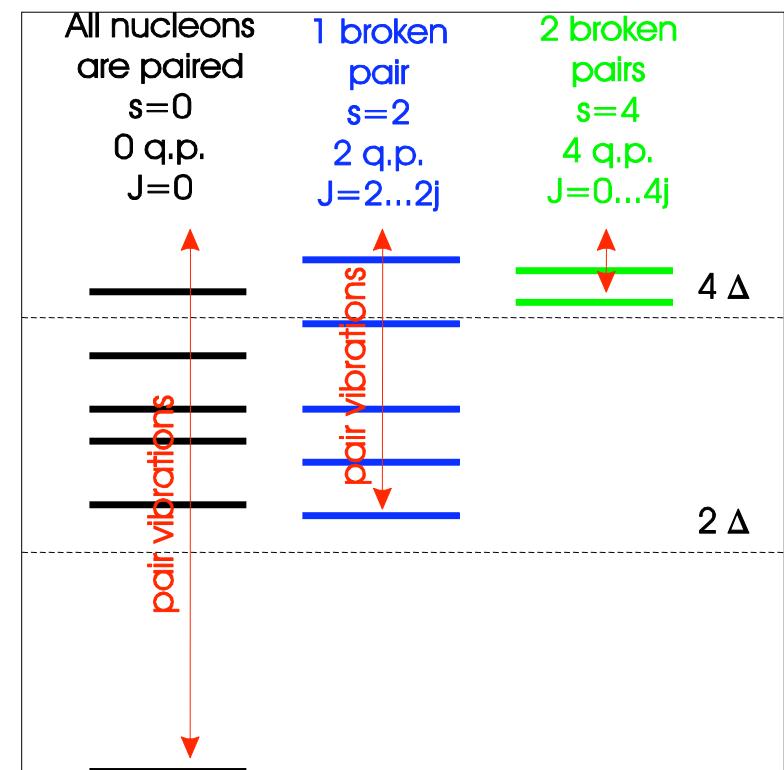
Pairing becomes weak if
 $G/\Delta\epsilon \sim 1$ at Fermi surface



Low-lying states in paired systems

- Exact treatment
 - No phase transition and G_{critical}
 - Different seniorities do not mix
 - Diagonalize for pair vibrations
- BCS treatment

	$G < G_{\text{critical}}$	$G > G_{\text{critical}}$
Ground state	Hartree-Fock	BCS
Elementary excitations	single-particle excitations $E_{s=2} = 2 \epsilon$	quasiparticle excitation $E_{s=2} = 2 e$
Collective excitations	HF+RPA	HFB+RPA



Multipole-multipole interaction

Hamiltonian operator can be written in multipole-multipole form

$$P_{LM}^\dagger P_{LM} \sim (a_1^\dagger a_2^\dagger)(a_3 a_4) \sim \delta_{23} a_1^\dagger a_4 - \underbrace{(a_1^\dagger a_3)(a_2^\dagger a_4)}_{\mathcal{M}_{K\kappa}^\dagger \mathcal{M}_{K\kappa}}$$

$$H = \epsilon N + \sum_{L=0,2,4,6} V_L \sum_{M=-L}^L P_{LM}^\dagger P_{LM} \quad H = \epsilon' N + \sum_K \tilde{V}_K \sum_\kappa \mathcal{M}_{K\kappa}^\dagger \mathcal{M}_{K\kappa}$$

Consider lowest terms K=0,1,2...

$$\mathcal{M}_{00} \sim N \quad \text{Monopole} \quad \tilde{V}_0$$

$$\mathcal{M}_{1\mu} \sim J_\mu \quad (\text{angular momentum}) \quad \text{Moment of inertia} \quad \tilde{V}_1$$

$$\mathcal{M}_{2\mu} \quad \text{Quadrupole-quadrupole interaction, lowest non-trivial term}$$

$$H_{QQ} = \sum_\mu \mathcal{M}_{2\mu}^\dagger \mathcal{M}_{2\mu}$$

QQ-Interaction
 •Creates deformation
 •Leads to rotational features

5 operators $\mathcal{M}_{2\mu}$ 3 operators J_μ can be considered forming SU(3)
 For a Harmonic oscillator shell the algebra is exact

Elliot's mode

SU(3) group g.s. representation

Consider a Cartesian distribution of particles in 3D HO $(n_x n_y n_z)$

Configurations (representations) are labeled $\lambda = n_z - n_x \mu = n_x - n_y$

Energy of the QQ hamiltonian

$$E_{\text{SU}(3)} = 4[\lambda^2 + \mu^2 + \lambda\mu + 3(\lambda + \mu)] + 3L(L + 1)$$

$$\bar{\lambda} = \max(\lambda, \mu) \quad \bar{\mu} = \min(\lambda, \mu)$$

$$K' \geq 0, K' = \bar{\mu}, \bar{\mu} - 2, \dots,$$

SU(3) spectrum and transitions are very close to rotational

$$L = \begin{cases} K', K' + 1, \dots, K' + \bar{\lambda} & \text{for } K' > 0 \\ \bar{\lambda}, \bar{\lambda} - 2, \dots & \text{for } K' = 0, \end{cases}$$

(0,0,2)	(1,0,1)	(2,0,1)
(0,1,1)	(1,1,0)	
	(0,2,0)	

Example ^{24}Mg , 4protons 4 neutrons

For each nucleon **$(0,0,2)+(1,0,1)=(1,0,3)$**

Total number of quanta (4,0,12) $\lambda=8, \mu=4$

Three mixed rotational bands $K=4, 2, 0$, for

$K=0 L=0, 2, \dots, 8$

$K=2, L=2, 3, \dots, 10$

$K=4, L=4, 5, \dots, 12$ (terminating spin for sd space)

Homework

1. Conduct a shell model study of ^{24}Mg using “sd”-valence space and “usd” interaction
2. Calculate the $B(E2)$ transition rate between ground state and first excited 2^+ state. Calculate the lab quadrupole moment of the 2^+ state. You can use harmonic oscillator wave functions and oscillator length units.
3. Compare your results with the rotor prediction (see Alaga rules, lecture 1)

$$\frac{Q^2(2_1^+)}{B(E2, 0^+ \rightarrow 2^+)} = \frac{16\pi}{5} \left(\frac{2}{7}\right)^2 \approx 0.82$$

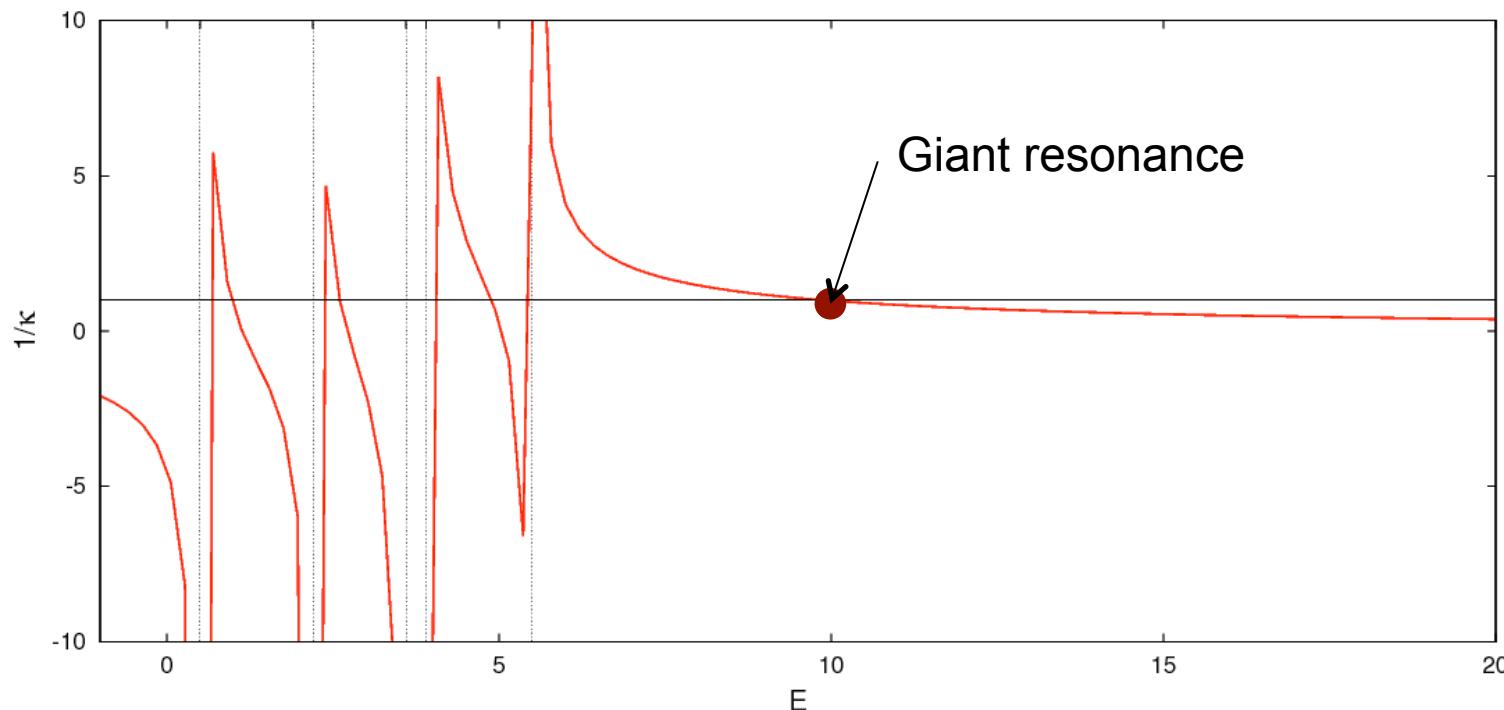
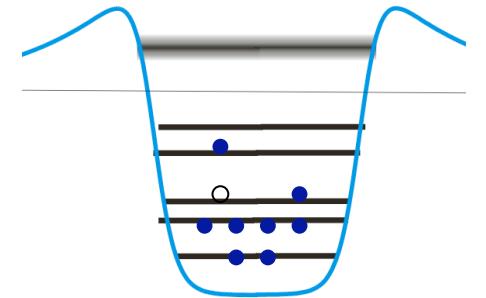
Giant resonances

Consider interacting particle-hole excitations

$$\varepsilon_{k=\{1,2\}} = \epsilon_1 - \epsilon_2 \quad H_{kk'} = \delta_{kk'} \varepsilon_k + \kappa d_k d_{k'}$$

$$\sum_{k'} H_{kk'} x_{k'} = E x_k \quad D \equiv \sum_{k'} d_{k'} x_{k'}$$

$$x_k = \kappa \frac{D d_k}{E - \varepsilon_k} \quad \varepsilon_k x_k + \kappa d_k \sum_{k'} d_{k'} x_{k'} = E x_k$$



$$F_d(E) = \sum_{\alpha} |\langle d | x^{\alpha} \rangle|^2 \delta(E - E^{\alpha})$$

Dipole collectivity

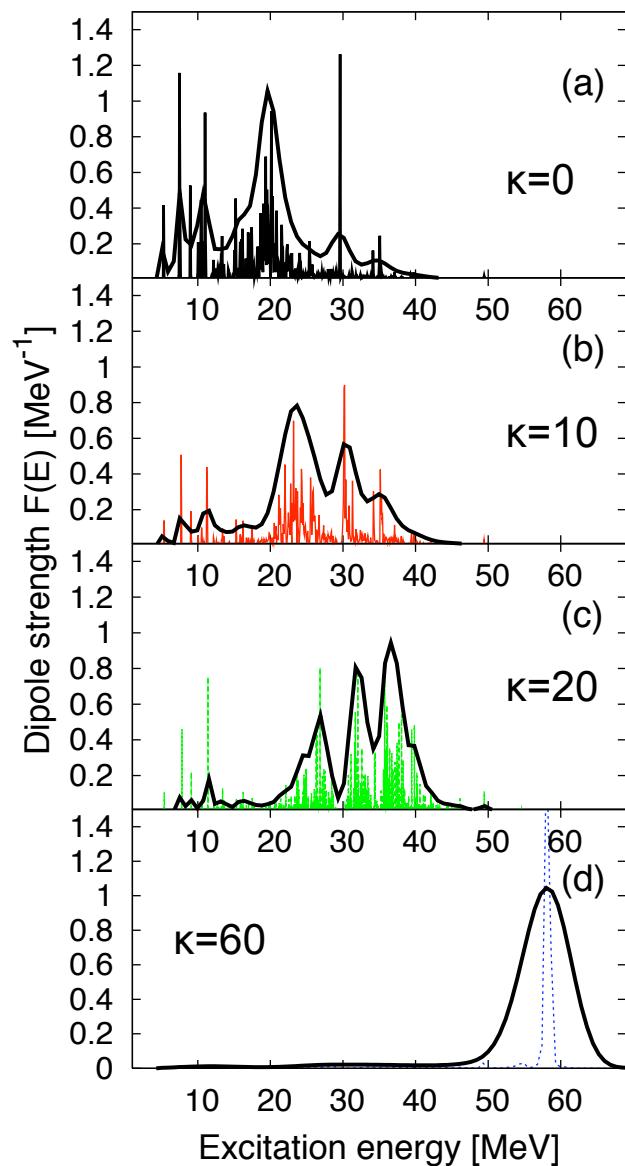


Figure: Strength function of the isovector dipole operator in ^{22}O . WBP SM Hamiltonian plus interaction term:

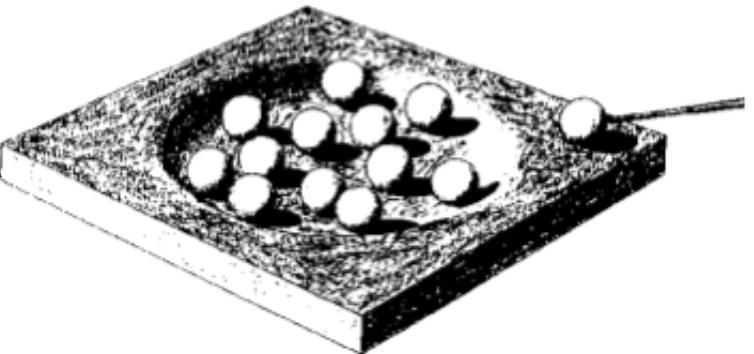
$$V = \kappa |D\rangle\langle D|$$

$$|D\rangle = D|0_{\text{g.s.}}^+\rangle$$

$$\kappa = 10, 20, \text{ and } 60$$

Statistical approach, quantum chaos

- Nuclei are strongly interacting many-body systems.
- Many-body quantum systems have complex dynamics, similarly to the classical systems such as gases. Statistical approach, quantum chaos.

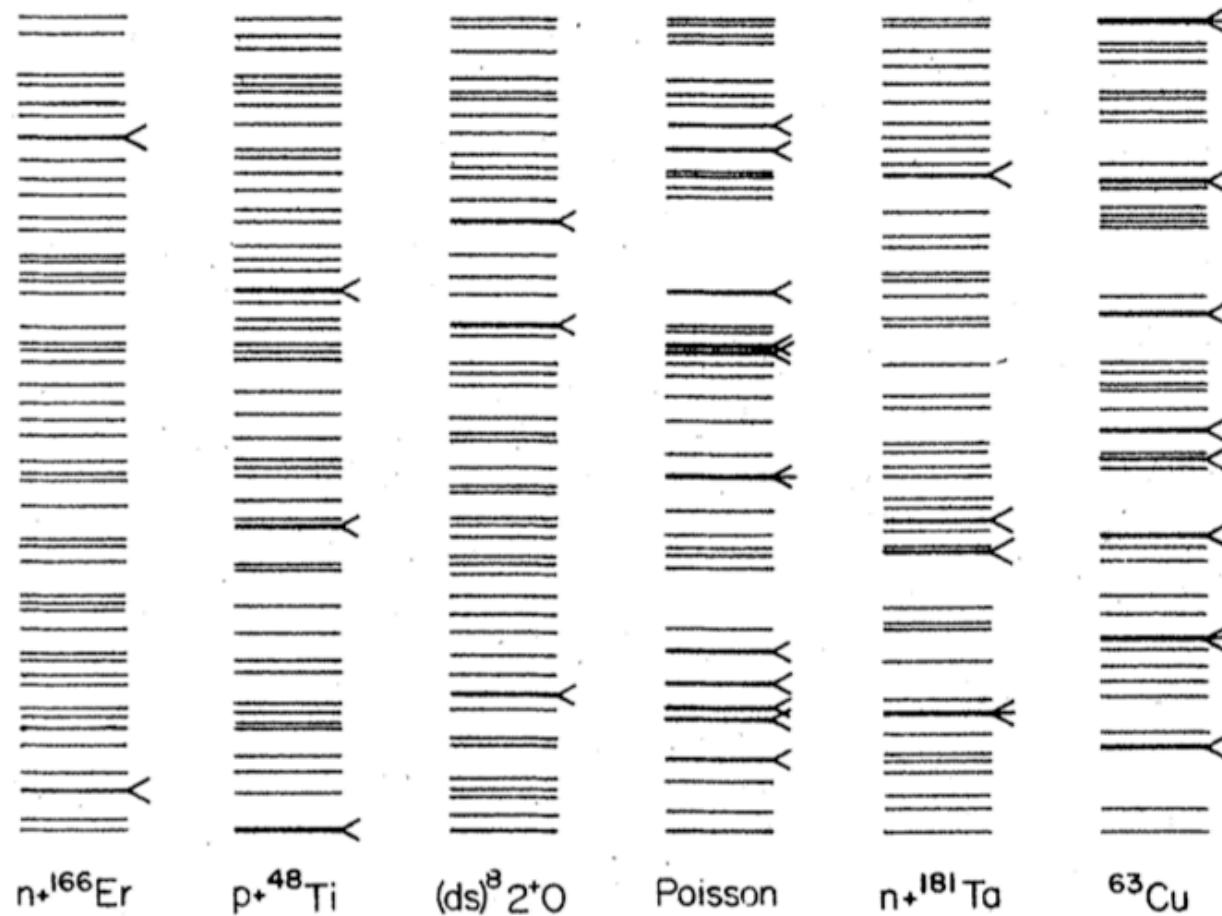


From N. Bohr, Nature 137, 344 (1936)

Why is this interesting?

- Detect missing states.
- Test of fundamental symmetries and their violations
- Help with exact solutions to the many-body problem

Level spacing distribution

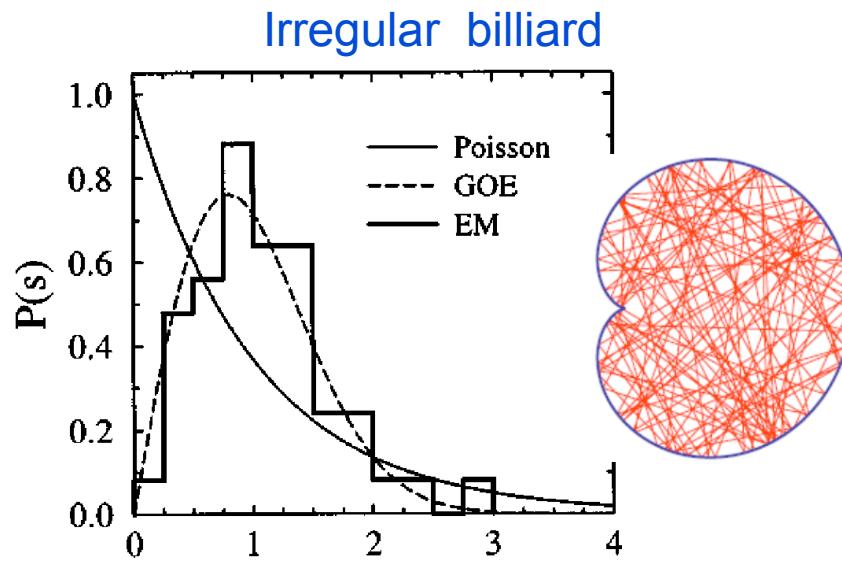
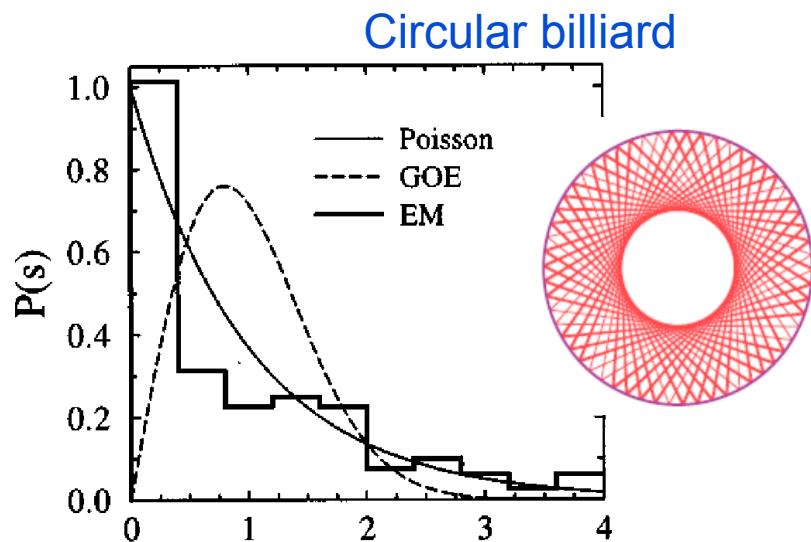


From Brody, Rev. Mod. Phys. 53 p 385

Arrows show
closely located states

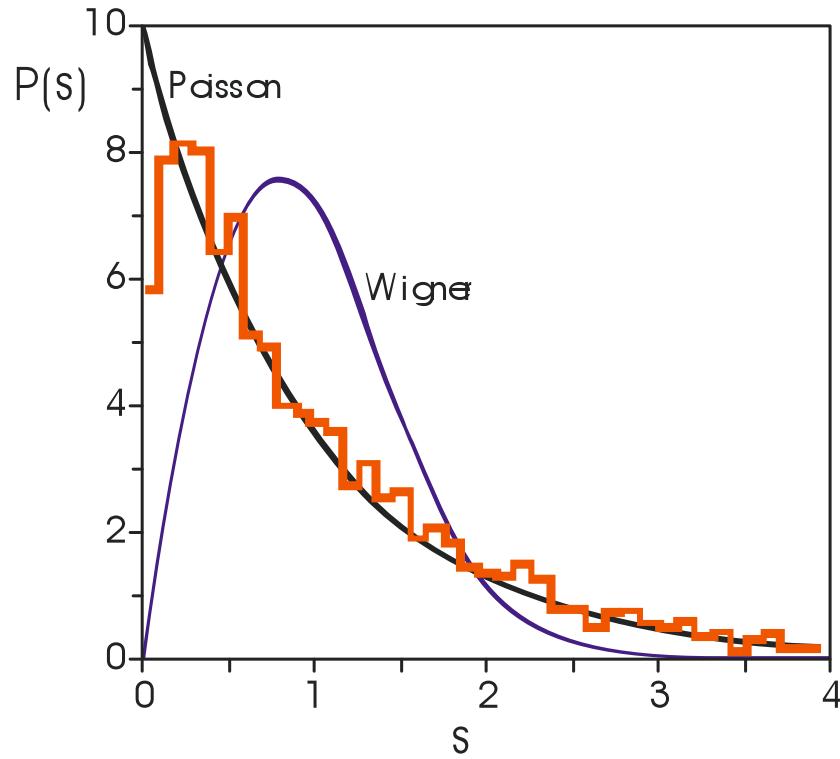
Quantum chaos

Distribution of energy spacing between neighboring states

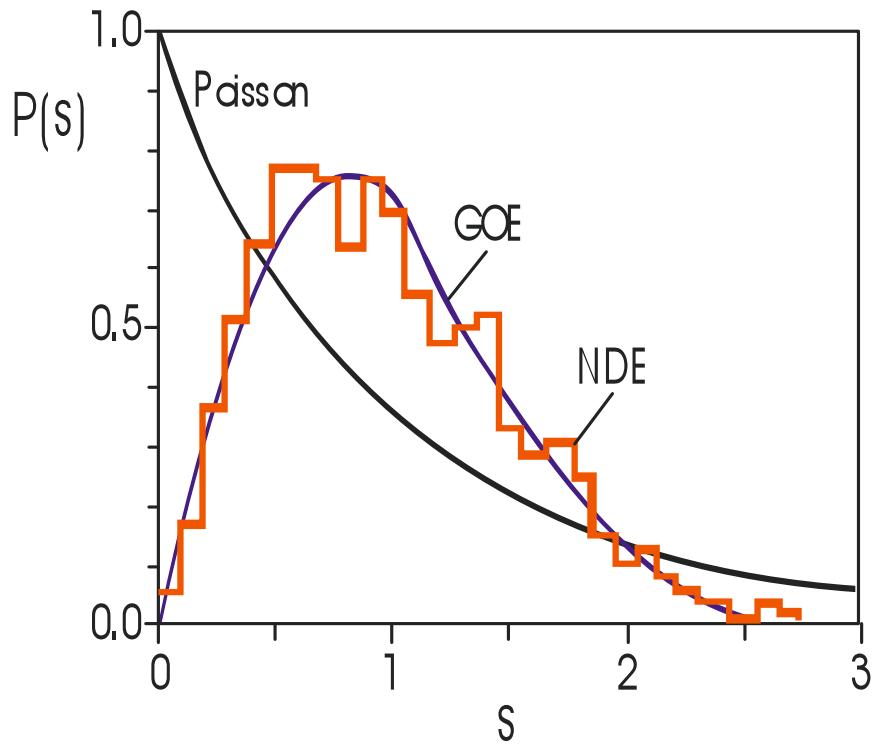


- Regular motion
 - Analog to integrable systems
 - No level repulsion
 - Poisson distribution $P(s)=\exp(-s)$
- Chaotic motion
 - Classically chaotic
 - Level repulsion
 - GOE (Random Matrix)
$$P(s)=s \exp(-\pi s^2/4)$$

Chaotic motion in nuclei



"Cold" (low excitation)
rare-earth nuclei



High-Energy region,
Nuclear Data Ensemble
Slow neutron resonant date

Haq. et.al. PRL 48, 1086 (1982)

Many-body complexity and distribution of spectroscopic factors

$ c\rangle$	Channel-vector (normalized)	Reduced width (spectroscopic factor)
$ I\rangle$	Eigenstate	$\gamma_I^c = \langle I c\rangle ^2$

What is the distribution of the reduced width?

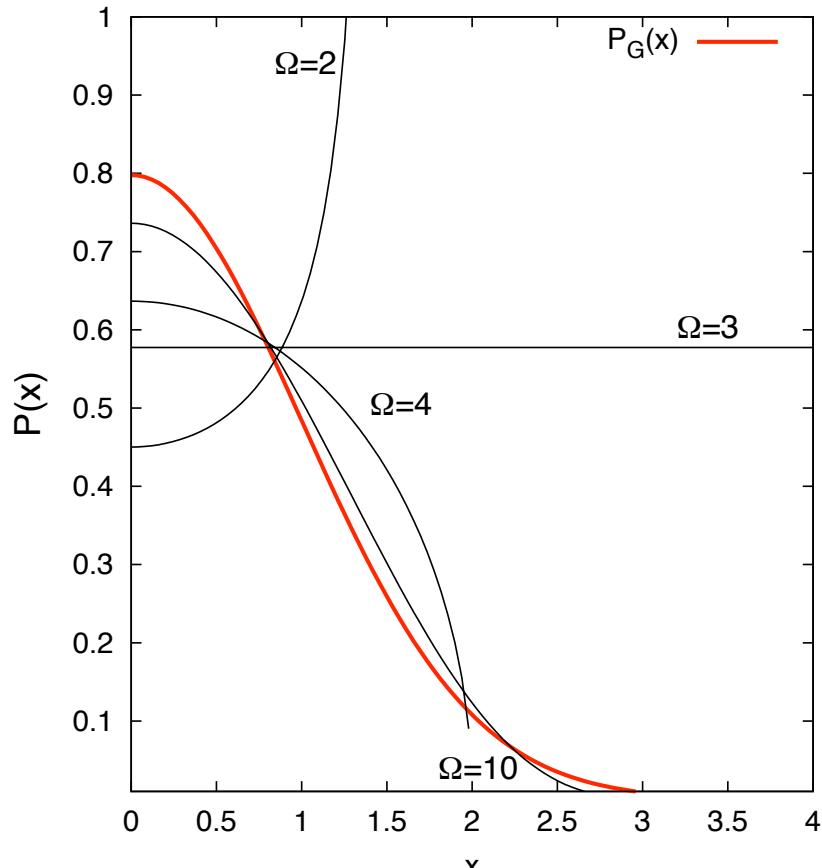
Average width $\bar{\gamma} = \frac{1}{\Omega} \sum_I \gamma_I^c = \frac{\langle c|c\rangle}{\Omega}$

Amplitude $x_I = \sqrt{\gamma_I/\bar{\gamma}}$

If any direction in the Ω -dimensional Hilbert space is equivalent

$$P(x_{I_1}, \dots, x_{I_\Omega}) \sim \delta \left(\Omega - \sum_I x_I^2 \right)$$

Why Porter-Thomas Distribution?



For large v channels

Projection of a randomly oriented vector
in Ω -dimensional space

$$P(x) = \frac{V_{\Omega-1}}{\sqrt{\Omega} V_\Omega} (1 - x^2/\Omega)^{(\Omega-3)/2}$$

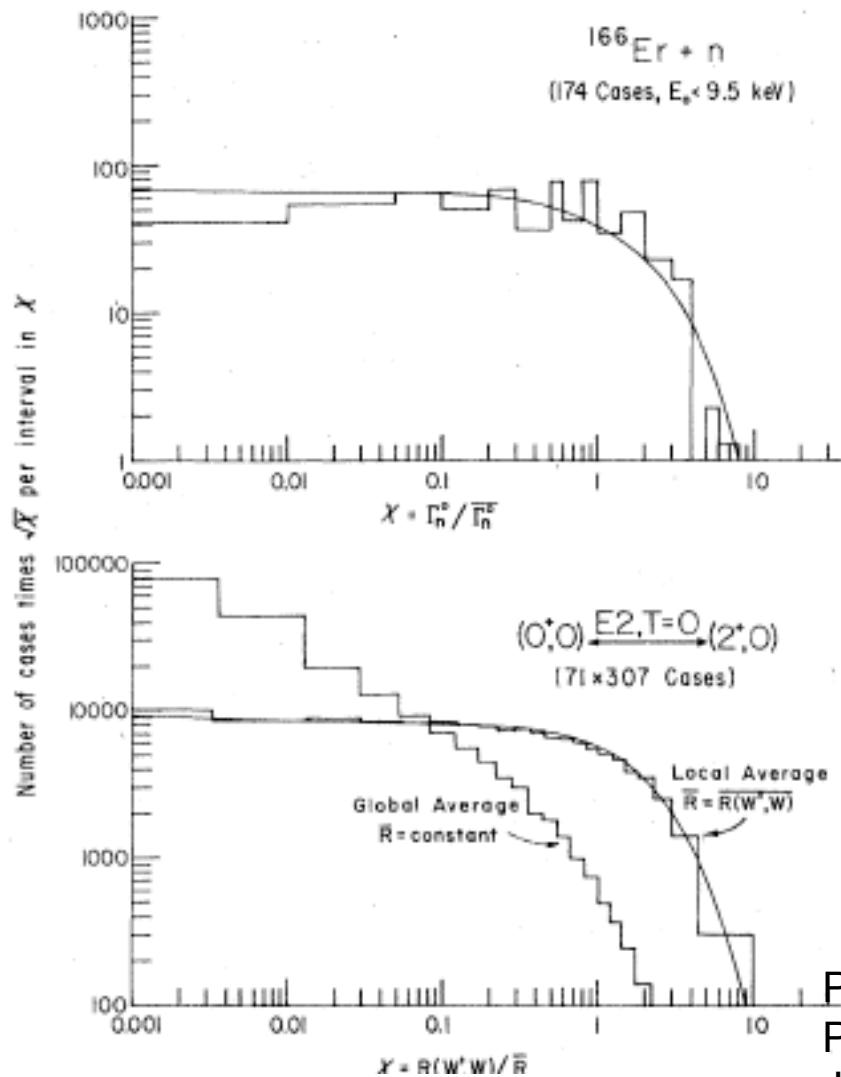
$$V_\Omega = \frac{\Omega \pi^{\Omega/2}}{\Gamma(\Omega/2 + 1)}$$

For large Ω this leads to Gaussian

$$P_G(x) = \sqrt{\frac{2}{\pi}} \exp(-x^2/2)$$

$$P_\nu(\gamma) = \frac{1}{\gamma} \left(\frac{\nu \gamma}{2\bar{\gamma}} \right)^{\nu/2} \frac{1}{\Gamma(\nu/2)} \exp\left(-\frac{\nu \gamma}{2\bar{\gamma}}\right)$$

Observation of Porter-Thomas Distribution



Reduced neutron widths

E2 transitions
in (sd)-shell model for
6 particles

Is PTD violated?

- P. E. Koehler, et.al Phys. Rev. Lett. **105**, 072502 (2010)
P. E. Koehler, et.al, *Phys. Rev. C* **76** (2007).
J. F. Shriner, *Phys. Rev. C* **32**, 694 (1985).
R. R. Whitehead, et.al, *Phys. Lett. B* **76**, 149 (1978).

Fig. from Brody, et.al.

Statistical treatment

- Microcanonical $\hat{\rho}(E, N) = \delta(E - \hat{H})\delta(N - \hat{N})$
- Canonical $\hat{\rho}(\beta, N) = \exp(-\beta\hat{H})\delta(N - \hat{N})$
- Grand canonical $\hat{\rho}(\beta, \mu) = \exp(-\beta(\hat{H} - \mu\hat{N}))$

Partition functions

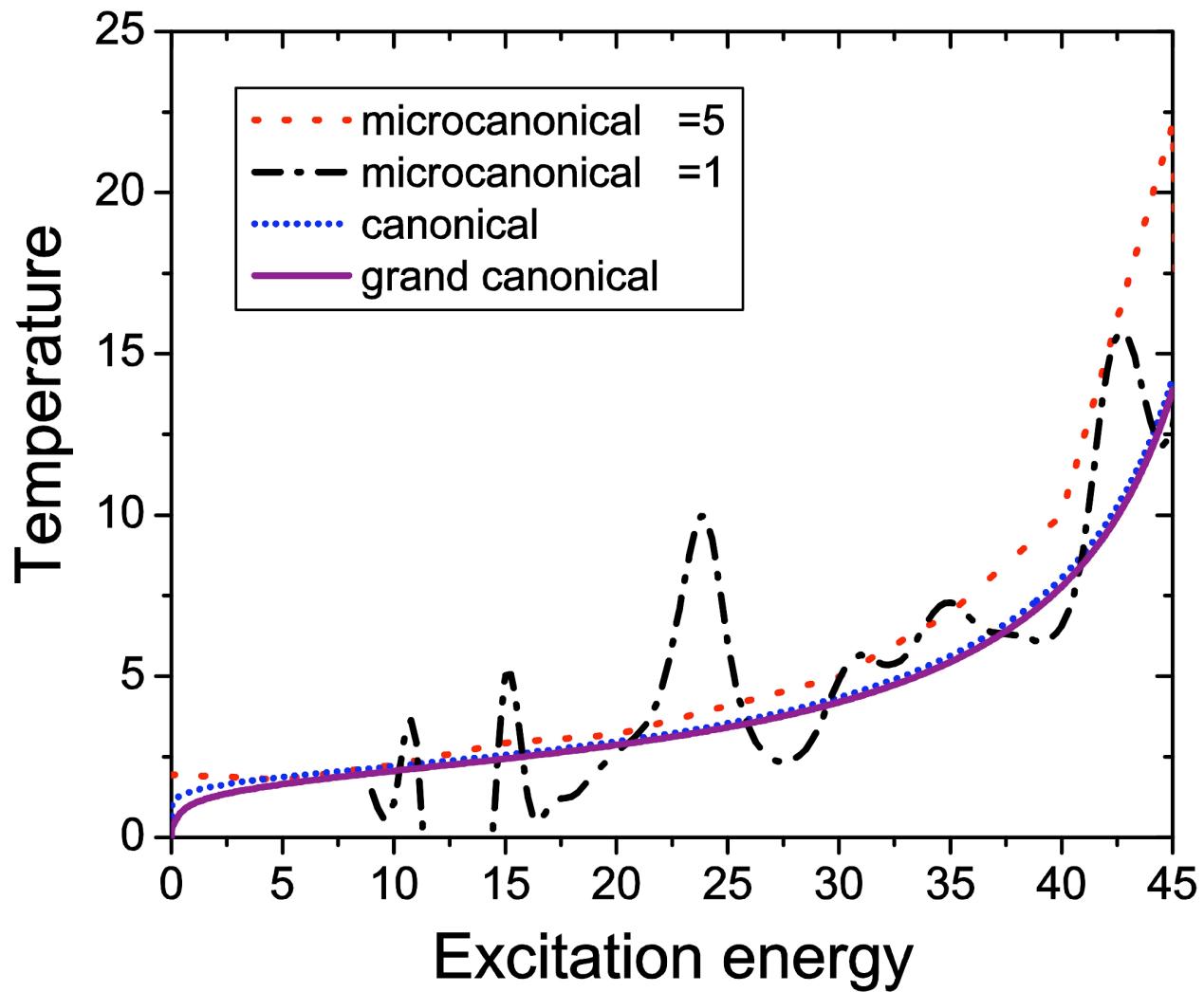
$$Z = \text{Tr}(\hat{\rho}) \quad \text{and} \quad \hat{w} = \frac{\hat{\rho}}{Z}.$$

Statistical averages

$$\langle \hat{O} \rangle = \frac{\text{Tr}(\hat{O}\hat{\rho})}{\text{Tr}(\hat{\rho})} = \text{Tr}(\hat{O}\hat{w})$$

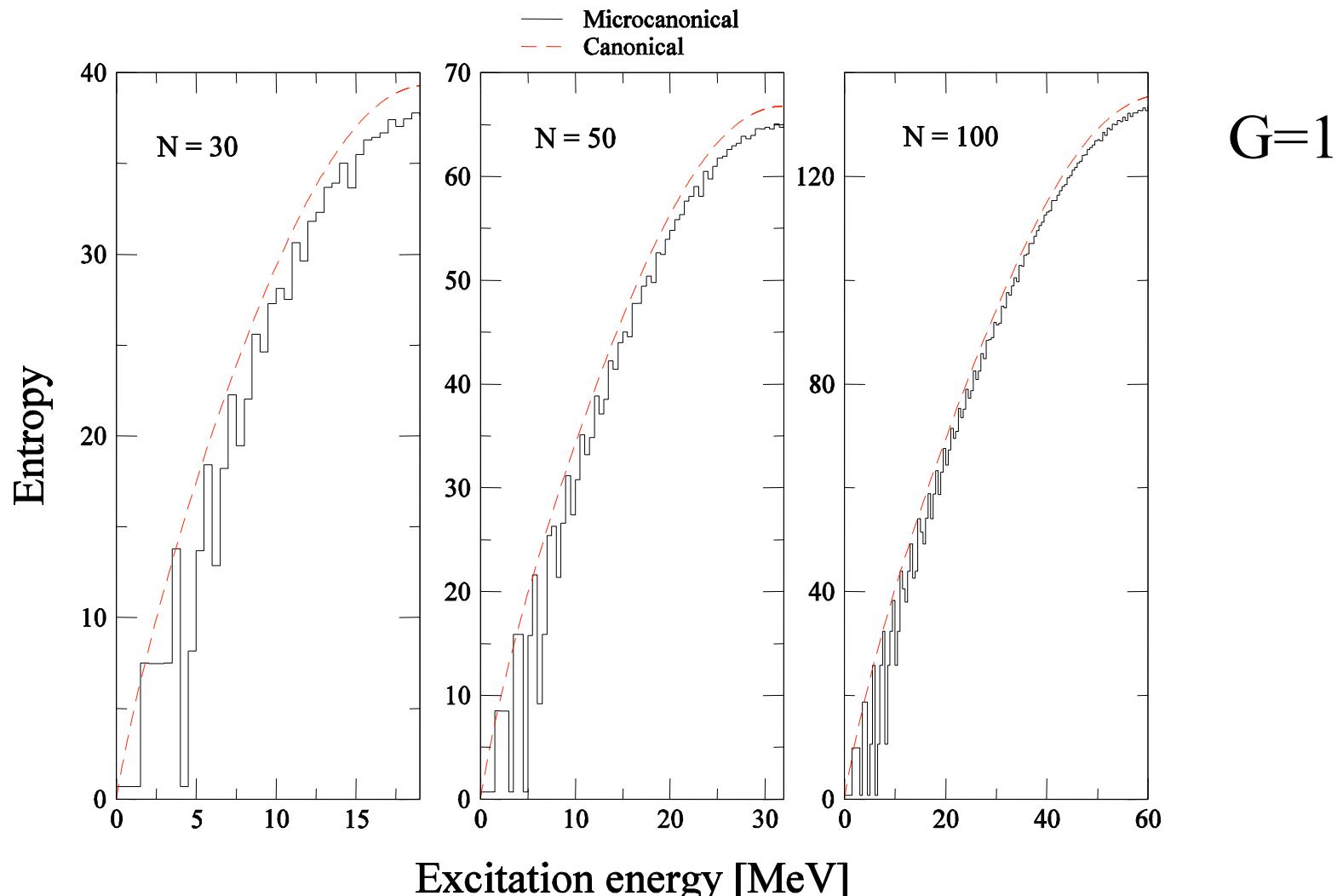
Entropy

$$S = -\langle \ln(\hat{w}) \rangle = -\text{Tr}(\hat{w} \ln \hat{w})$$

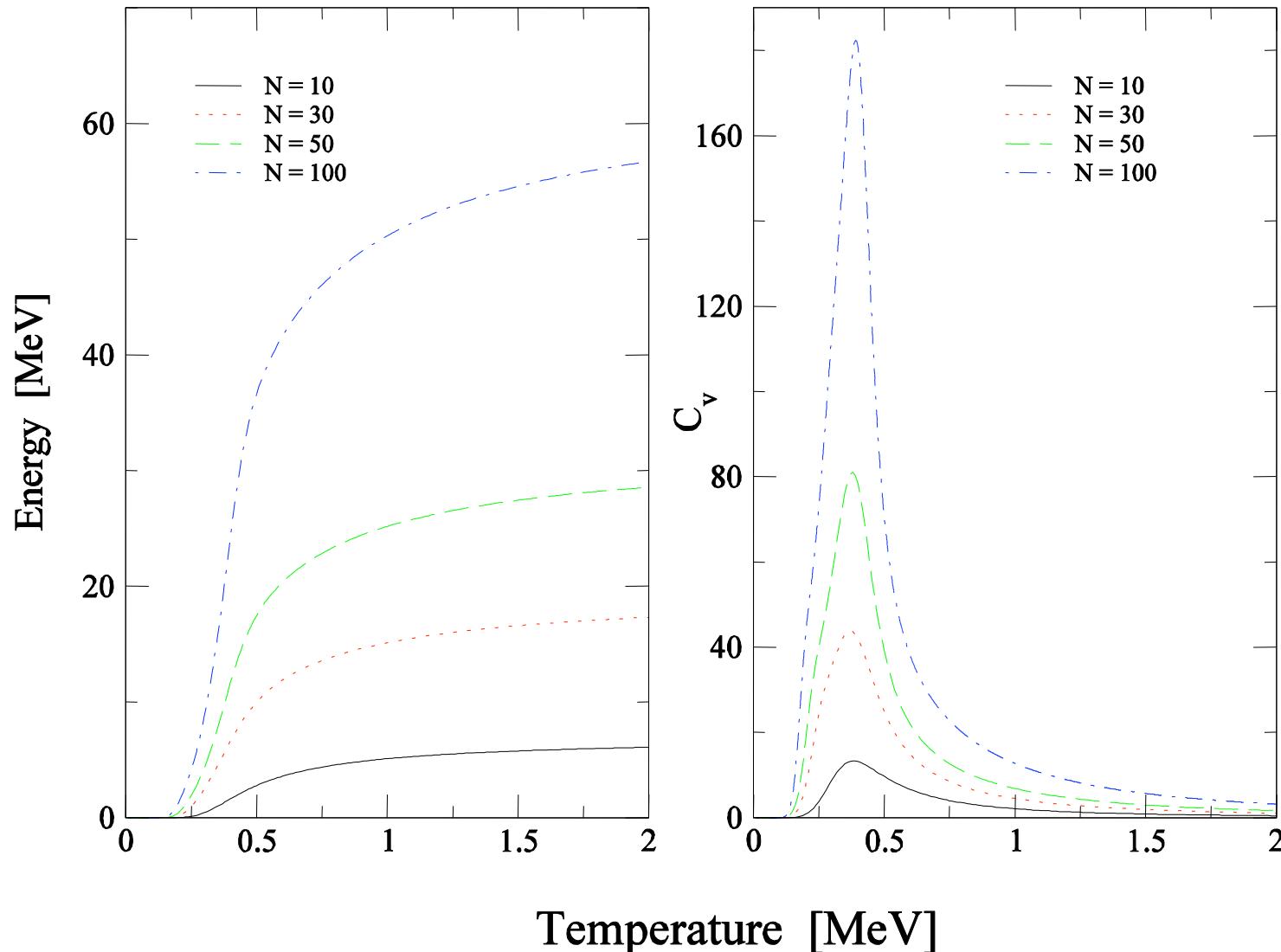


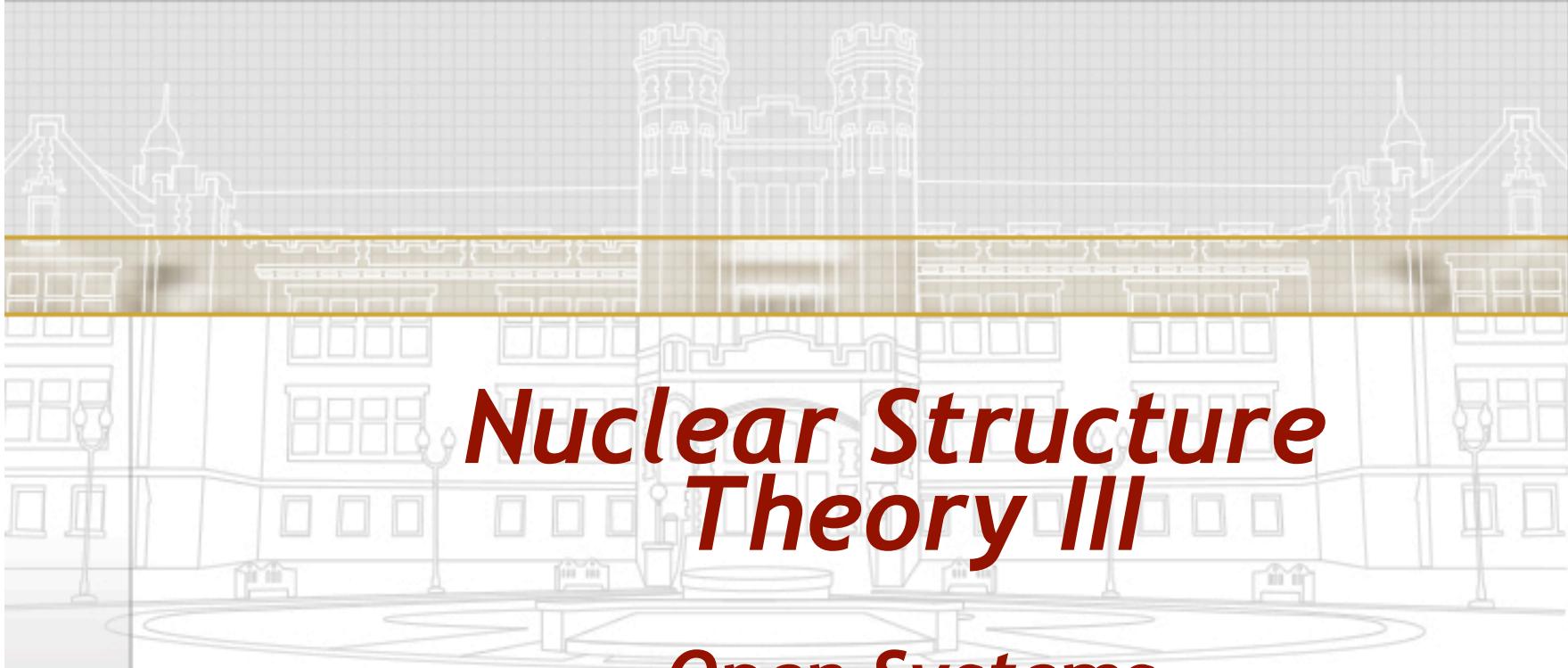
Temperature as a function of energy in three different statistical ensembles, for picket-fence model with 12 levels and 12 particles and $V=1.00$. For microcanonical we use $\sigma = 1$ and 5

Microcanonical ensemble and thermodynamic limit



Pairing phase transition





Nuclear Structure Theory III

Open Systems

Alexander Volya

Florida State University

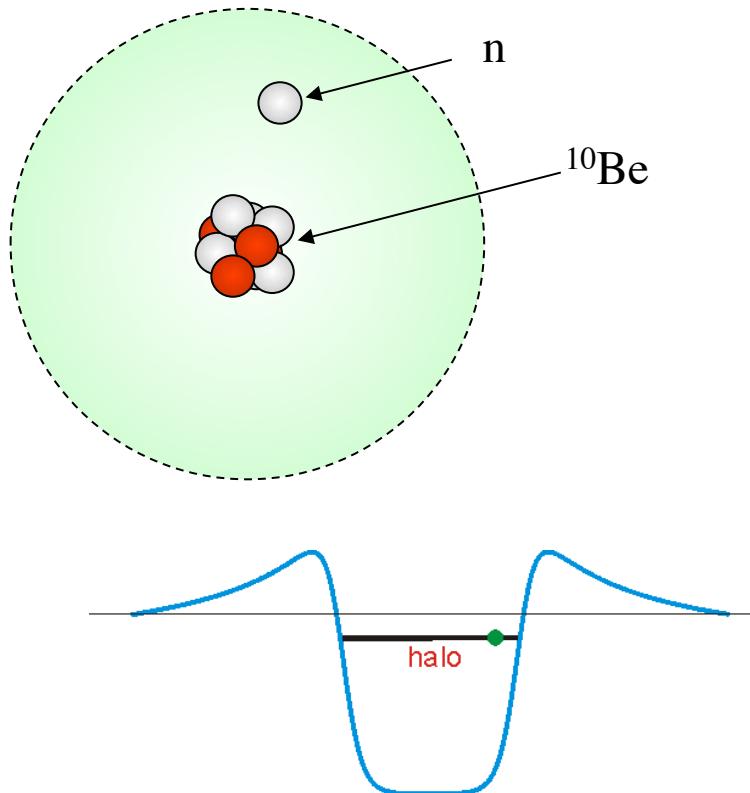
10th Exotic Beam Summer School - EBSS2011
East Lansing, Michigan. 25-30 July, 2011

Halos and resonances

Halo phenomenon

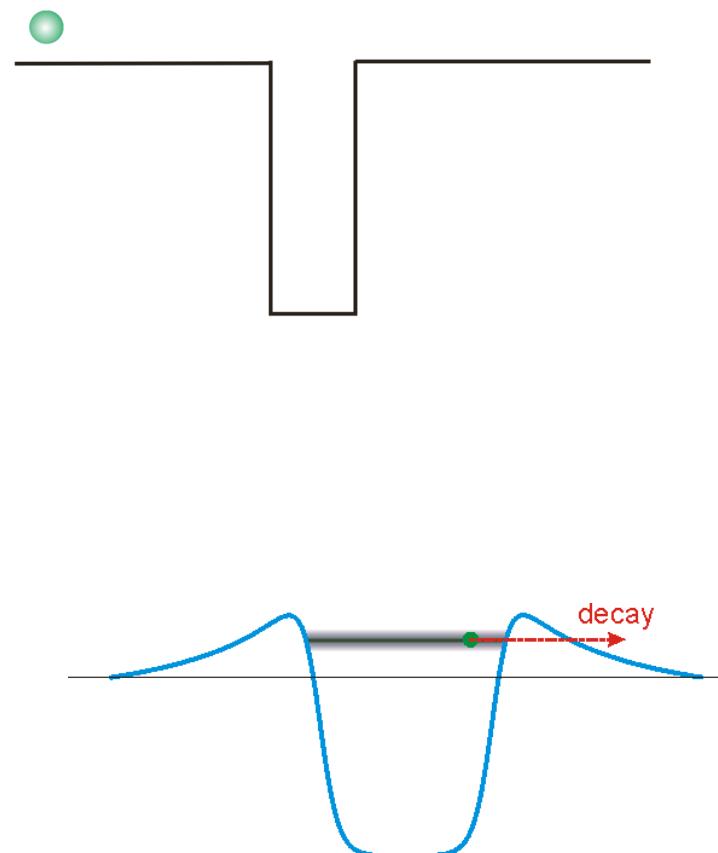
- Weakly bound wave function is very big
- Rydberg atom
- ^{11}Be nucleus

$$r = \frac{n^2}{Ze^2m}$$



Resonance phenomenon

- Long-lived unbound state



Description of resonances and halo

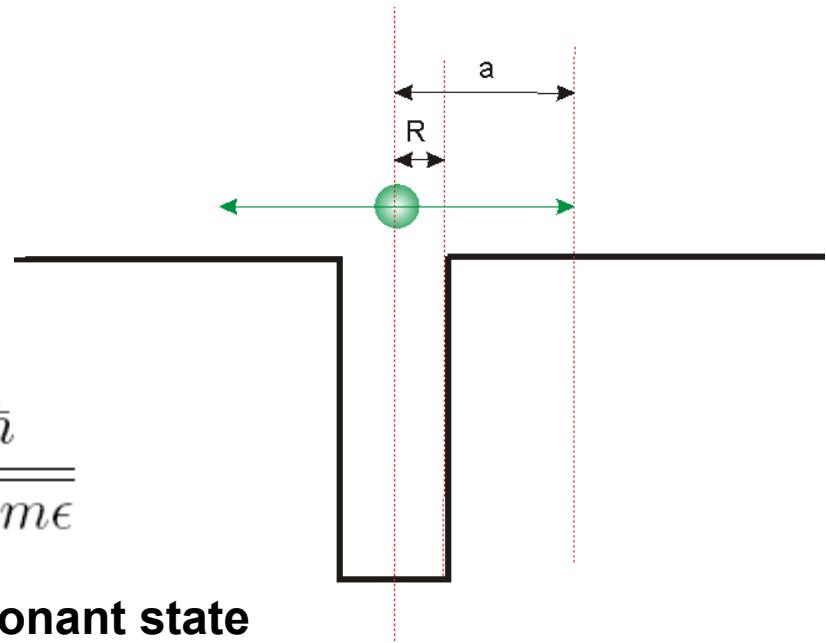
R-interaction range

a-scattering length

$\sigma = \pi a^2$ cross section

$a > 0$ and $a \gg R$ bound Halo state

$$\psi(r) \sim e^{-kr} \quad k = \sqrt{\frac{2m\epsilon}{\hbar^2}} \quad a = \frac{\hbar}{\sqrt{2m\epsilon}}$$



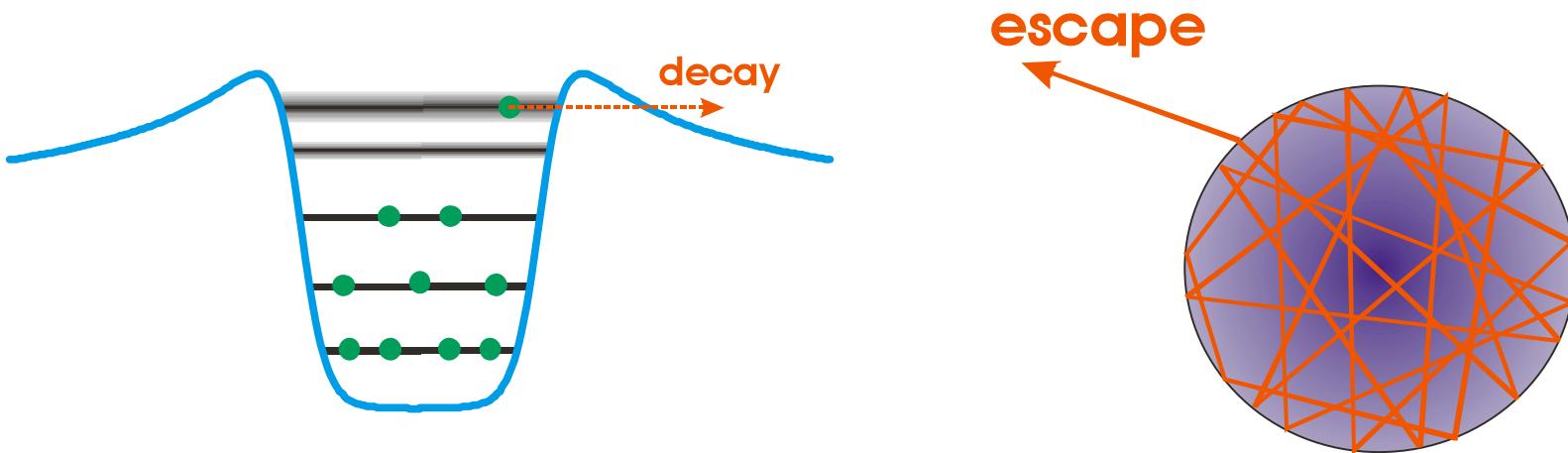
$a < 0$ and $|a| \gg R$ unbound long-lived resonant state

$$\psi(r) \sim N(t)e^{-kr} \quad N(t) \sim e^{-i\gamma t/2}$$

Complex energy $\epsilon \rightarrow \epsilon - i\frac{\gamma}{2}$

Nuclear reaction theory

Quantum billiards with particle-leaks



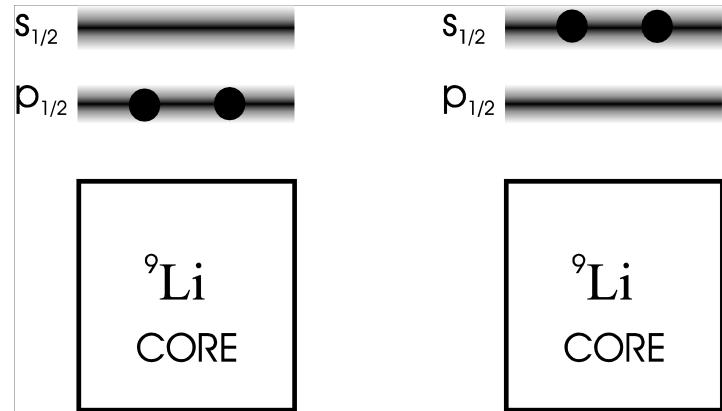
- Due to finite lifetime states acquire width
(uncertainty in energy decay width)
Complex Energies!!!

^{11}Li model

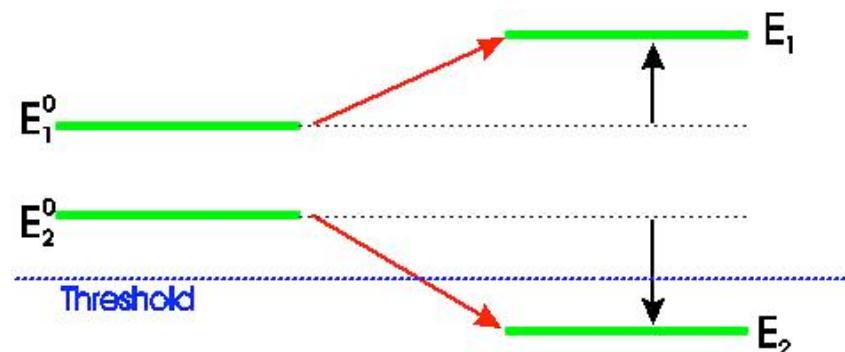
Dynamics of two states coupled to a common decay channel

- Model \mathcal{H}

$$\mathcal{H}(E) = \begin{pmatrix} \epsilon_1 - \frac{i}{2}\gamma_1 & v - \frac{i}{2}A_1 A_2 \\ v - \frac{i}{2}A_1 A_2 & \epsilon_2 - \frac{i}{2}\gamma_2 \end{pmatrix}$$



- Mechanism of binding by Hermitian interaction



Solutions with energy-dependent widths

- Energy-independent width is not consistent with definitions of threshold

$$A_2^2 = \gamma_2(E) = \alpha\sqrt{E},$$

$$A_1^2 = \gamma_1(E) = \beta E^{3/2}$$

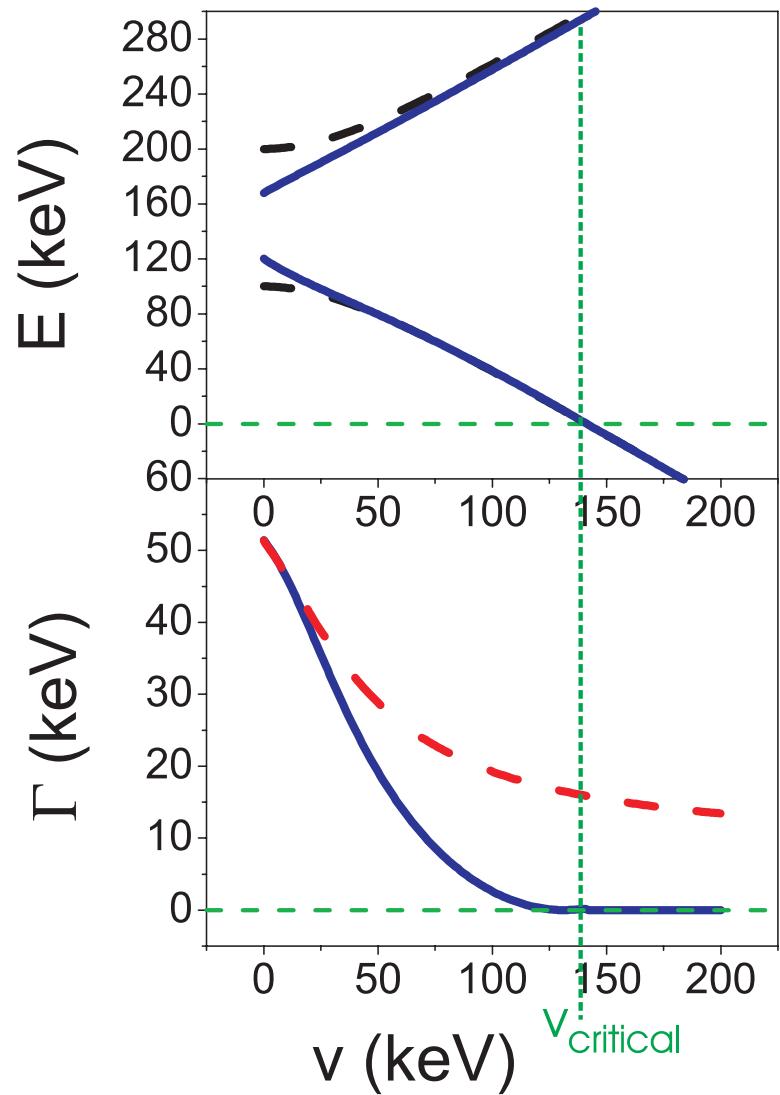
Squeezing of phase-space volume in s and p waves, Threshold $E_c=0$

Model parameters:

$$\varepsilon_1=100, \varepsilon_2=200,$$

$A_1=7.1$ $A_2=3.1$ (red); $\alpha=1, \beta=0.05$ (blue)
(in units based on keV)

Upper panel: Energies with $A_1=A_2=0$ (black)



Bound state in the continuum effect:
 $\Gamma=0$, above threshold

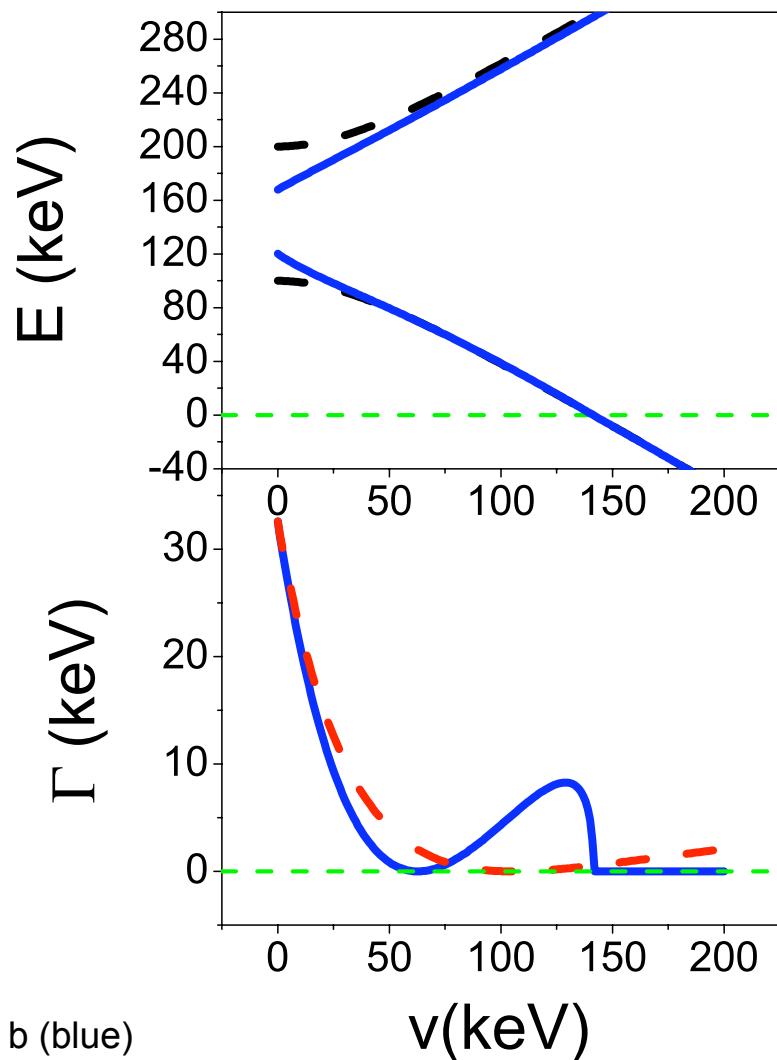
$$v = A_1 A_2 \frac{\epsilon_1 - \epsilon_2}{\gamma_1 - \gamma_2}$$

Model parameters:

$\epsilon_1=100$, $\epsilon_2=200$,

$A_1=8.1$ $A_2=12.8$ (red); $\alpha=15$, $\beta=0.05$ (blue)
 (in units based on keV)

Upper panel: Energies with $A_1=A_2=0$ (black) and case b (blue)

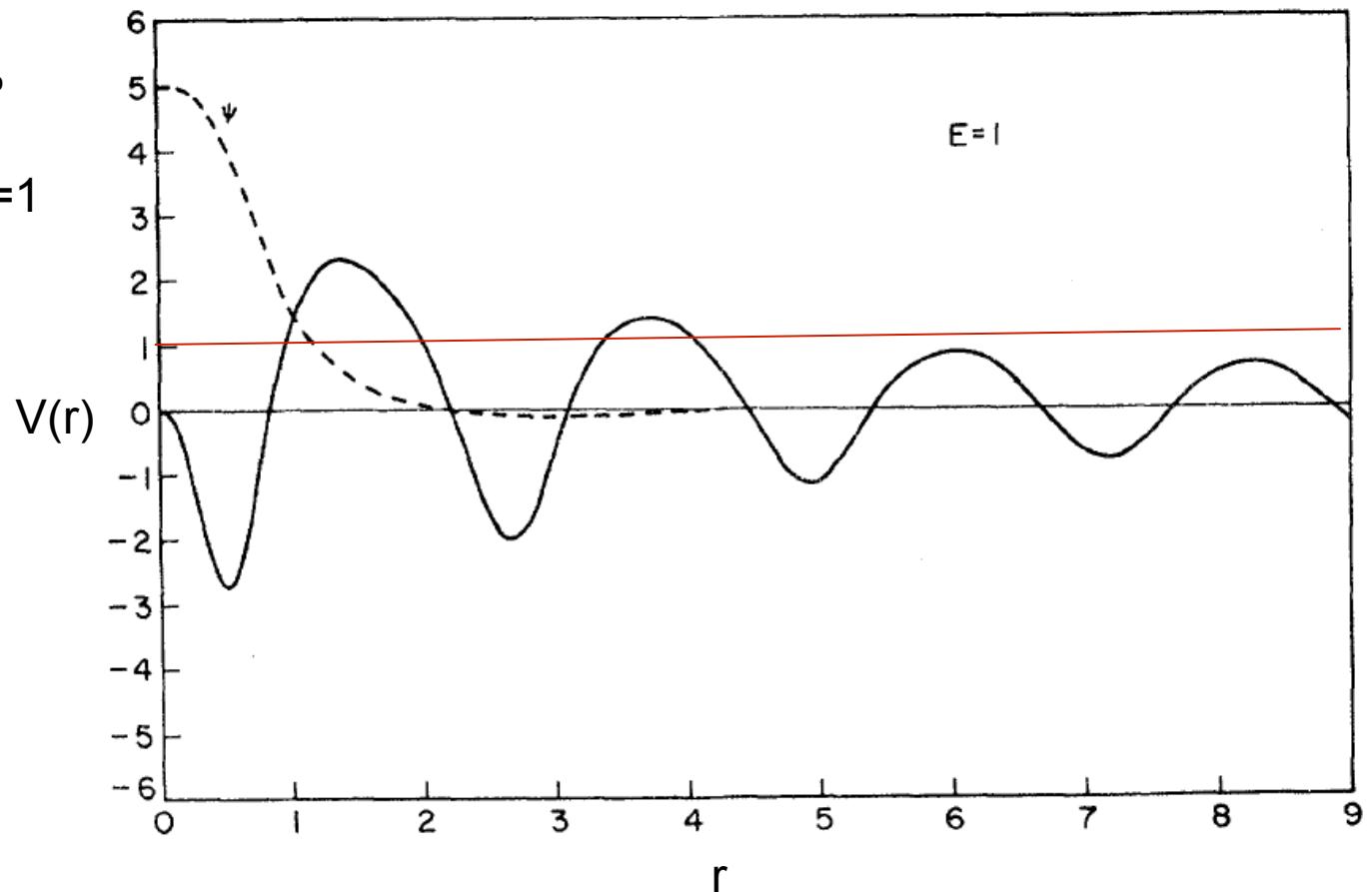


Bound States in the continuum

von Neumann, J. & Wigner, E. *Phys. Z.* **30**, 465–467 (1929).

$V(r)=0$ when $r \rightarrow \infty$

Bound state at $E=1$



Observation: Capasso, et.al. *Nature* **358**, 565 - 567

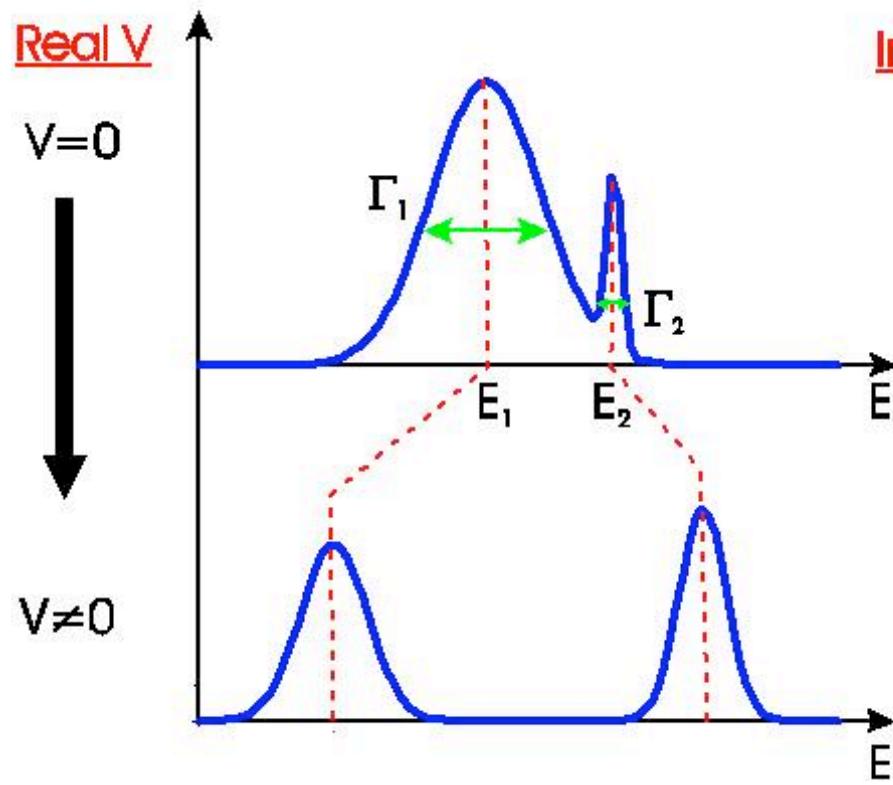
Level Crossing in two-level system

- Bound states, no level crossing if $v \neq 0$.
- System with decay, energy independent H [1]
 $X = 2\text{Tr}(H^2) - (\text{Tr}(H))^2 = (E_1 - E_2)^2$ determines picture
 - Full level crossing $E_1 = E_2$ if $X = 0$
 - $\text{Im}(X) = 0$ partial level crossing, $\Delta E \Delta \Gamma = 0$
If $\text{Re}(X) < 0$, energies cross, $\Delta E = 0$
If $\text{Re}(X) > 0$, widths cross, $\Delta \Gamma = 0$
- Open system, energy-dependent $H(E)$
more complicated but features are similar

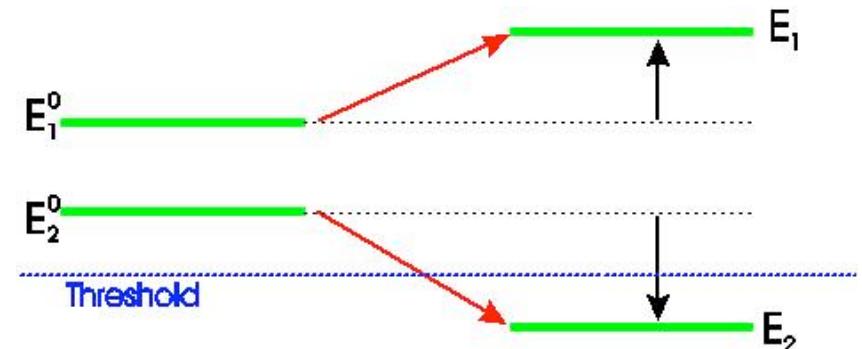
[1] P. von Brentano and M. Philipp, Phys. Lett B 454 (1999) 171

Interacting resonances

$$\mathcal{H} = \mathcal{H}^0 + V - iW/2$$



Imaginary W

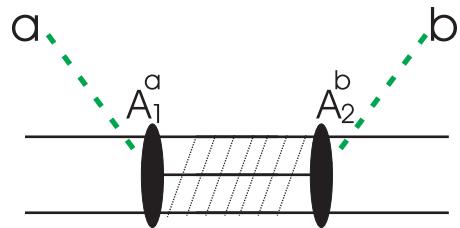
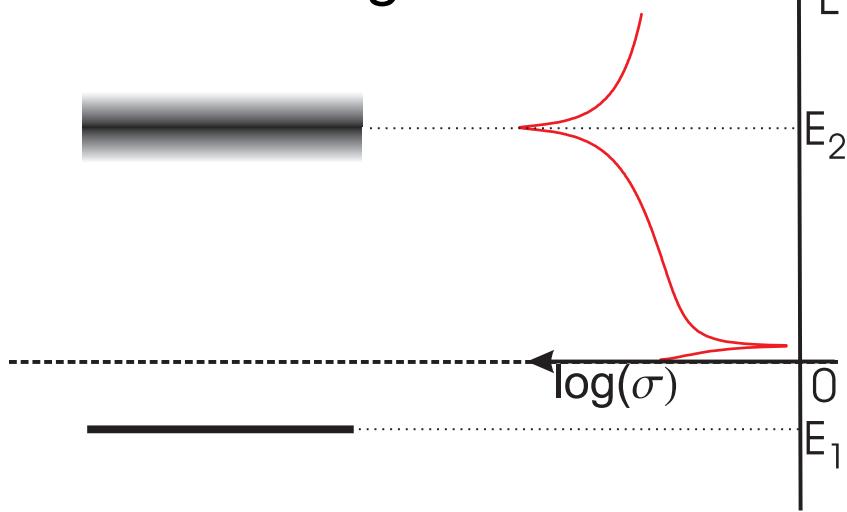


$W \neq 0$

$W=0$

Scattering and cross section near threshold

Scattering Matrix



$$\left(\frac{1}{E - \mathcal{H}(E)}\right)_{12}$$

Solution in two-level model

$$T(E) = \frac{E(\gamma_1 + \gamma_2) - \gamma_1 \epsilon_2 - \gamma_2 \epsilon_1 - 2v A_1 A_2}{(E - \mathcal{E}_+)(E - \mathcal{E}_-)}$$

Cross section

$$\sigma(E) = \frac{\pi}{k^2} |S(E) - 1|^2$$

$$S^{ab} = (s^a)^{1/2} (\delta^{ab} - T^{ab}) (s^b)^{1/2}$$

where $s^a = \exp(i\delta_a)$
is smooth scattering phase

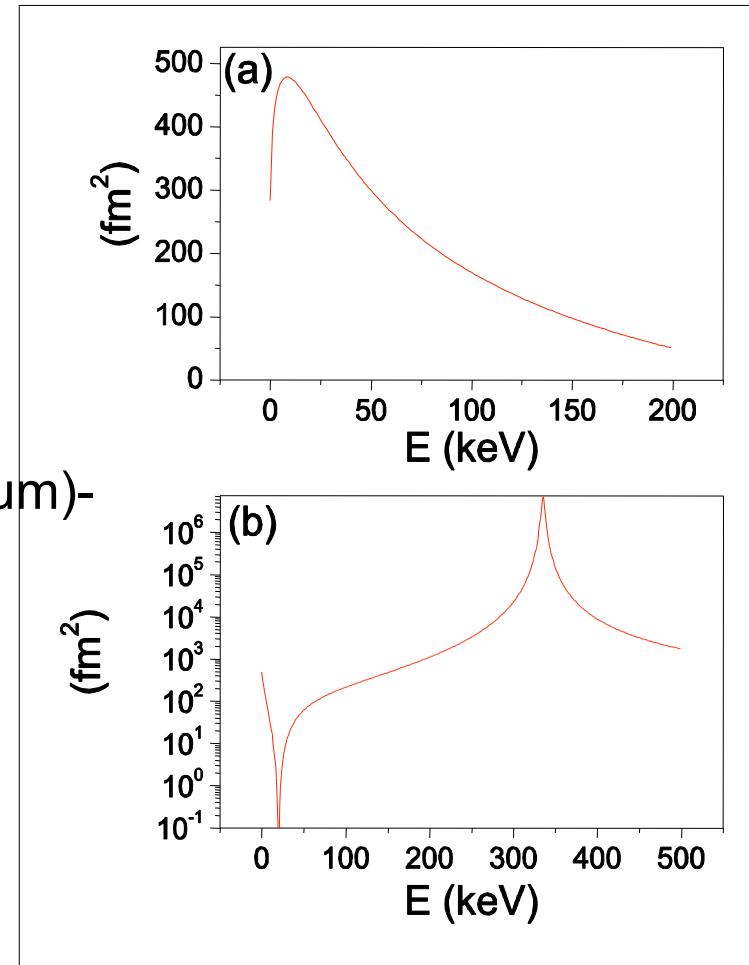
$$T^{ab} = \sum_{12} A_1^{a*} \left(\frac{1}{E - \mathcal{H}}\right)_{12} A_2^b$$

Cross section near threshold

- No direct interaction $v=0$
Breit-Wigner resonance

$$T(E) = \frac{\gamma_1 + \gamma_2}{E - \epsilon + (i/2)(\gamma_1 + \gamma_2)}$$

- Below critical v (both states in continuum)- sharp resonances
- Above critical v
 - One state is bound- “attraction” to sub-threshold region fig (a)
 - Second state –resonance, fig (b)



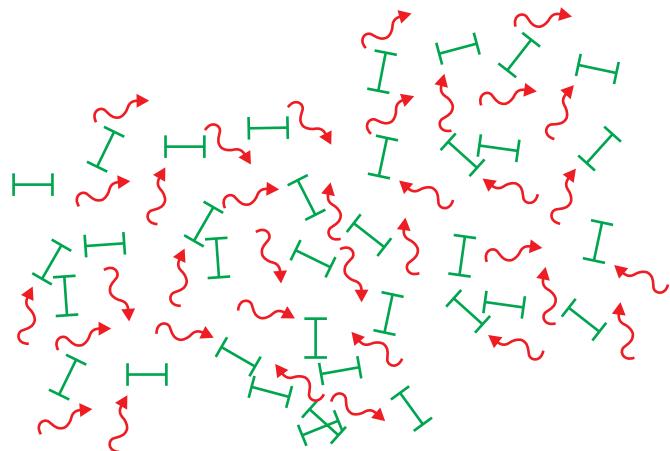
Model parameters:
 $\epsilon_1=100, \epsilon_2=200, v=180$ (keV)
 $A_1^2=0.05 (E)^{3/2}, A_2^2=15 (E)^{1/2}$

Superradiance, collectivization by decay

Dicke coherent state

N identical two-level atoms
coupled via common radiation

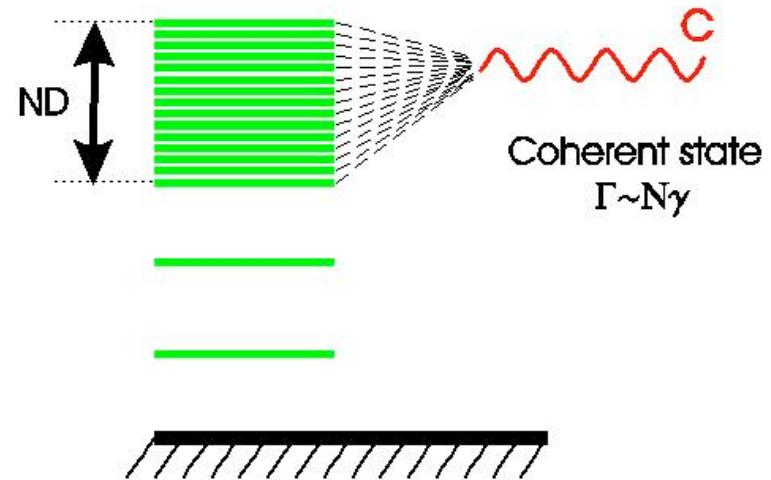
Single atom γ 
Coherent state $\Gamma \sim N\gamma$



Volume $\ll \lambda^3$

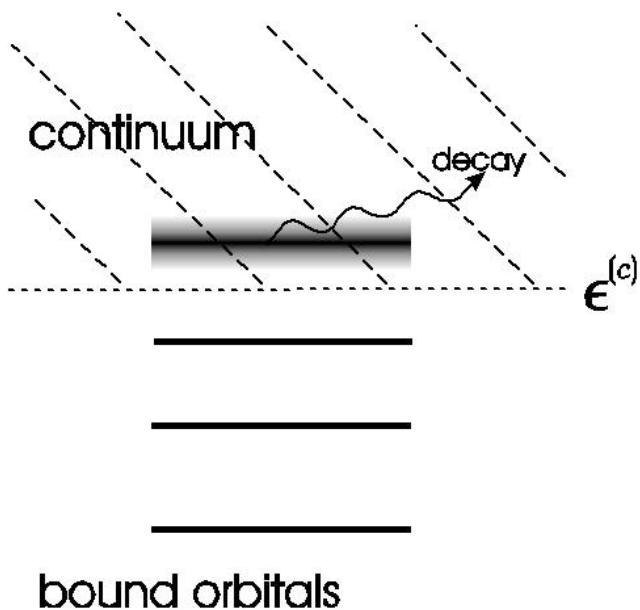
Analog in nuclei

Interaction via continuum
Trapped states) self-organization



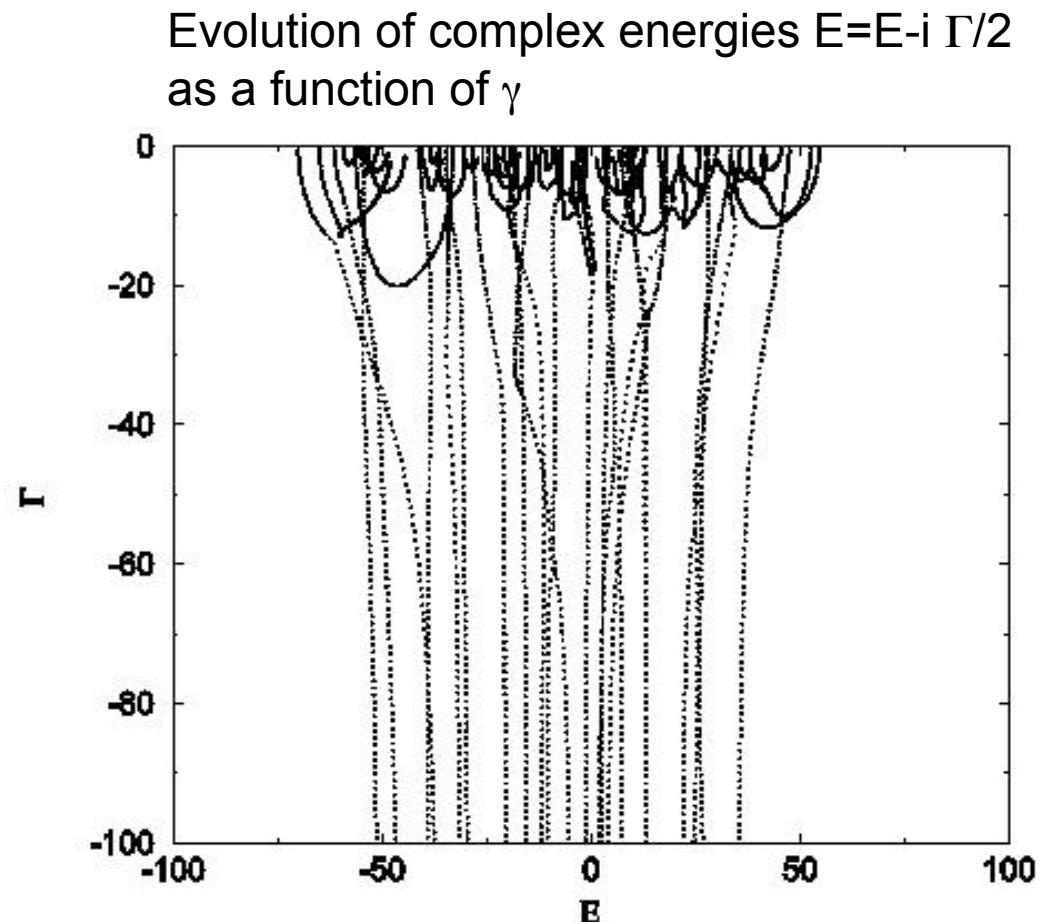
- $g \sim D$ and few channels
- Nuclei far from stability
 - High level density (states of same symmetry)
 - Far from thresholds

Single-particle decay in many-body system

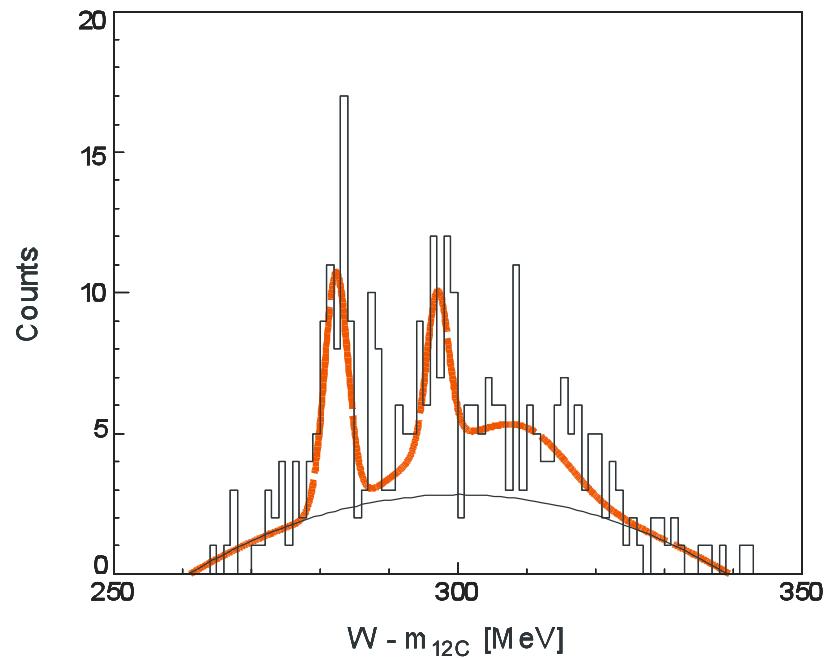


- Assume energy independent W
- Assume one channel $\gamma = A^2$
- System 8 s.p. levels, 3 particles
- One s.p. level in continuum $\epsilon = \epsilon - i\gamma/2$

Total states $8!/(3! 5!) = 56$; **states that decay fast** $7!/(2! 5!) = 21$

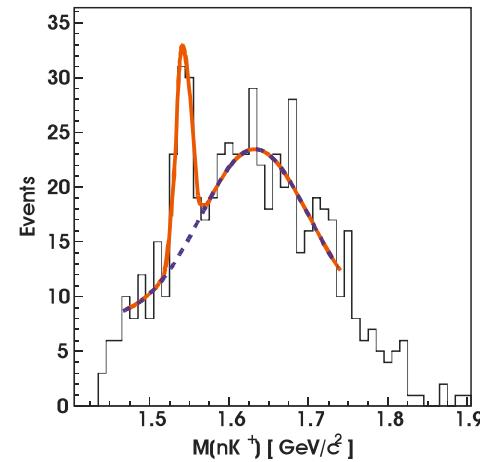


Superradiance in resonant spectra



Narrow resonances and broad
suparradiant state in $^{12}\text{C}\Delta$

Bartsch *et.al.* Eur. Phys. J. A **4**, 209 (1999)



Pentaquark as a possible candidate
for superradiance

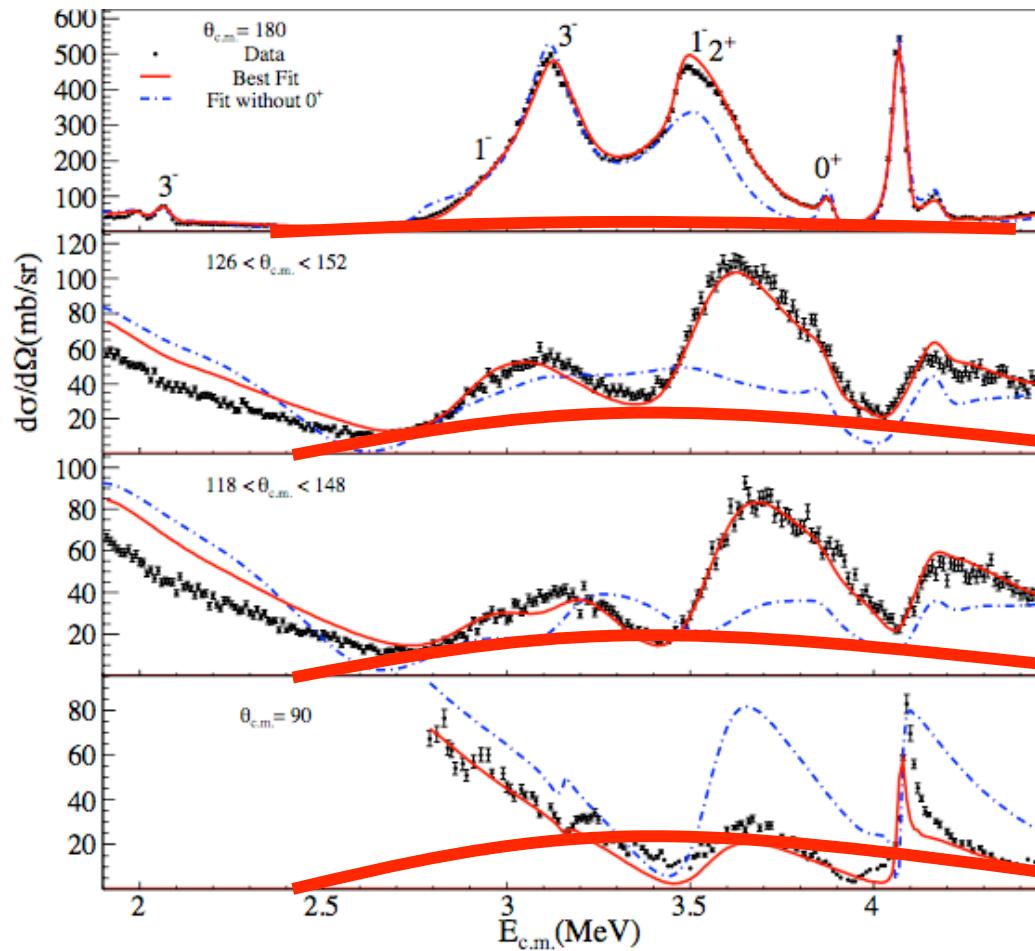
Stepanyan *et.al.* hep-ex/0307018

Broad 0^+ alpha state at excitation energy of 9.9 MeV

$\alpha + ^{14}\text{C}$

Very broad $\Gamma \approx 2$ MeV
 0^+ state at $3.7+/-0.5$ MeV
above the α decay
threshold was observed -
9.9 MeV excitation energy.

E.D. Johnson, et al.,
EPJA, 42 135 (2009)



From G. Rogachev

Single-particle scattering problem

The same non-Hermitian eigenvalue problem

$$h u_l = \frac{1}{2\mu} \left\{ -\frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + 2\mu \left[V(r) + \alpha \frac{Zz}{r} \right] \right\} u_l(r) = \epsilon u_l(r),$$

Internal states: $u_l(r)$

External states: $\epsilon = \frac{k^2}{2\mu}$

$$F_l(r) = krj_l(kr)$$

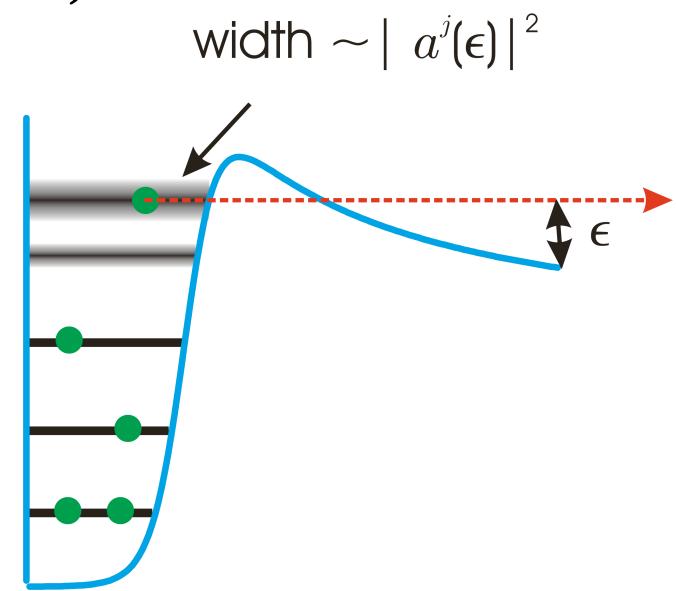
$$G_l(r) = krn_l(kr)$$

Single-particle decay amplitude

$$a^j(\epsilon) = \langle 0 | c_j(\epsilon) V b_j^\dagger | 0 \rangle = \sqrt{\frac{2\mu}{\pi k}} \int_0^\infty dr F_l(r) V(r) u_l(r)$$

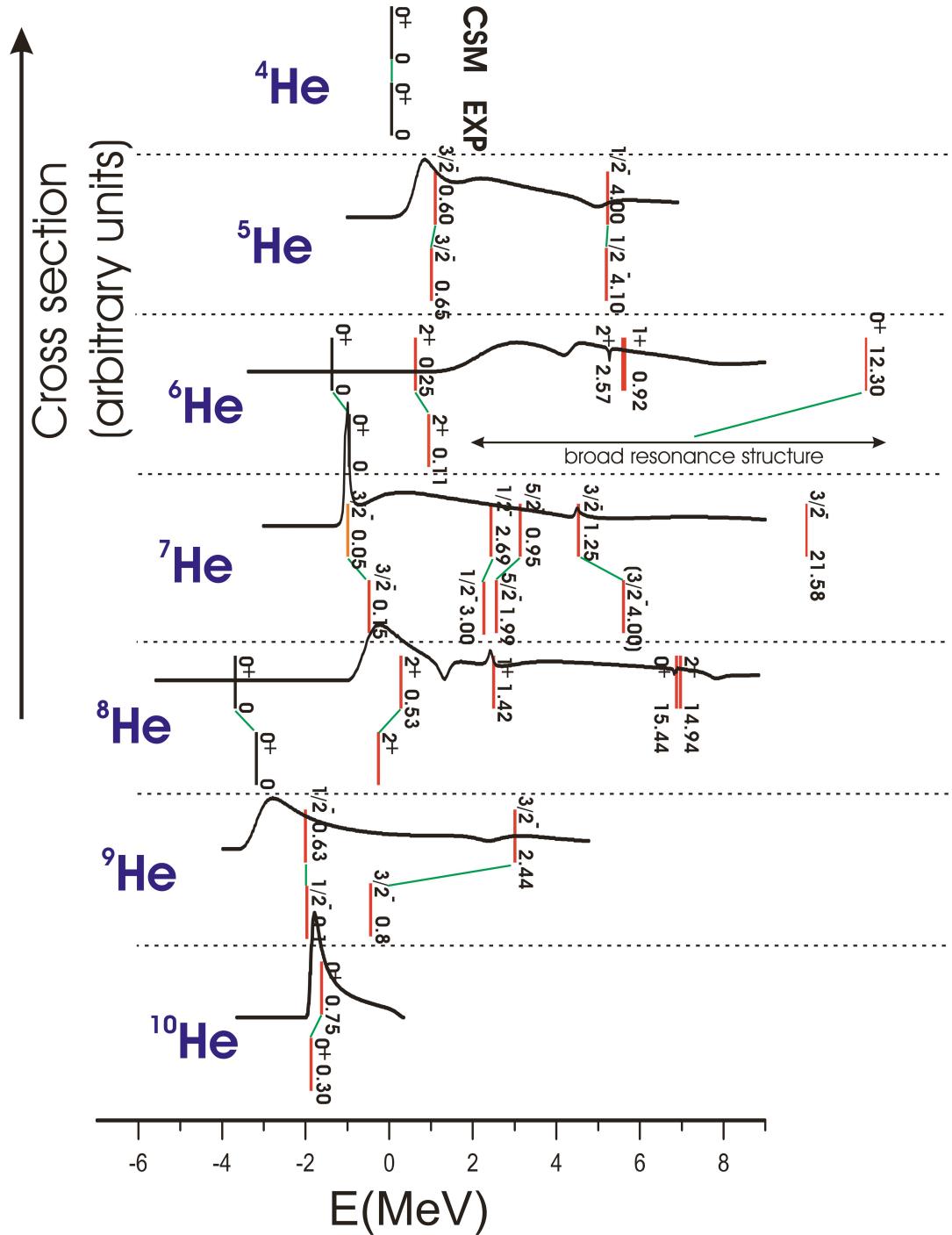
Single particle decay width: (requires definition and solution for resonance energy)

$$\gamma_j(\epsilon) = 2\pi |a^j(\epsilon)|^2$$



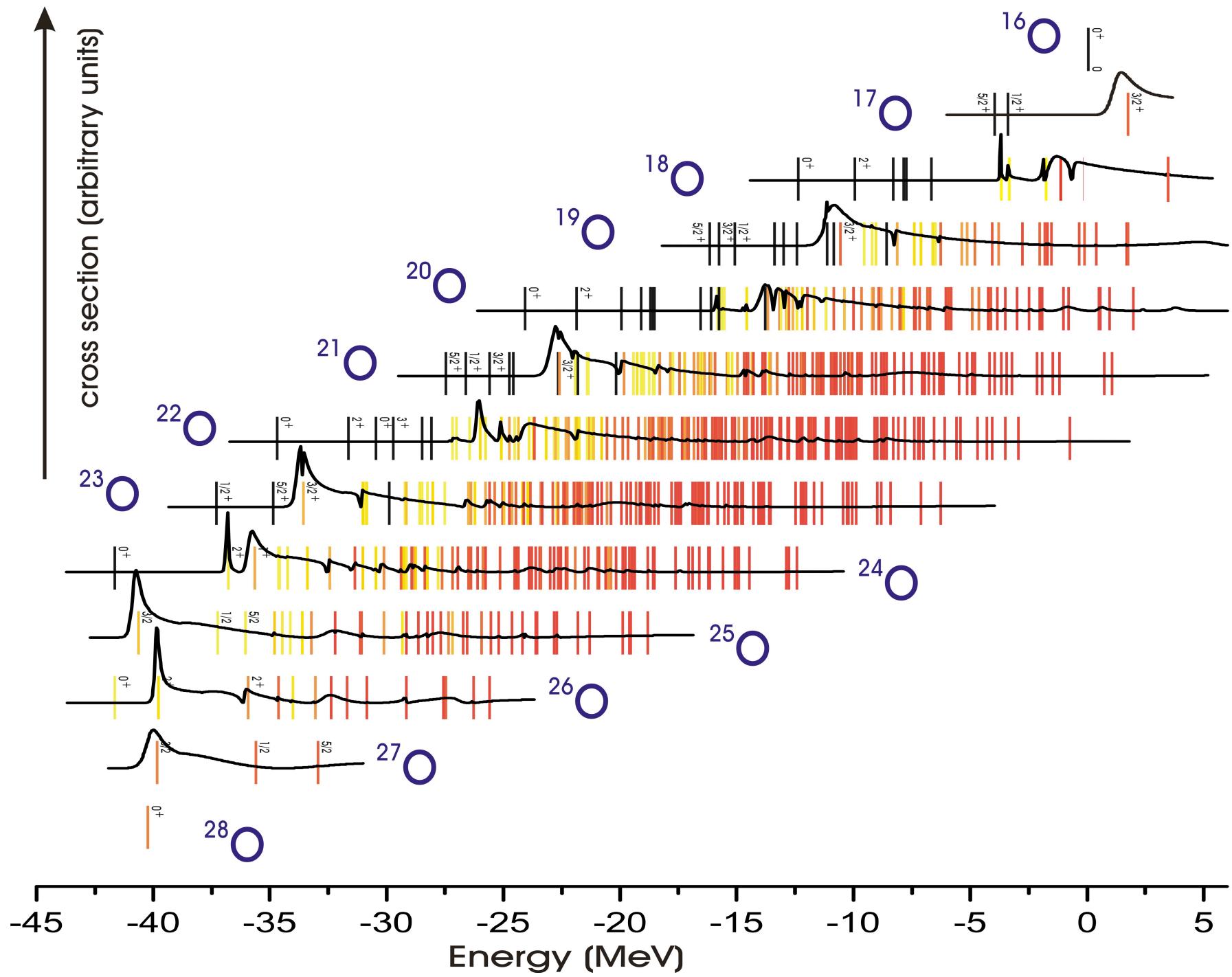
Continuum Shell Model He isotopes

- Cross section and structure within the same formalism
- Reaction $I=1$ polarized elastic channel



References

- [1] A. Volya and V. Zelevinsky, Phys. Rev. Lett 94 (2005) 052501.
- [2] A. Volya and V. Zelevinsky, Phys. Rev. C 67 (2003) 54322



Scattering matrix and reactions

$$\mathbf{T}_{cc'}(E) = \langle A^c(E) | \left(\frac{1}{E - \mathcal{H}(E)} \right) | A^{c'}(E) \rangle$$

$$\mathbf{S}_{cc'}(E) = \exp(i\xi_c) \{ \delta_{cc'} - i \mathbf{T}_{cc'}(E) \} \exp(i\xi_{c'})$$

Cross section:

$$\sigma = \frac{\pi}{k'^2} \sum_{cc'} \frac{(2J+1)}{(2s'+1)(2I'+1)} |\mathbf{T}_{cc'}|^2$$

Additional topics:

- Angular (Blatt-Biedenharn) decomposition
- Coulomb cross sections, Coulomb phase shifts, and interference
- Phase shifts from remote resonances.

Unitarity and flux conservation

Take: $\mathbf{W} = \mathbf{aa}^\dagger$

Exact relation:

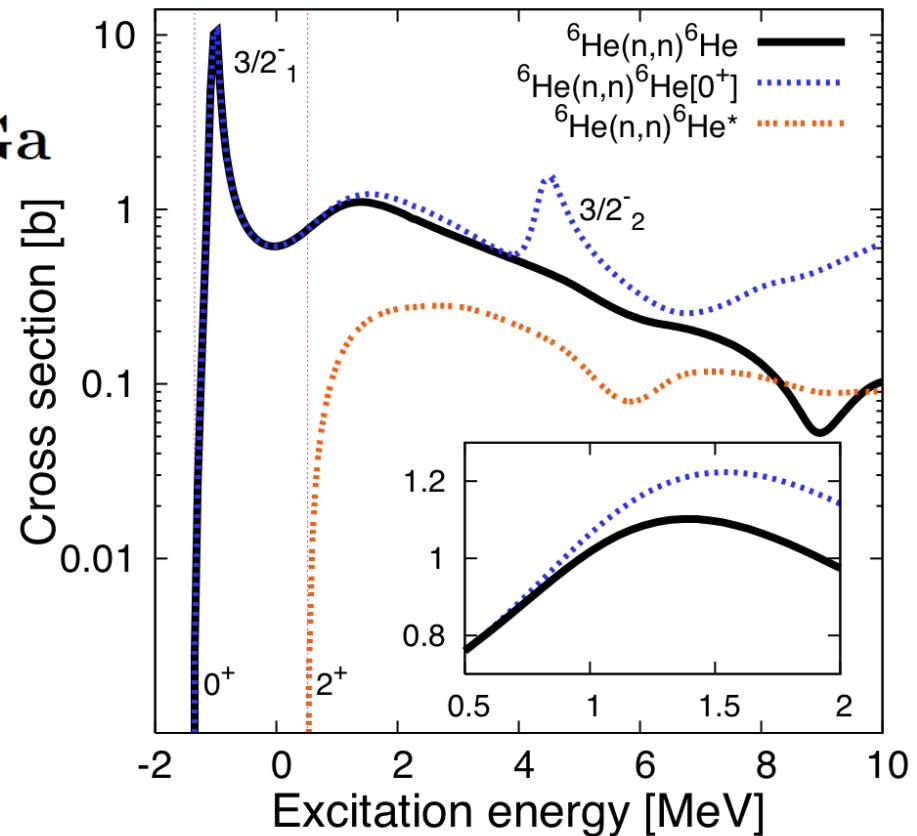
$$\mathbf{S} = \frac{1 - i/2 \mathbf{K}}{1 + i/2 \mathbf{K}}$$

$$\mathbf{S}\mathbf{S}^\dagger = \mathbf{S}^\dagger\mathbf{S} = 1$$

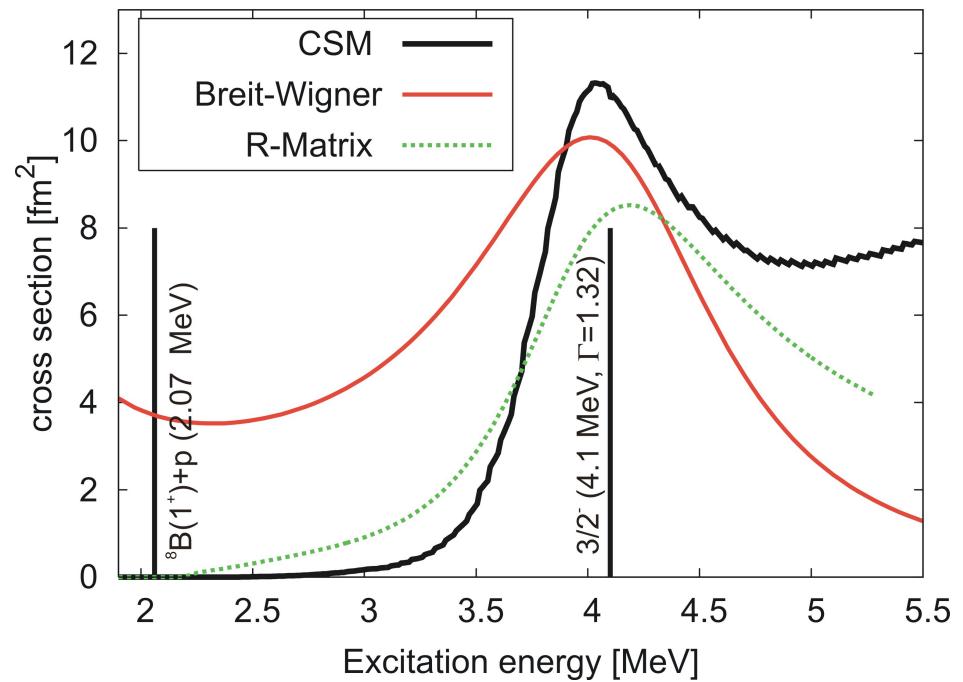
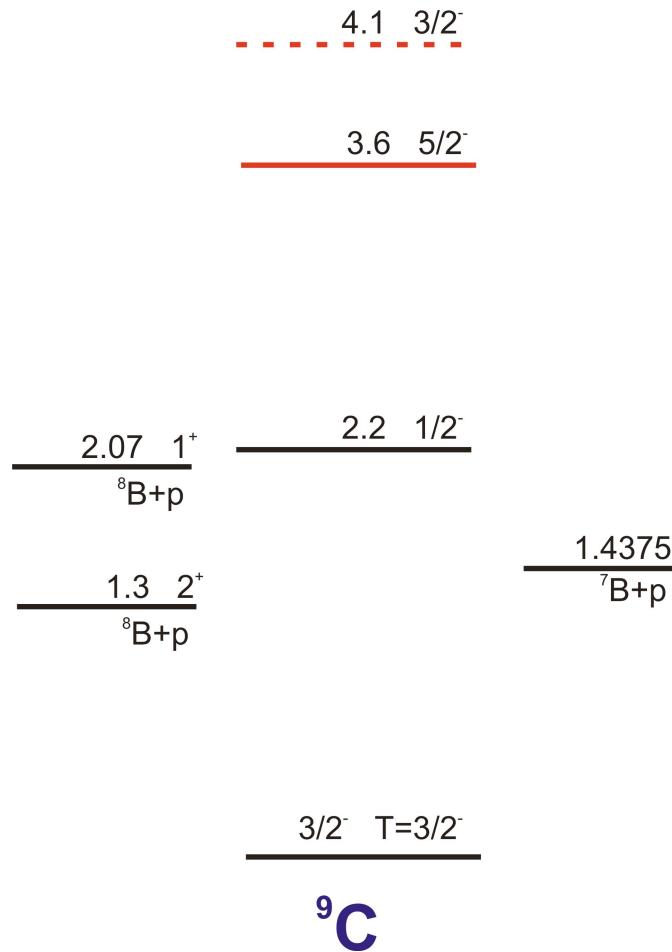
- Cross section has a cusp when inelastic channels open
- The cross section is reduced due to loss of flux
- The p-wave discontinuity $E^{3/2}$

Figure: ${}^6\text{He}(n,n)$ cross section

- Solid curve-full cross section
- Dashed (blue) only g.s. channel
- Dotted (red) inelastic channel



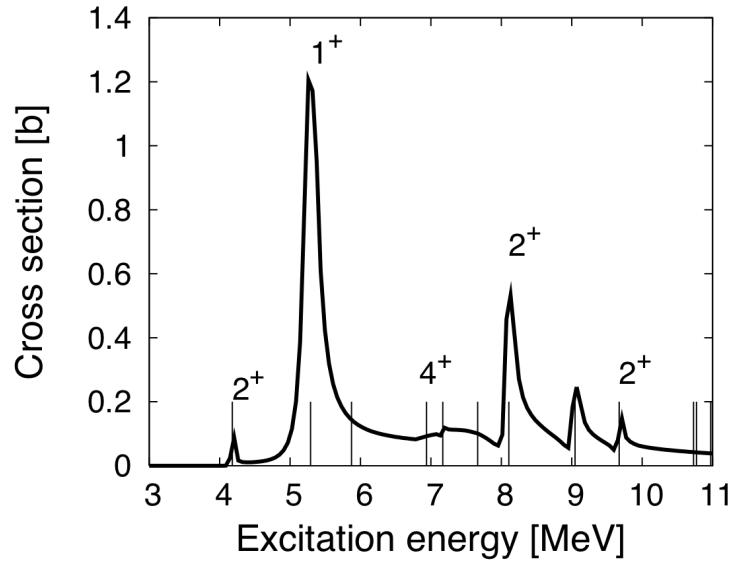
${}^8\text{B}(\text{p},\text{p}') {}^8\text{B}(1^+)$



CSM:

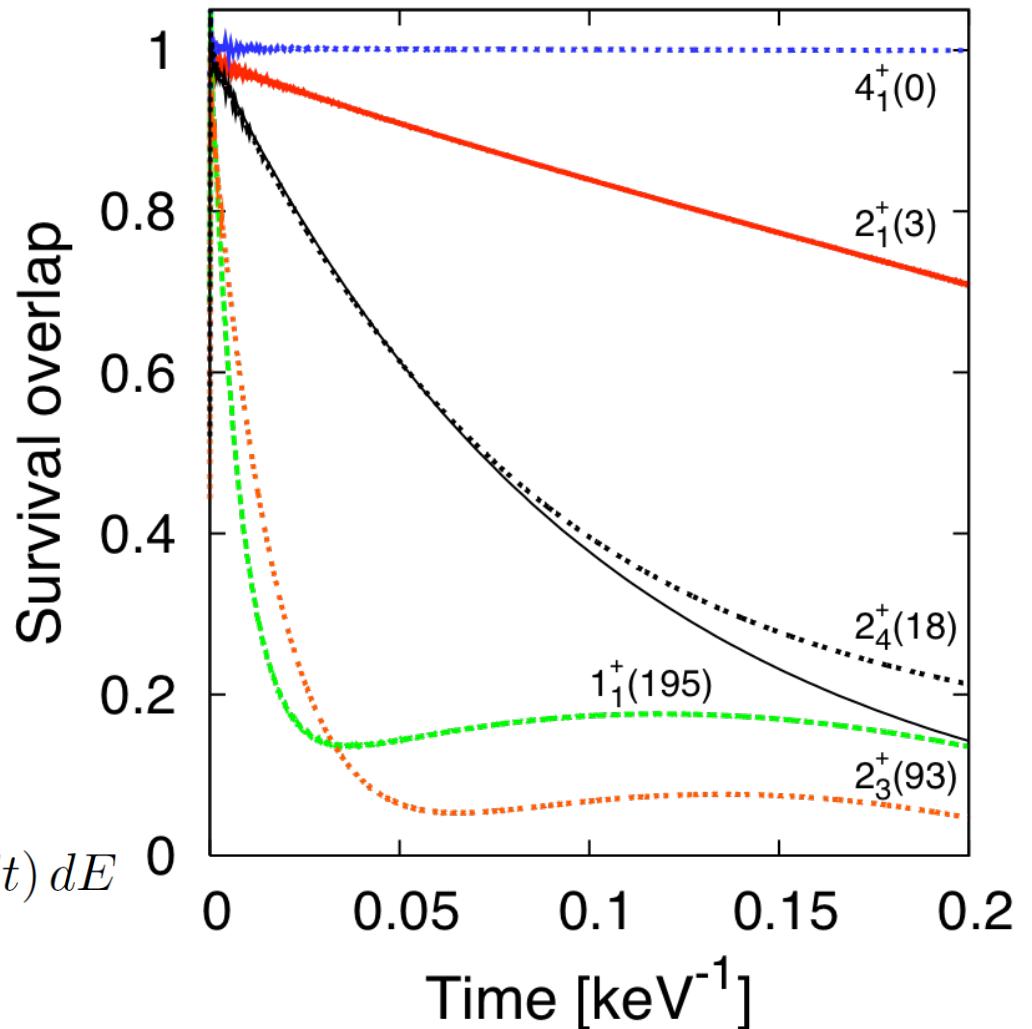
- Automatically accounts for all states
- Includes interference
- Consistent with traditional theories

Time evolution of decaying states



Time evolution of several SM states in ^{24}O . The absolute value of the survival overlap is shown $|\langle \alpha | \mathcal{U}(t) | \alpha \rangle|$

$$\mathcal{U}(t) = -\frac{1}{2\pi i} \int_{-\infty}^{\infty} \mathcal{G}(E) \exp(-iEt) dE$$



For an isolated narrow resonance

$$|\langle \alpha | \exp(-i\mathcal{E}_\alpha t) | \alpha \rangle| = \exp(-\Gamma_\alpha t/2)$$

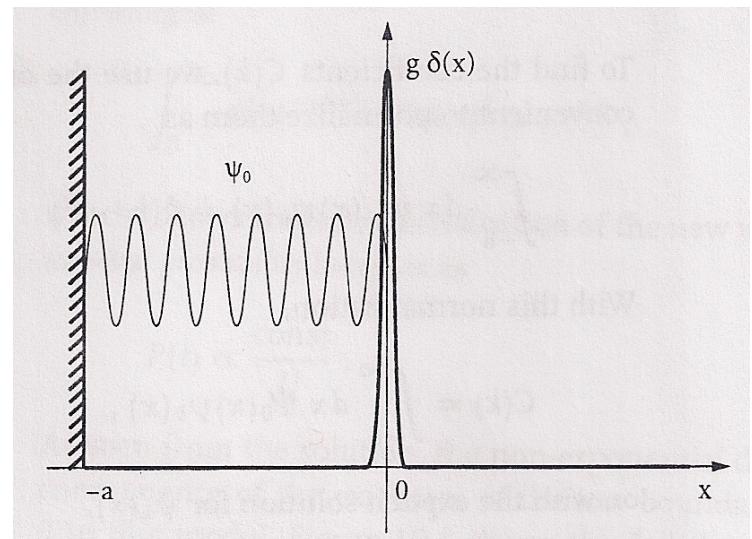
Time dependence of decay, Winter's model

Quasistationary state state $|n\rangle$

$$\langle x|n\rangle = \sqrt{2} \sin(n\pi x)$$

Continuum of reaction eigenstates $|k\rangle$

$$\langle x|k\rangle = A(k) \sin[k(x+1)] + \Theta(x) \frac{G}{k} \sin(k) \sin(kx)$$



Time dependent decay

Potential is formed by an infinite wall and a delta-barrier.

$$A_{nn'}(t) = \langle n | e^{-iHt} | n' \rangle = \int e^{-ik^2 t} \langle n | k \rangle \langle k | n' \rangle dk$$

$$P_{nn'}(t) = |A_{nn'}(t)|^2$$

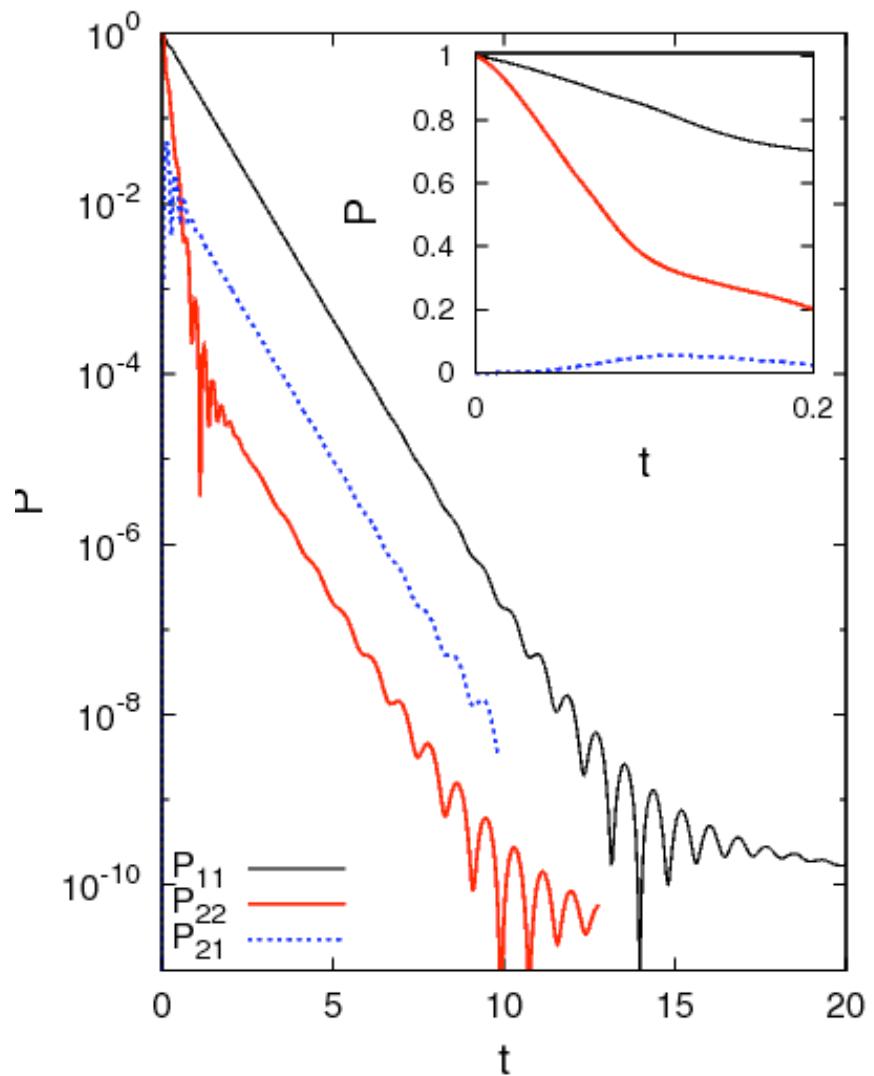
D. Dicus. et.al. Phys. Rev. A (2002) 65 032116

Time-dependent decay, Winter's model

- t^2 decay at small t
- Exponential decay
- Oscillations in decay
- remote decay as $1/t^3$

$$A_{nn'}(t) = \langle n | e^{-iHt} | n' \rangle$$

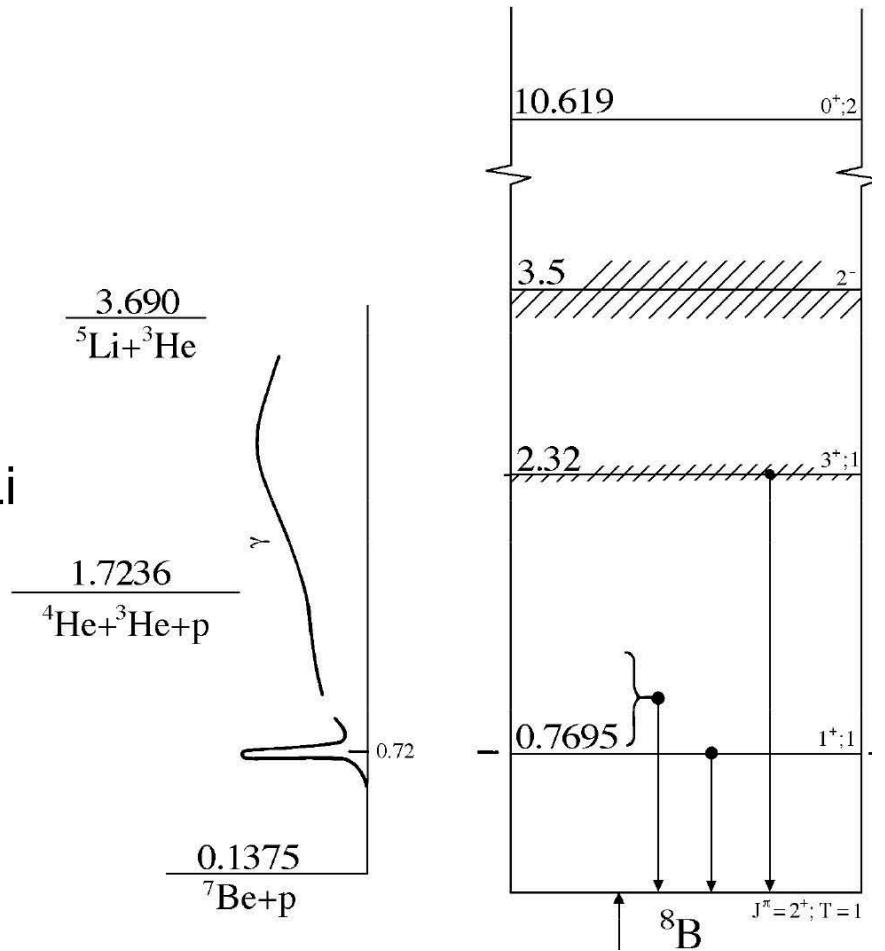
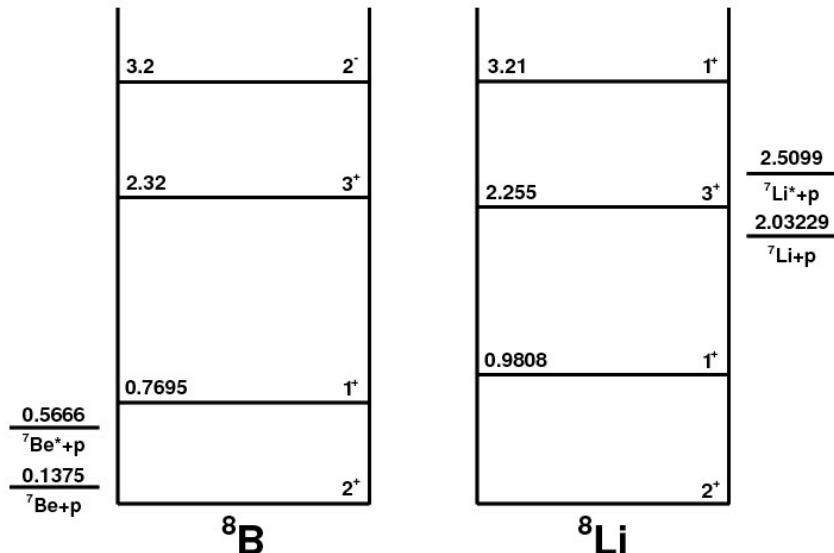
Probability $P_{nn'}(t) = |A_{nn'}(t)|^2$



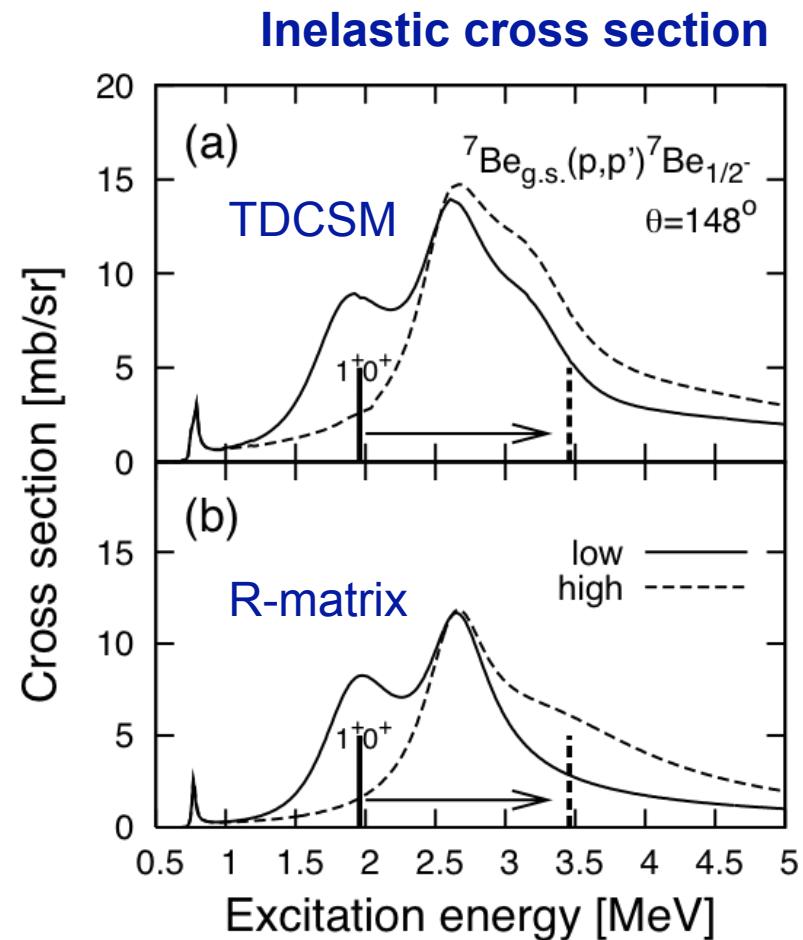
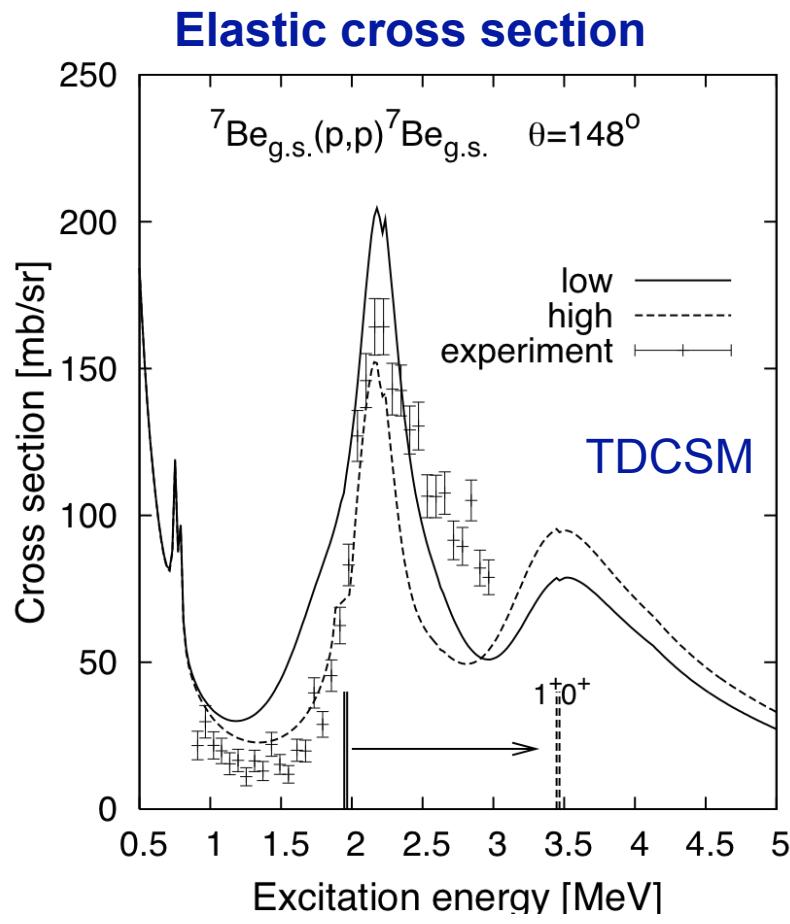
D. Dicus. et.al. Phys. Rev. A (2002) 65 032116

States in ${}^8\text{B}$

- Ab-initio and no core theoretical models predict low-lying 2^+ , 0^+ , and 1^+ states
- Recoil-Corrected CSM suggests low-lying states
- Traditional SM mixed results
- These states were not seen in ${}^8\text{B}$ and in ${}^8\text{Li}$



Experimental observation of 2^+ , 0^+ , and 1^+ states can be done in inelastic reaction

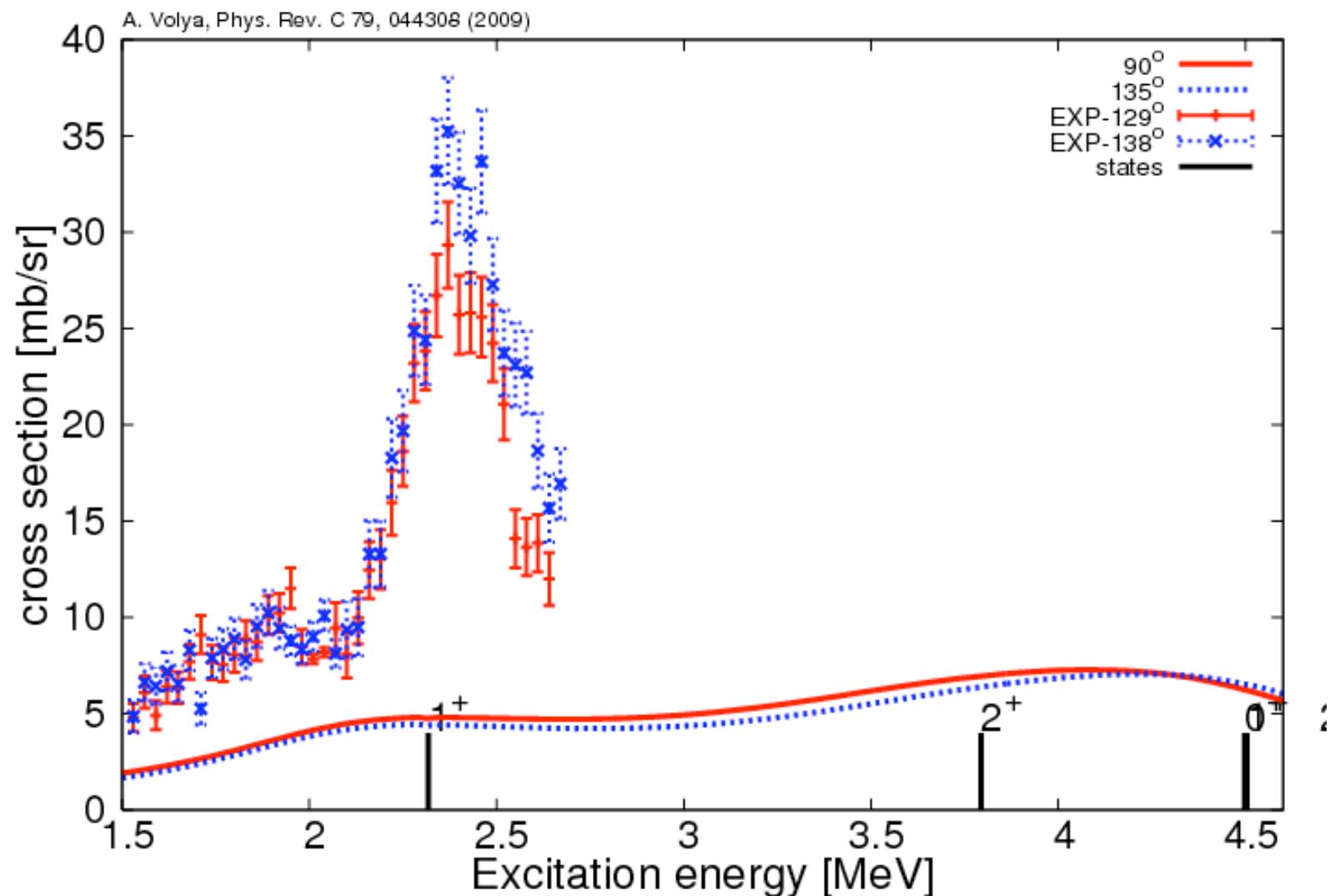


TDCSM: WBP interaction +WS potential, threshold energy adjustment.

R-Matrix: WBP spectroscopic factors, $R_c=4.5$ fm, only 1^+ 1^+ 0^+ 3^+ and 2^+ $l=1$ channels
Experimental data from: G.Rogachev, et.al. Phys. Rev. C **64**, 061601(R) (2001).

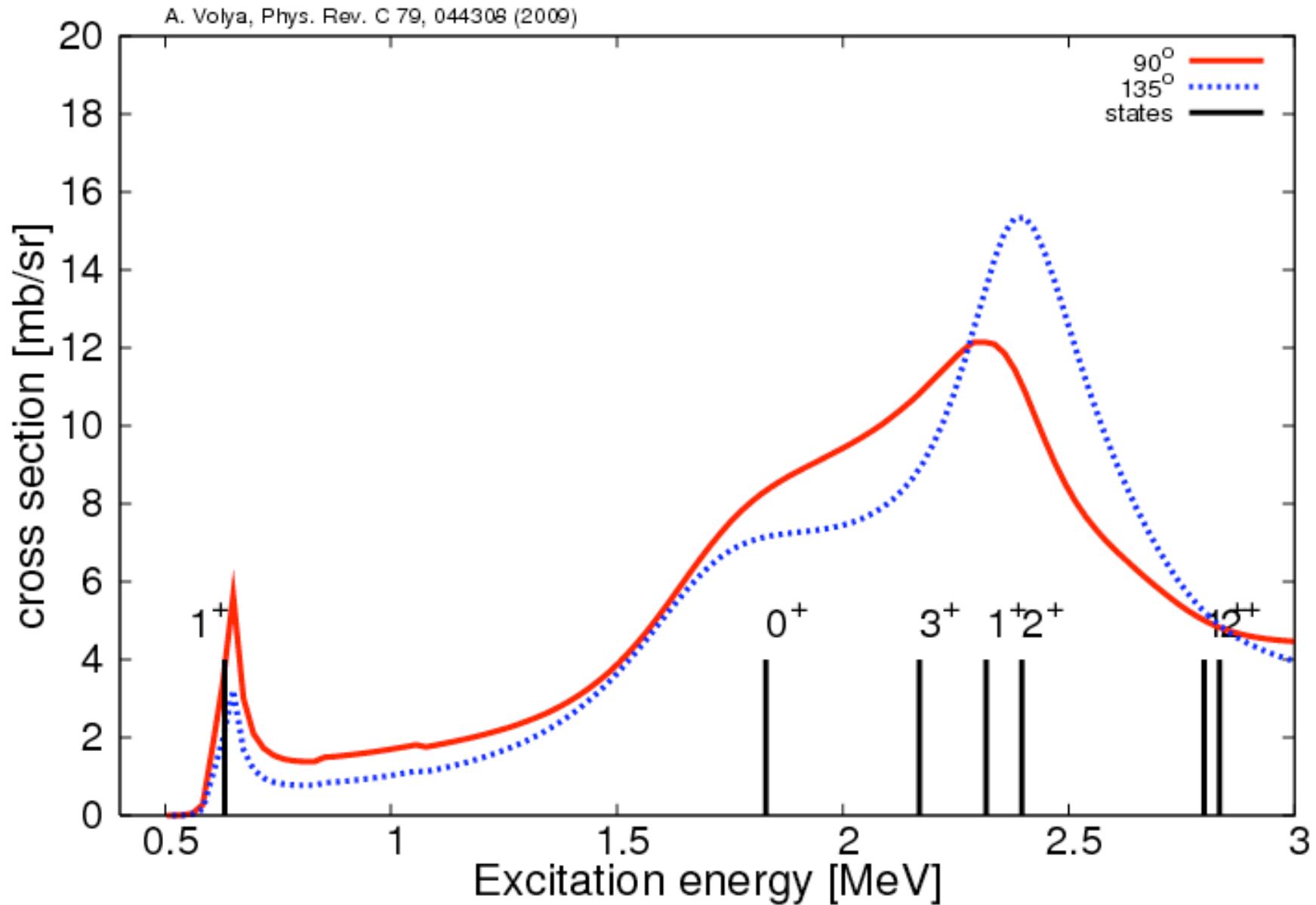
Resonances and their positions inelastic ${}^7\text{Be}(\text{p},\text{p}'){}^7\text{Be}$ reaction in TDCSM

CKI+WS Hamiltonian

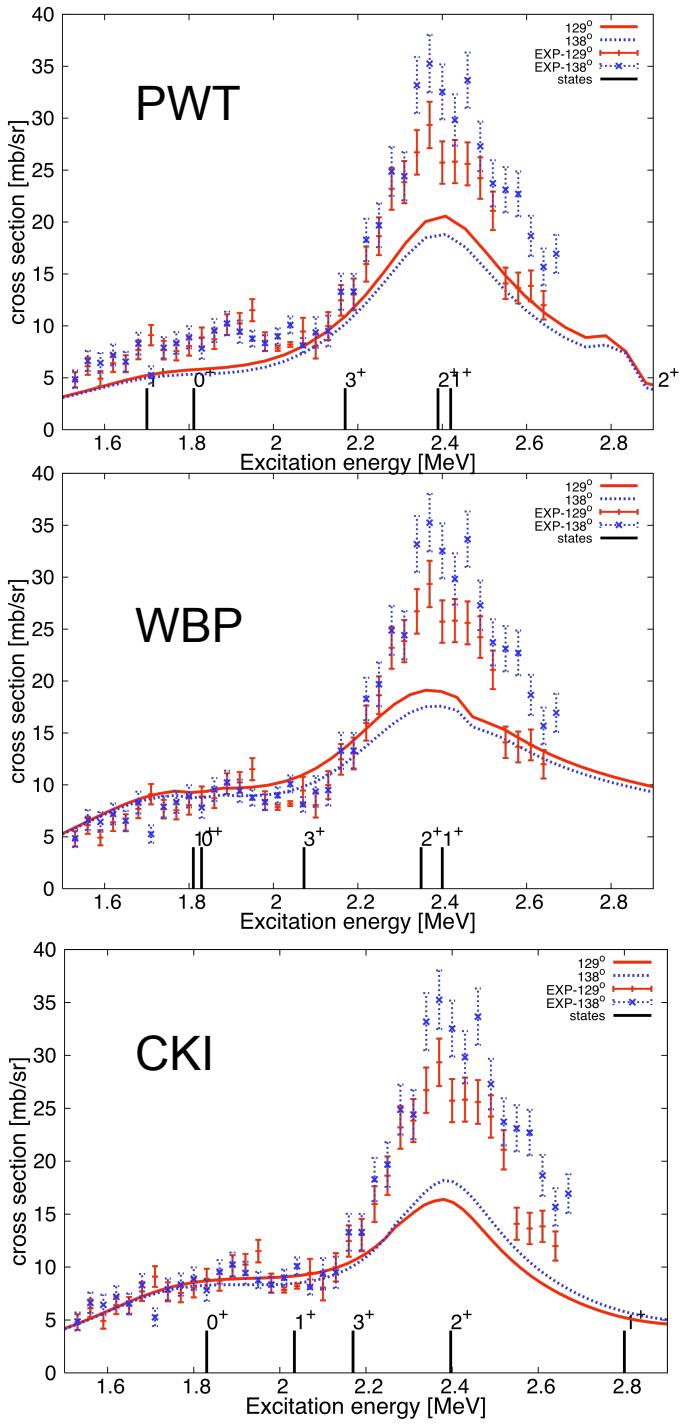


[See animation at www.volya.net](http://www.volya.net)

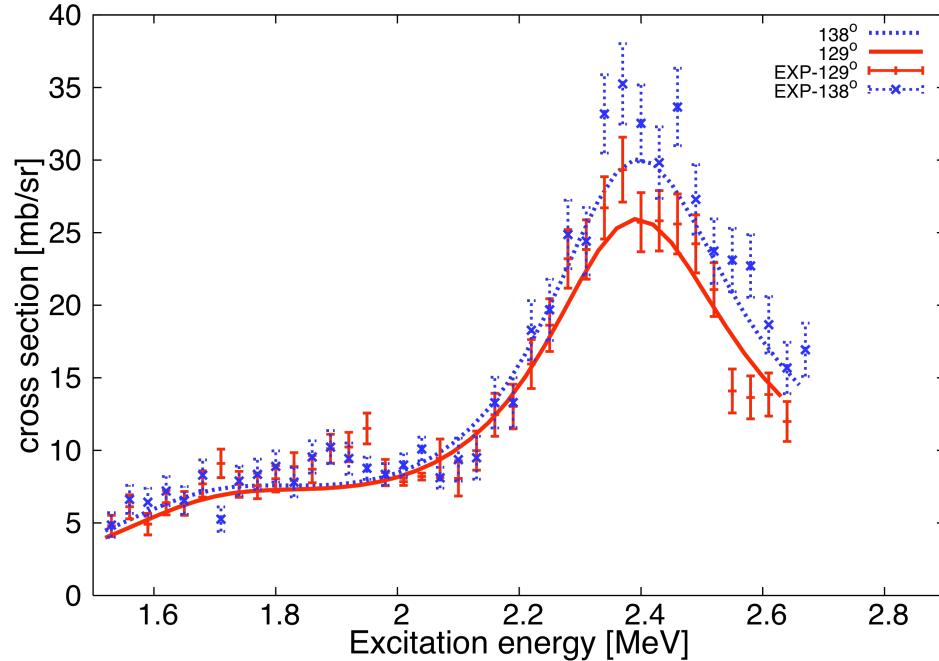
Position of the 2+ and its role in ${}^7\text{Be}(\text{p},\text{p}){}^7\text{Be}$



[See animation at www.volya.net](http://www.volya.net)



R-matrix fit and TDCSM for $^7\text{Be}(\text{p},\text{p})^7\text{Be}$

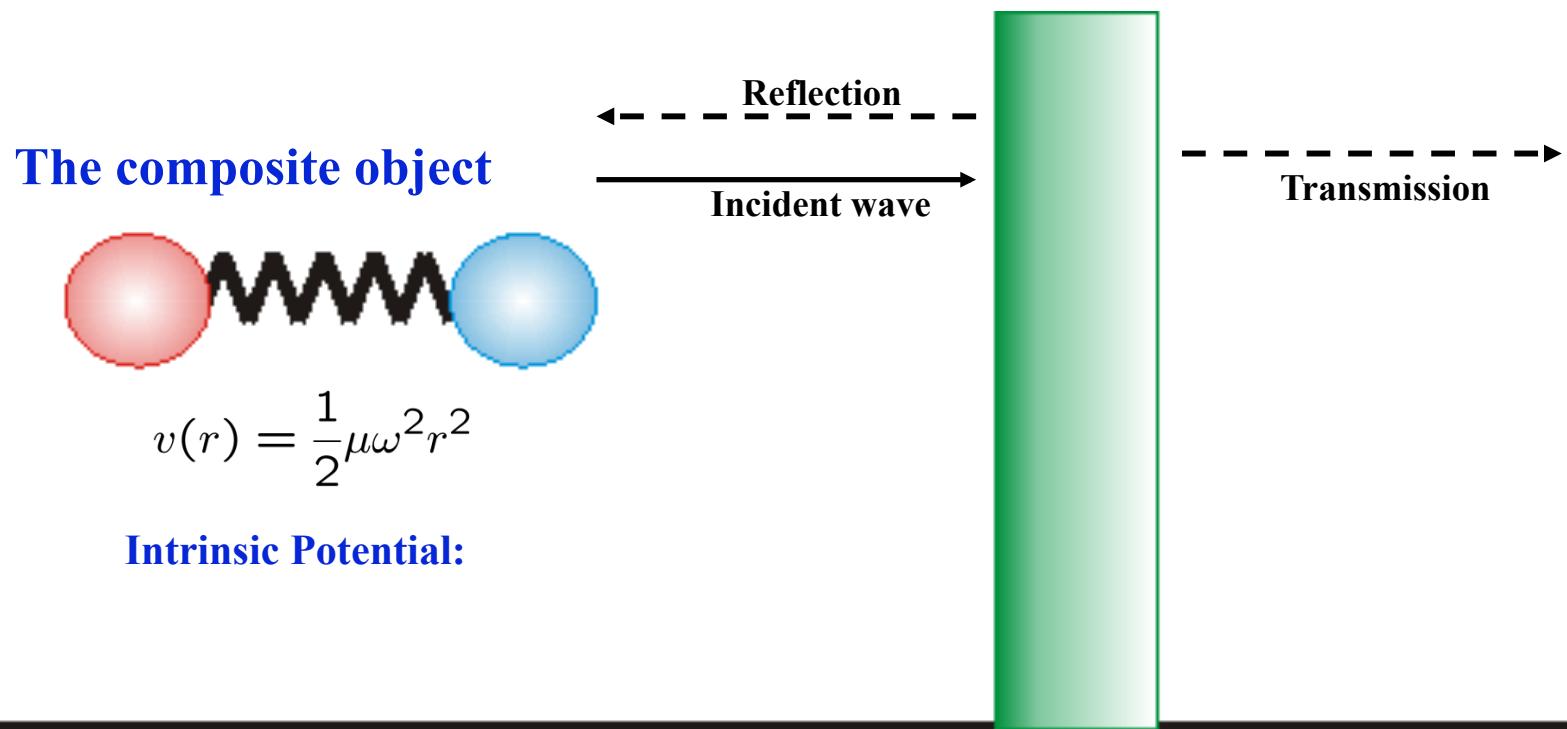


Chanel Amplitudes from TDCM and final best fit

	\mathbf{J}^π	$p_{1/2}, I=3/2$	$p_{3/2}, I=3/2$	$p_{1/2}, I=1/2$	$p_{3/2}, I=1/2$
FIT	2^+	-0.293	0.293		0.534
CKI	2^+	-0.168	0.164		0.521
FIT	1^+	-0.821	-0.612	0.375	0.175
CKI	1^+	-0.840	-0.617	0.332	0.178

The role of internal degrees of freedom in scattering and tunneling

$$\Psi_-(r, R) = e^{iK_0 R} \psi_0(r) + \sum_{n=0}^{\infty} C_{-,n} e^{-iK_n R} \psi_n(r) \quad \Psi_+(r, R) = \sum_{n=0}^{\infty} C_{+,n} e^{-iK_n R} \psi_n(r)$$



Reflection from the wall

Composite object

$$X = \frac{m_1 x_1 + m_2 x_2}{M}, \quad x = x_1 - x_2,$$

$$M = m_1 + m_2, \quad m = \frac{m_1 m_2}{m_1 + m_2}$$

$$h = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + v(x)$$

$$h\psi_n(r) = \epsilon_n \psi_n(r), \quad n = 0, 1, 2, \dots$$

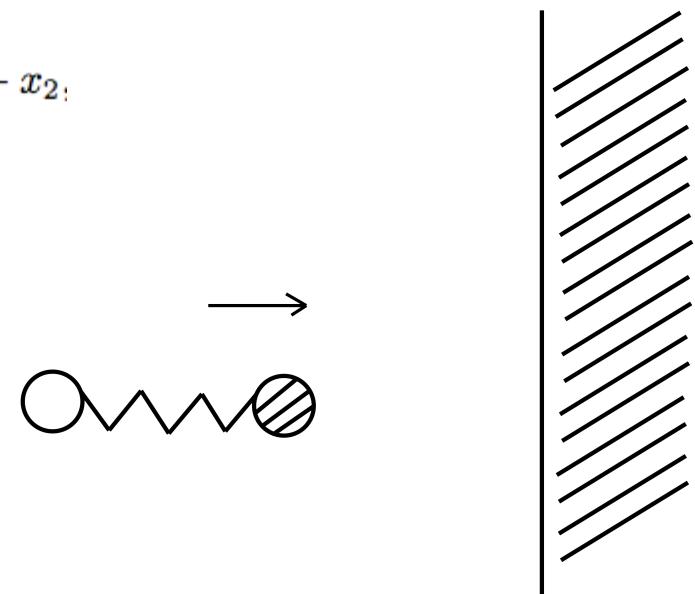
Hamiltonian $H = -\frac{\hbar^2}{2M} \frac{\partial^2}{\partial X^2} + V(x_1, x_2) + h$

$$V(x_2) = \begin{cases} \infty & \text{when } 0 < x_2 \\ 0 & \text{otherwise} \end{cases}$$

Approach to solution

$$\Phi(X, x) = \frac{e^{iK_n X}}{\sqrt{|K_n|}} \psi_n(x) + \sum_{m=0}^{\infty} \frac{R_{mn}}{\sqrt{|K_m|}} e^{-iK_m X} \psi_m(x).$$

$$\Phi(X, x) = 0 \text{ at } x_2 = 0.$$



Assume that only one of the particles interacts with the potential!

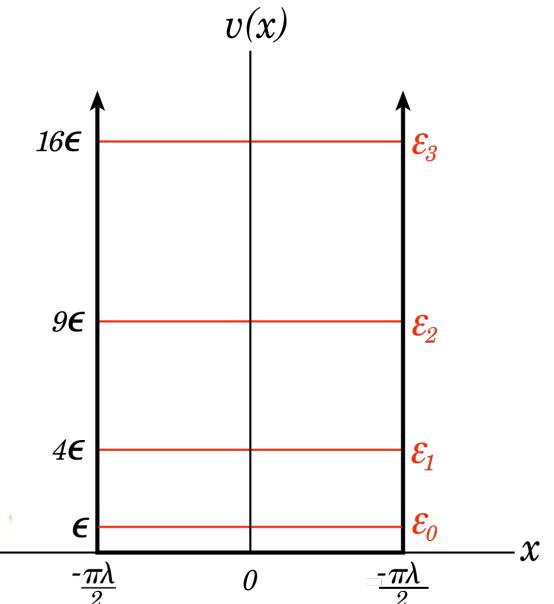
$$V(x_1, x_2) \rightarrow V(x_2)$$

HO and Well models

“Well” model

$$v(x) = \begin{cases} 0 & \text{when } |x| < \pi\lambda/2 \\ \infty & \text{otherwise} \end{cases}$$

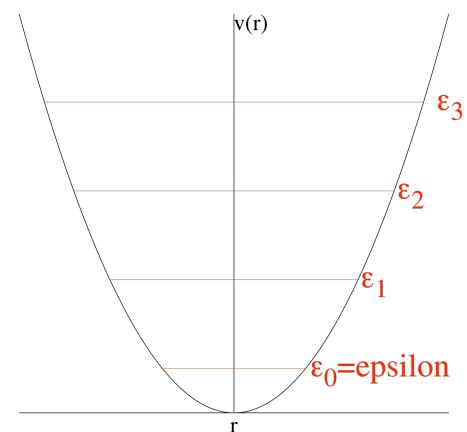
$$\psi_{n-1}(x) = \sqrt{\frac{2}{\pi}} \sin \left[\left(x + \frac{\pi}{2} \right) n \right], \quad \epsilon_{n-1} = n^2 \cdot$$



“HO” model

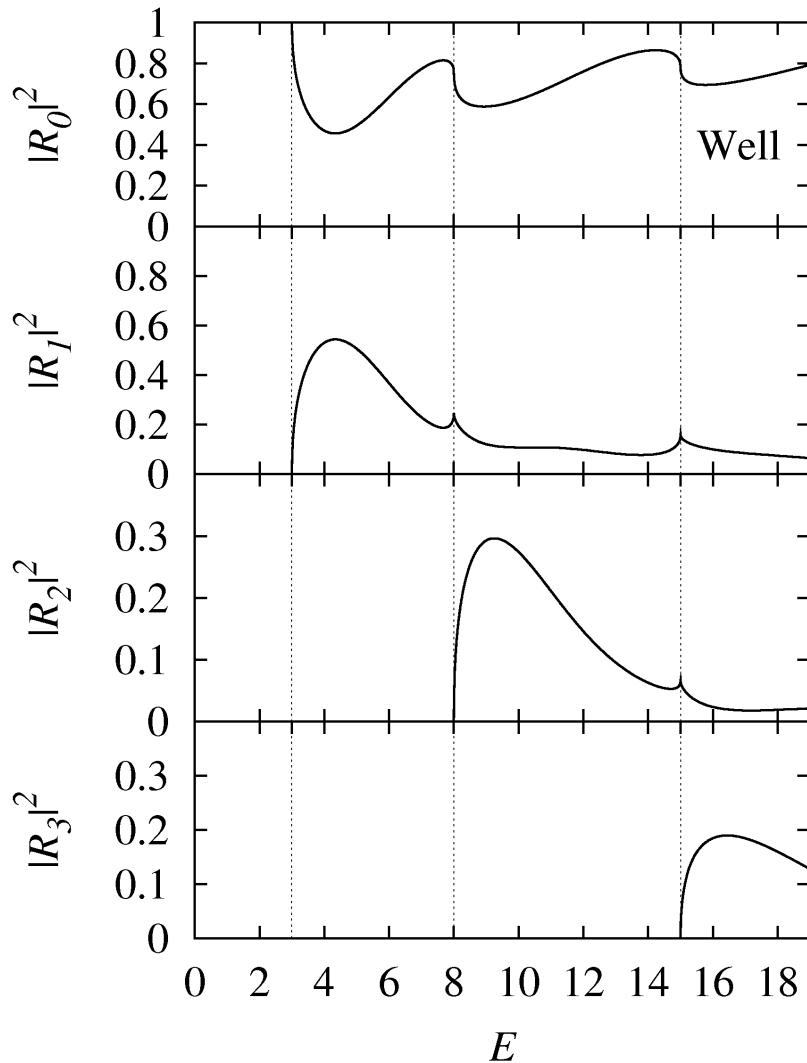
$$v(x) = m\omega^2 x^2 / 2$$

$$\psi_n(x) = \frac{1}{\sqrt{2^n n! \sqrt{\pi}}} H_n(x) \exp \left(-\frac{x^2}{2} \right)$$

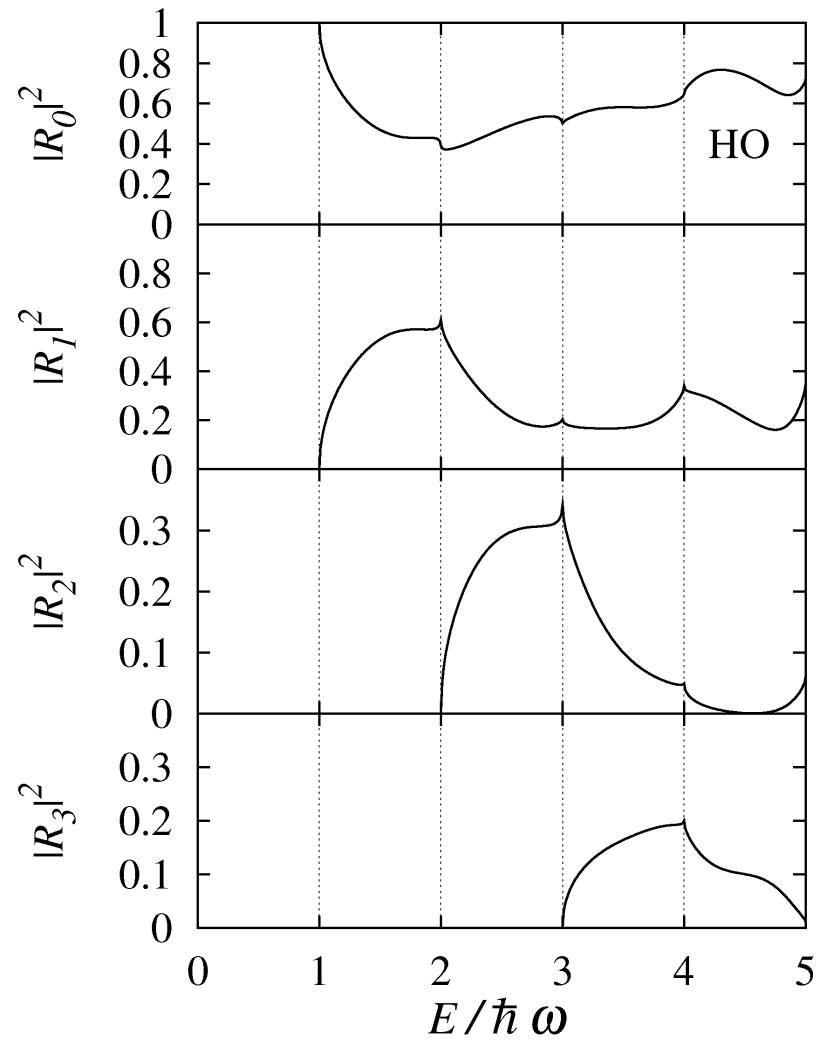


Results: scattering off an infinite wall

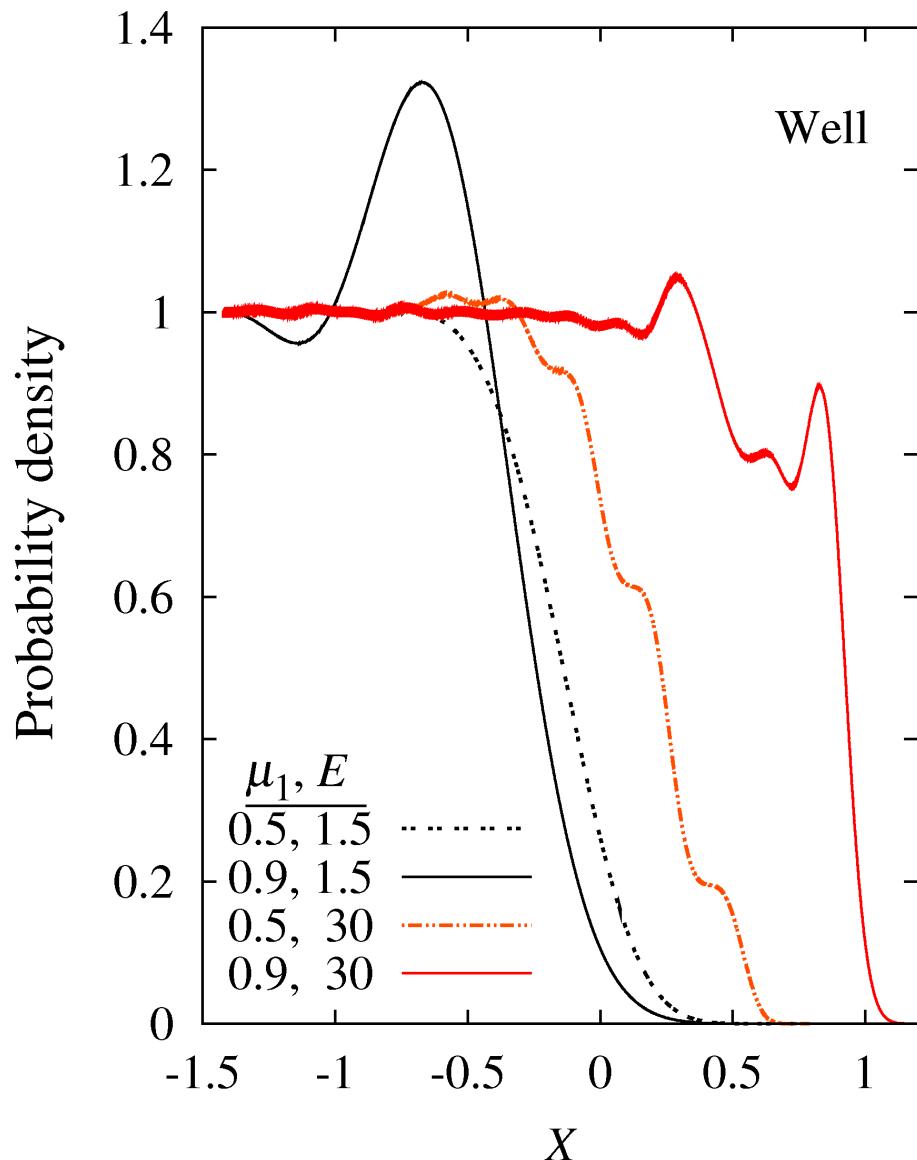
Well



Harmonic oscillator

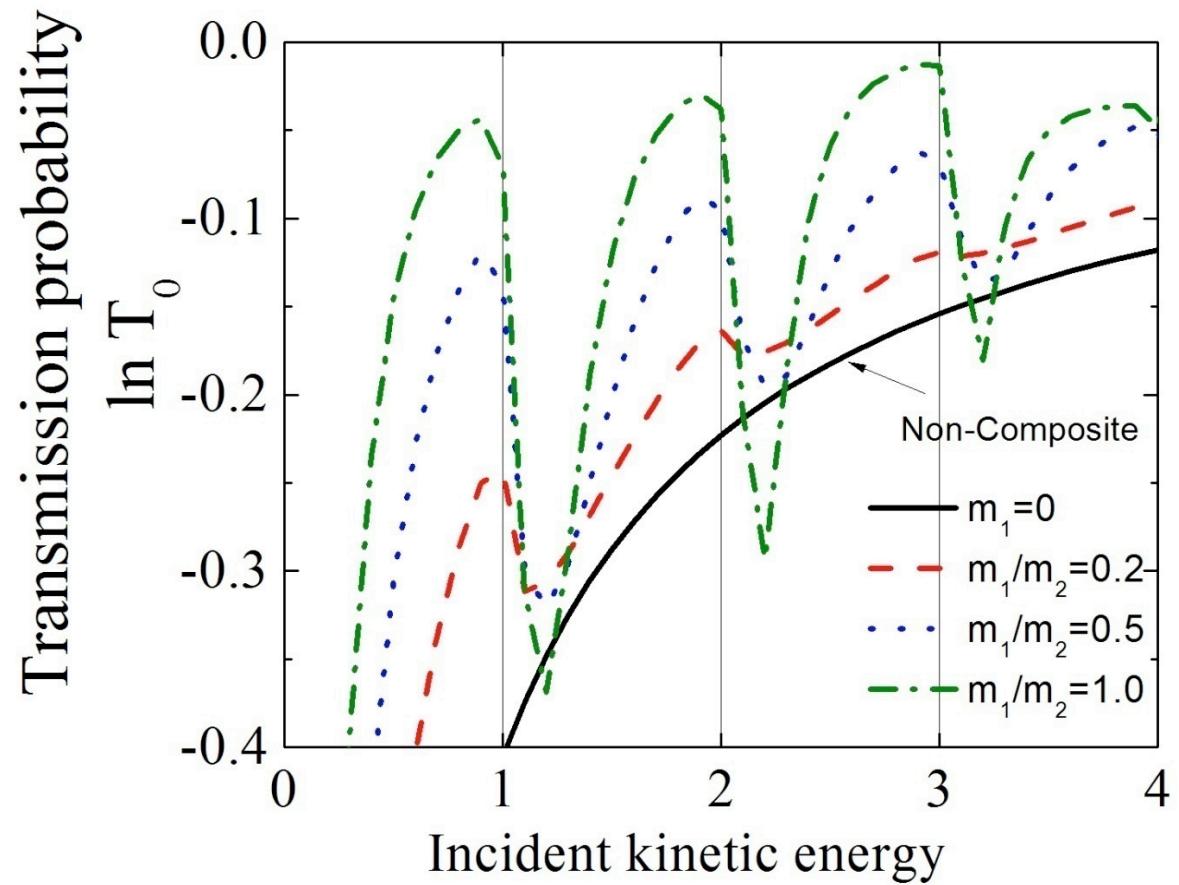
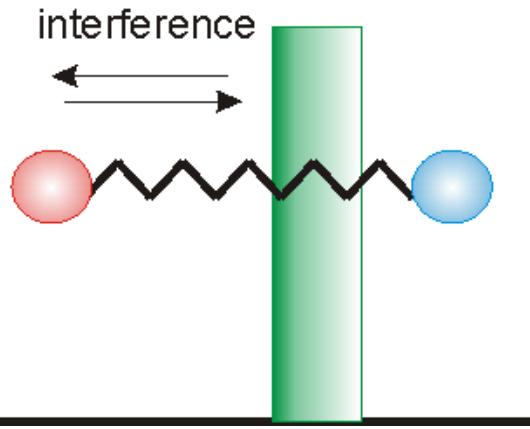


Center-of-mass penetration probability

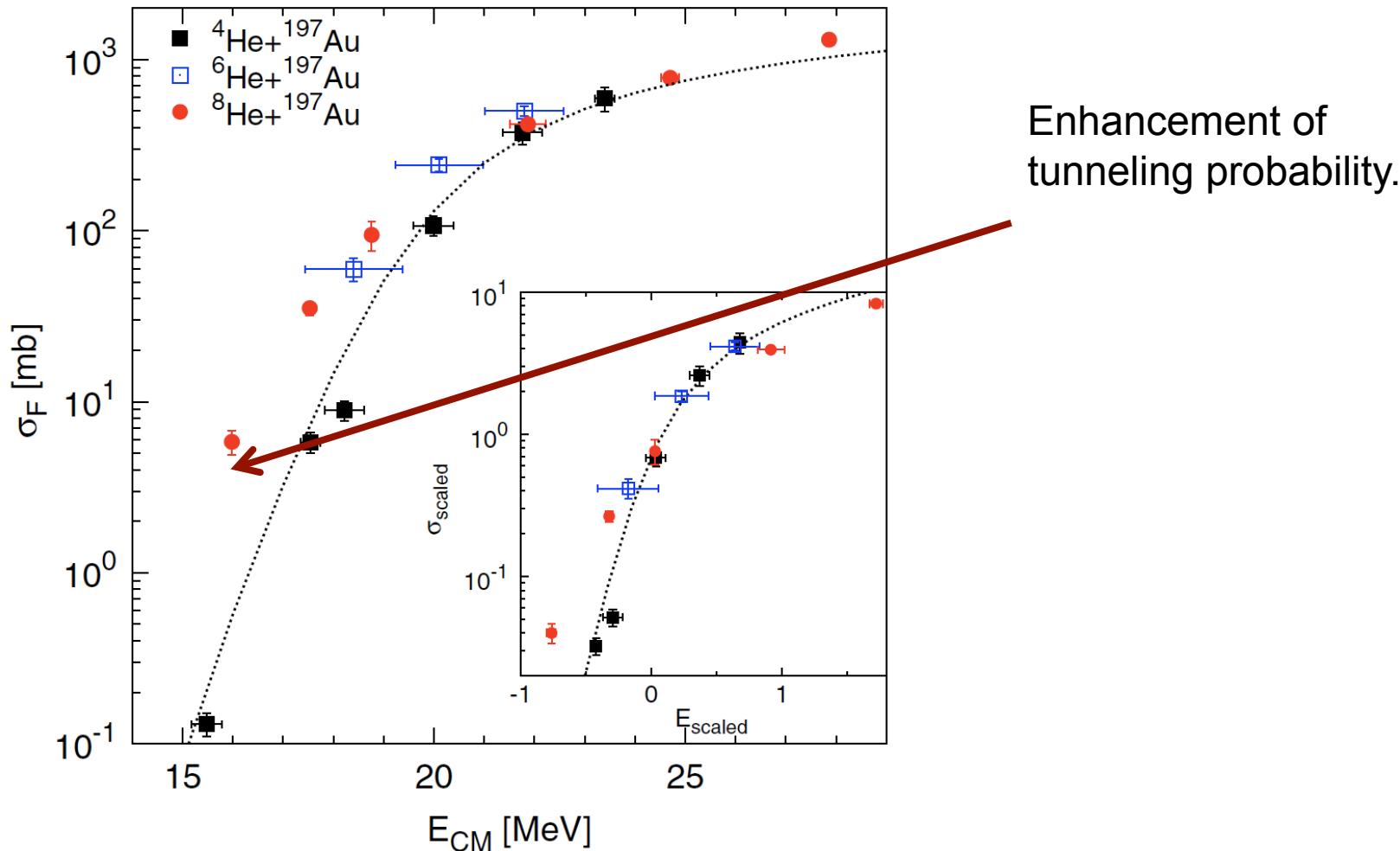


- Wall is at $X=0$
- Deep penetration for
- -high energy
 - -Massive non interactive particle

Resonant tunneling of composite objects



Enhanced tunneling probability for composite objects



A. Lemasson, et.al. PRL 103, 232701 (2009)